



# CONSTITUTION AND RITUAL

REVISED AND AMENDED BY AUTHORITY OF THE

1<sup>st</sup> SMART GENERAL CONVENTION

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HELD IN LAS VEGAS, NEVADA – AUGUST 11-15, 2014

International Association of Sheet Metal, Air,  
Rail and Transportation Workers

and Affiliated Local Unions, State, District, Provincial and Regional  
Councils

# Constitution & Ritual

## OF THE INTERNATIONAL ASSOCIATION OF SHEET METAL, AIR, RAIL AND TRANSPORTATION WORKERS

Affiliated with American Federation of Labor and Congress of Industrial Organizations, Building and Construction Trades Department, Metal Trades Department, Maritime Trades Department, Union Label and Service Trades Department, Transportation Trades Department, Public Employee Department, and Railway Labor Executives' Association, and the Canadian Labour Congress

Realizing the advantage and necessity of cooperative effort properly and legally directed to fully develop and demonstrate the possibilities of our trade in the various fields of industry and to protect our rights in connection therewith, we hereby pledge our united efforts and support as members of the International Association of Sheet Metal, Air, Rail and Transportation Workers to the accomplishment of said purpose.

In this age of organized effort, it is essential that those engaged at our trade must likewise organize in order to establish and maintain desirable working conditions and thus provide for themselves and their families that measure of comfort, happiness, and security to which every good citizen is entitled in return for his or her labor from a deep sense of pride in our trade, to give a fair day's work for a fair day's pay.

For reasons stated herewith, we adopt this Constitution and Ritual and pledge our support and allegiance to the International Association of Sheet Metal, Air, Rail and Transportation Workers of the United States and Canada, and as members thereof, we agree to be governed by the provisions, requirements, purpose and intent of this Constitution and all subsequent amendments thereto.

## **General Officers of the International Association of Sheet Metal, Air, Rail and Transportation Workers**

Joseph J. Nigro  
General President

Joseph Sellers, Jr.  
General Secretary-Treasurer

Bruce R. Word  
1st General Vice President

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2nd General Vice President

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John E. Lesniewski  
General Vice President  
Vice President/International Representative

Troy Johnson  
General Vice President  
Vice President/International Representative

John England  
General Vice President  
Vice President/International

### **General Office Address**

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ARTICLE ONE (1)

ARTICLE ONE (1)

International Association

1 SECTION 1--NAME AND LOCATION

2 SEC. 1. This organization shall be known as International Association of Sheet Metal, Air,  
3 Rail and Transportation Workers (SMART) and its principal office shall be located in the  
4 Washington, D.C. metropolitan area. The Transportation Division (TD) is located in the  
5 Cleveland, Ohio area. Divisions of the International Association, or functions thereof, may be  
6 located elsewhere, if the General President, subject to approval of the General Executive Council,  
7 determines such action is necessary. The General Executive Council shall have the authority to  
8 amend the name of the organization between conventions to any other name so long as the words  
9 "Sheet Metal" and "Transportation" remain in the name.

10 SECTION 2--MEMBERSHIP

11 SEC. 2. This Association shall consist of duly chartered local unions and state, provincial  
12 and district councils whose officers and members subscribe to and observe the provisions of this  
13 Constitution and Ritual and such rules, policies and regulations as may be established by this  
14 Association. This Association is committed to representing all workers in our industries to insure  
15 the future of our union and its members.

16 SECTION 3--GOVERNMENT

17 SEC. 3. The final jurisdiction over subjects pertaining to this Association and the ultimate  
18 government, supervision and superintendence of all local unions, state, provincial and district  
19 councils and the officers and members thereof shall be vested in the duly elected, qualified and  
20 seated delegates in the regular or special Convention of this Association. No executive or judicial  
21 authority or jurisdiction herein conferred upon local unions, state, provincial or district councils or  
22 the officers or members thereof shall supersede that exercised by such Conventions whether such  
23 authority is exercised by such Conventions initially or upon appeal.

24 SECTION 4--GOVERNMENT BETWEEN CONVENTIONS

25 SEC. 4. Between Conventions, all general executive, administrative and judicial powers  
26 of this Association shall be vested in the General Executive Council set forth in this Constitution.

27 SECTION 5--TRADE JURISDICTION

28 SEC. 5(a). This Association has established and claims full jurisdiction over the  
29 estimating, project management, manufacture, fabrication, downloading/uploading/inputting  
30 electronic information for fabrication, erection or installation, assembling, handling, erection,  
31 hanging, application, adjusting, alteration, repairing, dismantling, reconditioning, commissioning,  
32 testing, servicing and maintenance of all Heating, Ventilating, Air Conditioning and/or  
33 Refrigeration (HVACR) and sheet metal work, all working drawings, cutsheets or sketches

## ARTICLE ONE (1)

34 (including those taken from original architectural and engineering drawings and sketches) used in  
35 fabrication and erection including any and all related future technological advancements that may  
36 enhance, replace or compete with the scope of work provided herein that serves the same or similar  
37 purpose; said jurisdiction to include all flat, formed in brake or press, corrugated or ribbed sheets  
38 and all rolled, drawn, pressed, extruded, stamped or spun tubing, shapes and forms of plain or  
39 protected steel, iron, tin, copper, brass, bronze, aluminum, zinc, lead, German silver, Monel metal,  
40 stainless or chrome steel or any and all other alloy metals, ferrous and non-ferrous, together with  
41 all necessary or specified reinforcements, brackets, hangers, straps, plates, tees, angles, beams,  
42 channels, furring, supports, anchors, rods, chains, clips, frames, ornaments, trimmings, grilles,  
43 registers, castings, hardware and equipment, mechanical or otherwise, regardless of gauge, weight  
44 or material when necessary or specified for use in direct connection with or incidental to the  
45 manufacture, fabrication, assembling, handling, erection, hanging, application, adjusting,  
46 alteration, repairing, dismantling, reconditioning, testing and maintenance of all sheet metal work;  
47 said jurisdiction to also include the fastening of any and all materials and equipment specified in  
48 this jurisdictional claim, whether same be applied to wood, steel, stone, brick, concrete or other  
49 types of structure, base or materials, with full jurisdiction over the making of all connections,  
50 attachments, seams and joints, whether nailed, screwed, bolted, riveted, cemented, poured, wiped,  
51 soldered, brazed, welded or otherwise fastened and attached, and all drilling and tapping in  
52 connection with or incidental thereto.

53 **SEC. 5(b).** Any and all types of sheet metal foundation forms, wall forms, column forms,  
54 casings, molding, plain or corrugated domes, slab forms, flat, ribbed or corrugated sheet forms  
55 used in connection with concrete or cement construction, including sheet metal inserts to provide  
56 specified openings, also permanent column guards.

57 **SEC. 5(c).** Any metal roofing, including under layment regardless of material, and any and  
58 all types of sheets, flat, formed in brake, corrugated or otherwise formed or reinforced, and all  
59 rolled, drawn, pressed, extruded, stamped or spun sheets, shapes and forms of plain or protected  
60 metal specified for use in connection with or incidental to roofing, decking, flooring, expansion  
61 joints, siding, waterproofing, weatherproofing, fireproofing, soundproofing, for base and support  
62 of other materials, or for ornamental or other purposes.

63 **SEC. 5(d).** Any and all types of formed, rolled, drawn, stamped, pressed sheet metal  
64 shingles, sheet metal tile, sheet metal brick, sheet metal stone and sheet metal lumber, when  
65 specified for use as roofing, siding, waterproofing, weatherproofing, fireproofing, soundproofing  
66 or for ornamental or any other purpose; the installation of aluminum composite material (ACM),  
67 fascia trim moldings and appurtenances required for a complete installation; the installation of all  
68 forms of nailable substrates (e.g. plywood, pressboard, chipboard, drywall or other laminates) on  
69 the roof deck wherever such materials are used as an integral thermal insulation component or  
70 support of the roofing system thereto; all laying of felt, paper, membranes, ice shields, vapor  
71 barriers or similar underlayment on sloped or flat roof structures and siding systems regardless of  
72 type or material or manufacture; all forms of insulation used as a part of or in connection with  
73 roofing, siding and curtain wall; the installation of all extruded, rolled or fabricated metals or any  
74 materials that replace same, such as plastics, metal tubes and shapes used as operable or in-  
75 operable sun screens, mullions, porcelain, plastic panels, terra cotta panels (Terra Clad or similar)  
76 and any product used as a rain screen, including glass panels in any or all of the buildings related

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77 to store fronts, window wall and curtain wall construction, including welding of attachments to  
78 building structure.

79 **SEC. 5(e).** Any and all sheet metal work regardless of material specified for use in  
80 connection with or incidental to steeples, domes, minarets, lookouts, dormers, louvers, ridges,  
81 coping, roofing, decking, hips, valleys, gutters, outlets, roof flanges, flashing, gravel stops, leader  
82 heads, down spouts, mansards, balustrades, skylights, metal siding and composite panels including  
83 supports, studs, sheathing, drywall and related materials, solar shingle panels, PVC metal and all  
84 other types of coated metal or materials used in place thereof, cornice molding, columns, capitals,  
85 panels, pilasters, mullions, spandrels and any and all other shapes, forms and design of sheet metal  
86 work specified for use for waterproofing, weatherproofing, fireproofing, soundproofing,  
87 ornamental, decorative or display purposes, or as trim on exterior of buildings.

88 **SEC. 5(f).** Any and all types of sheet metal buildings including hangars, garages, service  
89 stations, commercial or storage buildings of permanent or portable design, whether manufactured,  
90 fabricated, or erected to meet specific requirements or whether constructed of standard patented  
91 units of flat, formed in brake, corrugated, rolled, drawn, or stamped sheets, shapes and forms of  
92 plain, protected or ornamental design.

93 **SEC. 5(g).** Any and all types of sheet metal marquees, vestibule and storm door enclosures,  
94 window frames, molding, cornices, pilasters, mullions, panels, sills, heads, awning covers, corner  
95 posts, stops, light troughs reflectors and deflectors, bulletin boards and any and all types of sheet  
96 metal signs specified for use in connection with or incidental to display windows, building fronts,  
97 store fronts, and theater fronts, for fireproofing, weatherproofing, waterproofing, ornamental or  
98 display advertising purposes.

99 **SEC. 5(h). Sign Work.** Any and all work in connection with the manufacture, fabrication,  
100 assembly, erection, installation, dismantling, re-conditioning, adjustment, alteration, repairing,  
101 servicing and maintenance of all interior and exterior/outdoor industry related products, used in  
102 the manufacture and erection of all electrical, plastic, fiberglass, vinyl and neon signs, commercial  
103 signs, storefronts, awnings, marquee signs, soffits, display showcases, LED modules, road signs,  
104 bulletin boards, scoreboards and billboards for indoor and outdoor advertising including but not  
105 limited to any and all sign support structure, billboard panels, sign cabinets or the like in kind  
106 serving the same purpose, the layout, fabrication and installation of any base plate, gusset plates,  
107 companion flanges and attachment of these sections to any support member, such as pipe, angle  
108 iron, channel and/or wide flange beams, tube steel and/or other similar shapes, the preparation of  
109 all shop and field sketches whether manually drawn or computer assisted used in fabrication and  
110 erection, including those taken from original architectural and engineering drawings or sketches.  
111 This work also includes the layout, fabrication and installation of upper support structures used to  
112 support the sign face(s) including catwalks, ladders, rails and any and all substitute signage or  
113 materials used in lieu thereof and any other identification system whether interior or  
114 exterior/outdoor advertising included in the jurisdictional claims of the SMART, including any  
115 and all related future technological advancements that may enhance, replace or compete with the  
116 scope of work provided herein that serves the same or similar purpose and shall include installation  
117 and fabrication of cell site and green products including but not limited to wind and solar.



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118           **SEC. 5(i).** Any and all sheet metal work used in connection with or incidental to the  
119 equipment and operation of grain elevators, mills, factories, warehouses, manufacturing plants and  
120 commercial buildings, including elevator legs and enclosures, chutes, hoppers, carriers, spirals,  
121 automatic and other conveyors, package chutes, fire apparatus and enclosures for same, pipes and  
122 fittings, dampers, machine guards, cyclones, fans, blowers, dust collecting systems, walk-in boxes,  
123 environmentally-controlled chambers, ovens and driers, heating, ventilation and air conditioning,  
124 and all other types of sheet metal work and equipment, mechanical or otherwise, in connection  
125 with or incidental to the operation thereof.

126           **SEC. 5(j).** Any and all types of sheet metal window frames, sash, bucks, doors, frames,  
127 trim, picture molding, freeze molding, wire molding, chair rail and base panels, wainscoting,  
128 mullions, pilasters, sills, permanent vestibule partitions, smoke and fire screens, portable and  
129 permanent screens and partitions for hospitals, office, commercial and factory use, toilet, shower  
130 and dressing room partitions, elevator and other types of enclosures specified for use as equipment  
131 and interior trim.

132           **SEC. 5(k).** Any and all types of sheet metal ceilings with cornices and molding of plain,  
133 ornamental, enameled, glazed, or acoustic type, and any and all types of side walls, wainscoting  
134 of plain, ornamental, enameled, or glazed types, including sheet metal tile, and the application of  
135 all necessary wood or metal furring, plastic or other materials, to which they are directly applied  
136 and the interior application of manufactured metal or ACM panels, column covers and  
137 appurtenances.

138           **SEC. 5(l).** Any and all moving picture booths and any and all sheet metal work in  
139 connection with indirect lighting systems, including side lights and foot lights in theaters,  
140 auditoriums, schools, etc.

141           **SEC. 5(m).** Any and all types of sheet metal work, Heating, Ventilating, Air Conditioning  
142 and/or Refrigeration (HVACR), design, detailing, building information modeling (BIM),  
143 fabrication, erection, installation, service, maintenance, performance verification and operation in  
144 connection with or incidental to direct, indirect or other types of HVACR, regardless of materials  
145 used. Building environmental systems, risers, under floor air distribution systems, stacks, ducts,  
146 fittings, testing and sealing of all air distribution systems including ducts, plenums and or building  
147 cavities utilized for the distribution of ventilation or conditioned air, variable air volume boxes  
148 (VAV), constant air volume boxes (CAV), chilled beams, convectors, refrigerant piping, roof top  
149 units (RTU), heat recovery wheels, system economizers, electrical and acoustical sound  
150 attenuation equipment, dampers, louvers, hoods, casings, recess boxes, outlets, radiator enclosures,  
151 exhausts, ventilators, frames, grilles, registers, diffusers, chilled beams, cabinets, plenums, fans  
152 and motors, air washers, filters, air brushes, housings, air conditioning chambers, all setting,  
153 hanging and start-up of air conditioning units, unit heaters, or air-veyor systems and condensing  
154 units, evaporator coils, heat pumps, evaporative cooling units, variable refrigerant flow (VRF)  
155 systems, refrigeration piping, direct digital controls, fault detection controls, building  
156 environmental sensor systems, air handling and air treating systems, natural ventilation systems  
157 and controls, including all equipment and/or reinforcements and support systems in connection  
158 therewith. Any and all types of testing, adjusting and balancing, system acceptance testing,  
159 functional performance testing, commissioning and retro-commissioning performed in

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160 conjunction with HVACR and/or other building environmental systems including fire life safety  
161 systems validation and verification including fire damper, fire/smoke damper, stairwell  
162 pressurization and smoke evacuation systems.

163 Design, installation, detailing, BIM, commissioning, retro-commissioning, functional  
164 performance testing, acceptance testing, service, operation and maintenance of all smog control,  
165 filtration and cleaning of air systems and equipment, air pollution and recovery systems and  
166 component parts thereof, including setting of same by any method, any and all work in connection  
167 with and/or incidental to the manufacture, fabrication, handling, erection, installation, maintenance  
168 and repair of solar energy systems, including but not limited to residential, commercial,  
169 institutional and industrial installation and any and all other sheet metal work and equipment,  
170 mechanical, service, or otherwise, in connection with or incidental to the proper installation,  
171 servicing and operation of said systems, and all duct and mechanical connections to and from same  
172 including all equipment and/or reinforcements and support systems in connection therewith.

173 Any and all installation and removal of lagging, all lagging over insulation, combined insulated  
174 siding panels utilized for personnel protection and/or insulation of industrial ventilation systems,  
175 steam pipes, bag houses, precipitators, turbines, ball mills and all duct lining utilized in commercial  
176 HVACR air distribution systems, duct wrap of any type or material, including but not limited to  
177 rigid board insulation, fire stop, and the fabrication and installation of reflective metal insulation.

178 **SEC. 5(n).** Any and all types of energy and indoor environmental quality auditing (IEQ)  
179 used in connection with or incidental to energy management and commissioning of buildings  
180 including analyzing utility rates, weather data, energy consumption records, contract drawings and  
181 specifications, as-built drawings, operating logs; surveying building site and envelope;  
182 investigating space utilization and operating practices; observing and testing lighting, power,  
183 heating, ventilating, air conditioning, humidification, automatic control, food service,  
184 transportation, heat recovery solar and process systems; performing operation and maintenance  
185 energy conservation measures; monitoring results of energy management retrofit measures;  
186 servicing energized systems after retrofit.

187 **SEC. 5(o).** Any and all types of sheet metal work in connection with or incidental to  
188 residential work, including metal roofing and siding, gutters, downspouts, kitchen vents, bathroom  
189 vents, prefabricated fireplaces, shower enclosures, heating and air conditioning equipment,  
190 controls and service incidental to the proper installation and operation of same.

191 Any and all types of warm air furnaces, including assembling and setting-up of all cast iron  
192 parts, all stoker, gas and oil burner equipment and all gas piping used in connection with warm air  
193 heating, all sheet metal hoods, casings, wall stacks, smoke pipes, trunk lines, cold air intake, air  
194 chambers, vent pipes, frames, registers, dampers and regulating devices, and all other sheet metal  
195 work and equipment, mechanical or otherwise, in connection with or incidental to the proper  
196 installation, servicing and operation of same.

197 **SEC. 5(p).** Any and all types of sheet metal work in connection with industrial work  
198 including but not limited to industrial, generating, steel and aluminum, oil refining, chemical,  
199 coking, nuclear, power and similar type plants and all other work in connection therewith including

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200 exhaust, smog control, air pollution and recovery systems, air-veyor systems and component parts  
201 thereof, regardless of material used, including setting of same by any method, imbedments,  
202 installation and drawings for unistruts and erection of support steel.

203 Any and all types of sheet metal smoke pipe, elbows, fittings and breeching for boilers,  
204 heaters and furnaces. All sheet metal lagging and jackets on engines. Any and all sheet metal drip  
205 pans, exhaust pipes, heads, safety flues, and other appliances in connection with or incidental to  
206 boilers, heaters, furnaces, engines, machinery, etc.

207 **SEC. 5(q).** Any and all types of sheet metal furniture and equipment, lockers, shelving,  
208 library stacks, warehouse, factory and storage stacks, bins, sinks, drainboards laboratory  
209 equipment, etc., specified for use as equipment or incidental to the operation of offices, factories,  
210 libraries, hotels, hospitals, apartments, schools, banks, public and semi-public buildings, and for  
211 general commercial use, and any and all types of caskets and coffins.

212 **SEC. 5(r).** Any and all sheet metal work in connection with or incidental to the equipment  
213 and operation of kitchens in hotels, restaurants, hospitals, lunch rooms, drug stores, banks, dining  
214 cars, public and semi-public buildings, including ranges, canopies, steam tables, work tables,  
215 dishwashers, coffee urns, soda fountains, warming closets, sink drainboards, garbage chutes and  
216 incinerators, refrigerators and other sheet metal work in connection with kitchen equipment or  
217 refrigerating plants.

218 **SEC. 5(s).** Any and all types of sheet metal work in connection with or incidental to laundry  
219 equipment and machinery, washers, clothes dryers and laundry chutes.

220 **SEC. 5(t).** Any and all types of sheet metal work, coppersmith work and mechanical work  
221 in connection with or incidental to the manufacture, fabrication, assembling, maintenance and  
222 repair of automobiles, buses, trucks, airplanes, pontoons, dirigibles, blimps, and other type of  
223 aircraft and equipment, and any and all types of aircraft hangars.

224 **SEC. 5(u).** Any and all types of sheet metal chandeliers, lamps and lighting fixtures,  
225 ornaments, decorations, household ware, and miscellaneous articles for use in factories and mills;  
226 any and all types of sheet metal switch boxes, cut-out boxes, panel boards, cabinets and speaking  
227 tubes.

228 **SEC. 5(v).** Any and all types of sheet metal badges, buttons and novelties with all hard or  
229 soft soldering in connection with same by flame or other method.

230 **SEC. 5(w).** Any and all types of sheets, tubing, pipes and fittings, used in connection with  
231 or incidental to coppersmith work, regardless of gauge or material. The manufacture, fabrication,  
232 assembling, erection, maintenance, repair and dismantling of all said coppersmith work, including  
233 the bending of tubes, pipes and coils and all pipe fitting in connection with or incidental thereto,  
234 and the testing of equipment when installed to insure proper operation.

235 **SEC. 5(x). Boats and Ships, Definition and Duties.** Manufacture, fabrication,  
236 assembling, erection, hanging, application, adjusting, alteration, repairing, dismantling, re-

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237 conditioning, testing and maintenance of all sheet metal work and coppersmithing work in  
238 connection with or incidental to building, maintenance and repair of ships and boats, including  
239 smoke stacks, life rafts, life buoys, crow's nests, bulkheads, telegraph and speaking tubes, switch  
240 and cut-out boxes, lagging on boilers and engines, lining of all partitions, paint and lamp lockers,  
241 refrigerating compartments, battery compartments, galleys and shower baths, ventilation and  
242 kitchen equipment, ventilation piping and fittings, sheet metal lockers, sheet metal doors, sheet  
243 metal windows, steel and non-ferrous metal sheathing, sheet metal casings for housing cable, gong  
244 pull and mechanical telegraph leads, and metal lagging for machinery, boilers, pipelines, etc., sheet  
245 metal structural partitions and enclosures including pilasters, wire mesh and incidental fittings,  
246 launch and boat canopies, galley ranges, and their smoke pipes, sheet metal dresser tops, sheet  
247 metal ventilator cowls, air tanks, fuel oil tanks, battery lockers, metal furniture, sheet metal  
248 containers for handling and storing foods, paints, water and other materials, cooking utensils,  
249 funnels, measures and similar miscellaneous articles made of sheet metal; covers with sheet lead,  
250 such articles as battery boxes, battery shelves, iceboxes and other wooden and steel parts, and  
251 items subject to corrosion; measures, marks and cuts sheet lead to size; fits and forms it about  
252 surface to be covered by heating and hammering about the edges and into corners until snug fit is  
253 obtained; making templates, forms, developing, laying out and cutting patterns, shearing, flanging,  
254 forming bumping, rolling, spinning, punching, stamping, riveting, soldering, and all resistance  
255 welding (including, but not limited to, spot and seam welding) performed on machines designed  
256 for that purpose in connection with fabrication, assembly and repair of all sheet metal and all  
257 reinforcements in connection with the above specified work.

258         **SEC. 5(y). Roofing, Damp and Waterproofing.** The right to apply and install (i) Slate,  
259 tile, asbestos and asphalt roofing shingles and all cementing, laying of felt, paper, insulation or  
260 other underlayment, dressing, punching, cutting either by hand or by machinery in connection with  
261 slate, tile, asbestos and asphalt shingles and any and all substitute materials taking the place of  
262 slate, tile, asbestos and asphalt shingles and the removal of slate and tile when the same is to be  
263 re-laid.

264         (ii) Above deck roof vapor barriers of all kinds, roof insulation of all kinds, composition  
265 and built-up roofing of all kinds including hot and cold applied, single ply application, prepared,  
266 plastic, fluid applied, sheet applied and mastic roofing, all associated roof surfacing including  
267 aggregates, coating, traffic planks, and decorative finishes. Any materials used as a substitute or  
268 taking the place of metal and all forms of elastomeric and/or plastic (elastoplastic) roofing systems,  
269 both sheet and liquid, whether single-ply or multi-ply such as bituminous, vinyl, PVC, tile, slate,  
270 shingles or similar substitute products.

271         (iii) Any and all materials used for damp proofing, waterproofing and/or weatherproofing  
272 regardless of location in building system or method of application of all laying of tile, brick, wood  
273 block, mastic or composition decks or floor when laid in pitch, tar, mastic or any other form of  
274 bitumen, all pre-formed waterproofing, compressed paper, chemically prepared paper, burlap and  
275 substitute waterproofing products.

276         (iv) All slabs of precast concrete, composition, mineral or other such materials placed over  
277 roofing or waterproofing.

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278 (v) Unloading, handling and hoisting of all tools and materials to be used in connection  
279 with the work described above except where cranes or other heavy equipment is required.  
280 Employees shall operate their own job site equipment including but not limited to pumps, kettles,  
281 burners, hoists, spray guns, conveyors, mixers and all gasoline, propane or electrically powered  
282 equipment used in composition roofing system installations.

283 (vi) Any and all materials used in connection with the Environmentally Friendly Green  
284 Roofing Systems, including roofing, damp proofing, waterproofing and weatherproofing  
285 regardless of location and maintenance thereof.

286 **SEC. 5(z). Green Work.** Any and all selection and layout of HVACR equipment, system,  
287 and control requirements, any and all sheet metal work, and the planning, design, implementation  
288 of workflow of any and all HVACR and sheet metal components, materials and subassemblies in  
289 conjunction with the design, development, layout, implementation, construction and  
290 documentation performed as any part of a building rating method, process, procedure or system  
291 either for new construction or renovations or retrofits of HVACR systems and sheet metal work  
292 falling under said rating method, process, procedure or system. This applies but is not limited to  
293 building rating method, process, procedure or system such as the U.S. Green Building Council's  
294 (USGBC) Leadership in Energy and Environmental Design (LEED™) Green Building Rating  
295 System, Green Globes™ certification by The Green Building Initiative (GBI) or the Building  
296 Research Establishment Assessment Method (BREAM) Canada as adopted by the Canadian  
297 Standards Association (CSA).

298 Any and all auditing, commissioning, testing, servicing and maintenance of all HVACR  
299 and sheet metal work in connection with a building rating method, process, procedure or system  
300 either for new construction or renovations or retrofits of HVACR systems and sheet metal work  
301 falling in part or whole under said rating method, process, procedure or system as described above.

302 **SEC. 5(aa).** Railroad shopmen shall include sheet metal workers (tanners), coppersmiths  
303 and pipe fitters employed in shops, yards, buildings, on passenger coaches, work equipment,  
304 refrigeration, etc., and on engines of all kinds, skilled in the building, erecting, assembling,  
305 installing, dismantling and maintaining parts made of sheet copper, brass, tin, zinc, white metal  
306 and lead, black planished, galvanized and pickled iron, aluminum, stainless and chrome steel,  
307 Monel metal, German silver, and any other base or alloyed sheet metal. This shall include all flat,  
308 formed in brake or press, corrugated or ribbed sheets on rolled, drawn, pressed, extruded, stamped  
309 or spun shapes, tubing or forms of any sheet metal together with all necessary or specified  
310 reinforcements, hangars, brackets, hardware and fittings, mechanical or otherwise, regardless of  
311 gauge or weight of metal when part of the operation or fabrication of parts; brazing, soft or hard  
312 solder, torch spray or hand-soldering, tinning, leading, babbiting, bending, fitting, cutting,  
313 threading, brazing, clamping, testing, connecting and disconnecting of air, water, sand, gas, oil and  
314 steam pipes and the operating of babbit fires and pipe threading machines, oxyacetylene, Thermit  
315 electric welding on work generally recognized as sheet metal workers' work. This jurisdiction  
316 includes the work performed in the Maintenance of Equipment, Maintenance of Way and all other  
317 departments of the railroad.

318 **SEC. 5(bb).** Any and all work on structures and systems involving sheathing,

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319 encapsulating, neutralizing, decontaminating, ducting, vending, removing, bagging, boxing,  
320 wrapping, transporting or otherwise disposing of matter in solid, liquid, or gaseous form that is, or  
321 may be, toxic, noxious, noisome, poisonous, infectious, or otherwise hazardous to health including,  
322 but not limited to, radon or other harmful gas, fibrous glass, asbestos or other friable or particulate  
323 matter, and material contaminated by chemical, bacterial, microbiological, or radioactive  
324 substances.

325         **SEC. 5(cc).** The manual, mechanical and chemical cleaning of all interior and exterior  
326 duct and HVACR systems and equipment, including but not limited to: duct cleaning and cleaning  
327 in connection with building environmental heating, ventilating, air conditioning and cooling  
328 systems including risers, stacks, ducts, fittings, dampers, louvers, frames, grills, registers,  
329 diffusers, outlets, radiators, coils, washers, filters, kitchen exhaust systems and enclosures,  
330 plenums, fans and motors, under floor systems and all building environmental systems, and all  
331 work in connection with indoor air quality including post-cleaning reports, as well as all work  
332 specified in this Article.

333         **SEC. 5(dd).** All drawings and sketches by computer-aided design (CAD), hand,  
334 backgrounds, as-builts, coordination (including collision detection), MEP coordination, used in  
335 shop fabrication and/or field erection, computer-aided manufacturing and pick off/take off (cut  
336 sheets) – the transformation, manual or electronic, from shop drawings to shop fabrication of  
337 ductwork and all related items, including any and all related future technological advancements  
338 that may enhance, replace or compete with the scope of work provided herein that serves the same  
339 or similar purpose.

340         **SEC. 5(ee).** All project management, estimation, detailing, shop fabrication, field  
341 installation, performance-oriented tasks such as testing and balancing performed by or with the  
342 iTi's Construction Coordination Software (CCS) or similar software, which includes, but is not  
343 limited to the tasks of: scheduling, tracking, reporting, purchasing and ordering of materials; pick-  
344 offs take-off and drawing creation; shop drawing creation, as-built drawing creation and batch  
345 creation for fabrication; downloading/uploading/inputting of all electronic information used for  
346 fabrication and erection, scheduling, inventory control, batch creation for fabrication and tracking;  
347 updating status of project completion, hanger placement using a total station, batch creation for  
348 fabrication and scheduling TAB reporting, energy audits, commissioning and retro  
349 commissioning; user account creation, networking and standard creation.

350         **SEC. 5(ff).** All computer room, clean room and dry room air systems including but not  
351 limited to floors, walls, fume hoods, ceilings, HEPA filters, any other filtration systems and other  
352 appurtenances thereof and other architectural sheet metal work and metal wall protection systems.

353         All fabrication, handling and installation of semiconductor and nanotechnology industry-  
354 related air systems, including but not limited to, vacuum pump and/or tool exhaust systems,  
355 scrubber pipe/duct (regardless of material used), headers and exhaust to atmosphere, exhaust fans,  
356 dry scrubbers, exhaust, vent lines from gas, tool and vacuum pump cabinets, exhaust lines from  
357 wet benches and burn boxes, all dampers and/or air valves associated with exhaust/vent lines listed  
358 in this Section.

359         **SEC 5(gg).** Milling, fashioning, joining, assembling, erection, fastening or dismantling of

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360 all material of wood, plastic, metal, fiber, cork and composition, and all other materials as well as  
361 the handling, cleaning, erecting, installing, repair, renovation, maintenance, and dismantling of all  
362 machinery and equipment.

363 **SEC 5(hh).** Any and all work with and on robotics, including but not limited to, rigging,  
364 handling, installing, maintaining, programming, and use of all stationary and/or portable robots,  
365 including the use of all robots used in any industry, including the nuclear field.

366 **SEC. 5(ii).** All items of work described in Sections (a) to (hh) in which plastics or other  
367 materials are used in lieu of sheet metal, or the operation of any equipment, methods, processes or  
368 new technology used as a substitute, replacement or change of traditional HVACR and sheet metal  
369 jobs, methods or procedures.

370 **SEC. 5(jj).** Any and all welding, rigging and hoisting in connection with the work specified  
371 in this Article.

372 **SEC. 5(kk).** Each local union and council of this Association and all officers,  
373 representatives and members thereof are obligated to recognize, protect and to be governed by the  
374 jurisdictional rights as set forth herein, and no local union, council, officer, representative, or  
375 member thereof shall waive or relinquish claim to any such work or submit same to arbitration  
376 except with the approval and authority of the General President.

377 **SEC. 5(ll).** Nothing contained in this Section 5 shall be construed as any limitation on the  
378 jurisdictional claims of this Association to production work.

379 **SECTION 6--REVENUE AND FUNDS**

380 **SEC. 6(a).** The revenue of this Association shall be derived from the charter fees, initiation  
381 fees, reinitiation fees, reinstatement fees, per capita dues, dues, assessments, sale of supplies, fees  
382 for services rendered, interest on deposits, income and capital gains on investments, and grants.  
383 Pursuant to the provisions of the Labor Management Relations Act, it is the duty of every officer,  
384 agent, employee and other representative of this Association, taking into account the special  
385 problems and functions of a labor organization, to hold its money and property solely for the  
386 benefit of this Association and its members and to manage, invest, and expend the same in  
387 accordance with this Constitution and by-laws and any resolutions of the governing bodies adopted  
388 thereunder, to refrain from dealing with this Association as an adverse party in any matter  
389 connected with his or her duties and from holding or acquiring any pecuniary or personal interest  
390 which conflicts with the interest of this Association, and to account to this Association for any  
391 profit received by him or her in whatever capacity in connection with transactions conducted by  
392 him or her or under his or her direction on its behalf. Since the general executive, administrative  
393 and judicial powers of this Association are vested in the General Executive Council in the interim  
394 between Conventions, the General President and General Secretary-Treasurer shall manage, invest  
395 and expend the funds and property of this Association in accordance with all applicable provisions  
396 of this Constitution and any resolution adopted by the General Executive Council not inconsistent  
397 therewith.

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398           **SEC. 6(b).** Except as otherwise provided in this Constitution the revenue of this  
399 Association shall be divided into nine (9) funds; namely, the General Fund, the Strike/Defense  
400 Fund, the Disaster Relief Fund, the General Convention and Business Managers and Business  
401 Representatives Conference Fund, Transportation Convention Fund, Maintenance of Membership  
402 Fund, Public Relations Fund, Transportation Strike Fund and the Education and Training Fund.

403           **Sec. 6 (c).** While the revenue of this Association, including revenue received through the  
404 SMART TD Account as long as it is needed, will be divided into the funds specified in Article 1,  
405 Section 6(b), the General Secretary-Treasurer, with approval of the General Executive Council,  
406 may combine or consolidate any of the listed funds. The General Executive Council may authorize  
407 the consolidation of all of the funds specified in Article 1, Section 6(b) into a single general fund  
408 with separate accounts for the same purposes as the specified funds. If a consolidated general fund  
409 is established, all references in this Constitution to the specified funds shall be deemed to be to  
410 accounts for the same purposes. The General Secretary-Treasurer shall maintain a system of  
411 accounting where the revenues and disbursements of the Association and any or all Divisions are  
412 recorded and listed within the annual budget and financial statements. The General Executive  
413 Council may make temporary or permanent transfers between funds upon the recommendation of  
414 the General Secretary-Treasurer.

415           **Sec. 6(d)** The Strike/Defense Fund and Transportation Strike Fund shall be maintained and  
416 disbursed in accordance with the provisions of Articles Thirty (30) and Twenty-One B (21B) of  
417 this Constitution.

418           **SEC. 6(e).** The SMART Disaster Relief Fund shall be maintained and disbursed in  
419 accordance with Article Ten (10), Section 16 of this Constitution. The General Executive Council  
420 shall have the ability between conventions to eliminate this fund and establish a similar fund that  
421 is exempt under Internal Revenue Code 50

422           1(c)(3).

423           **SEC. 6(f).** The General Convention and Business Managers and Business Representatives  
424 Conference Fund and the Transportation Convention Fund shall be maintained and disbursed in  
425 accordance with Articles Thirty-Two (32) and Twenty-One B (21B) of this Constitution.

426           **SEC. 6(g).** All revenue of this Association not allocated to the Strike/Defense Fund or the  
427 General Convention and Business Managers and Business Representatives Conference Fund or  
428 the SMART Disaster Relief Fund, the Transportation Convention Fund, the Maintenance of  
429 Membership Fund, the Public Relations Fund, the Transportation Strike Fund or the Education and  
430 Training Fund, shall be placed in the General Fund from which there shall be paid the general  
431 operating expenses of the Association, the contributions to the National Pension Fund on behalf  
432 of Staff who participate in that Fund, the Sheet Metal Workers' International Association Staff  
433 Pension Plan, the SMART Local Unions & Councils Pension Fund and the National Supplemental  
434 Savings Fund on behalf of Staff who participate in these Funds established pursuant to and in  
435 accordance with the provisions of Section 13 of Article Two (2), the payment of premiums for  
436 insurance benefits for members provided pursuant to and in accordance with Section 9 of Article  
437 One (1), and such other necessary or proper expenses in connection with, or incidental to the



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438 promotion of the general good, defense, protection and operation of this Association.

439 **SEC. 6(h).** Except as hereinafter otherwise provided, all funds of this Association not held  
440 in cash or on deposit in commercial bank accounts shall be deposited in the name of the  
441 International Association of Sheet Metal, Air, Rail and Transportation Workers pursuant to the  
442 following guidelines:

443 1. In dollar-denominated obligations of the United States Government, its agencies and  
444 instrumentalities (includes both nominal and inflation-linked bonds); but not to exceed  
445 eighty-five percent (85%) of the total assets of the Association.

446 2. In federally-insured banks that pay competitive rates of interest on such deposits in the  
447 United States and Canada selected by the General Secretary-Treasurer and approved  
448 by the General President.

449 3. In savings accounts selected by the General Secretary-Treasurer and approved by the  
450 General President that are fully guaranteed against loss of principal by the United States  
451 Government or one of its agencies; but not to exceed ten percent (10%) of the total  
452 assets of this Association.

453 4. In fixed income investments including: (i) dollar-denominated obligations of the U.S.  
454 corporations; (ii) mortgage-backed securities, including collateralized mortgage  
455 obligations (CMOs); (iii) commercial mortgage-backed securities; (iv) asset-backed  
456 securities (ABSs); (v) municipal bonds; (vi) short-term securities; (vii) securities of  
457 foreign companies or foreign countries (sovereigns and supranationals) denominated  
458 in U.S. dollars, trading in U.S. markets (Yankee bonds) ; and (viii) dollar-denominated  
459 obligations of U.S. companies or foreign companies trading outside the U.S.  
460 (Eurobonds). Except for investments that are held by pooled investment vehicles  
461 described in subsection 5, below, the investment in any one company is restricted to  
462 five percent (5%) of the total fixed income investment of this Association.

463 5. In mutual funds and other pooled investment vehicles, such as limited liability  
464 partnerships, bank collective trusts, insurance company separate accounts, or  
465 commingled funds.

466 6. In corporate common stocks listed on the New York, American, or NASDAQ Stock  
467 Exchanges; but not to exceed seventy-five percent (75%) of the total assets of this  
468 Association.

469 7. In commercial paper for short terms of no more than ninety (90) days, and preferably  
470 in multiples of One Hundred Thousand Dollars (\$100,000.00), provided the  
471 corporations issuing the commercial paper have been approved by the General  
472 President and General Secretary-Treasurer, and further that these temporary  
473 investments do not exceed forty percent (40%) of the total assets of this Association.

474 8. In broker or custodial cash accounts with reputable firms pending further investment

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475 or in money market accounts of investment grade banks or financial institutions.

476 9. With the approval of the General Executive Council, (a) for the purchase, lease, rental,  
477 or construction of buildings or the purchase, lease, or rental of property upon which  
478 such buildings may be constructed, or both or (b) for the purchase, lease or rental of  
479 buildings or real property or an interest therein which will be used, in whole or in part,  
480 for the General Office of this Association or branches thereof and/or to provide suitable  
481 furnished living quarters or allowance for such within the metropolitan Washington  
482 D.C. area for the General President and General Secretary-Treasurer during their  
483 respective terms of office.

484 10. With the approval of the General Executive Council, for the purchase, lease, or rental  
485 of vehicular transportation equipment and facilities and the employment of qualified  
486 professional drivers to operate the same.

487 11. In types of investment instruments not identified above, but only if they are held by  
488 mutual funds or other pooled investment vehicles.

489 Unless they are held in a pooled investment vehicle, fixed income investments that are  
490 rated below "investment grade" by Standard and Poor's and Moody's investment services shall be  
491 made only upon the written recommendation of a recognized and qualified investment authority  
492 who has been approved by the General Executive Council.

493 All securities or certificates of value shall be kept in a safety deposit box or boxes in a bank  
494 or banks in the Washington, D.C. metropolitan area, registered in the name of the International  
495 Association of Sheet Metal, Air, Rail and Transportation Workers except that securities or  
496 certificates of value may be registered in the street names of reliable investment banks or securities  
497 investment firms, and, if so registered, may be deposited with such banks or firms. All  
498 disbursements made from the funds of this Association shall be on order or check properly signed  
499 by the General Secretary-Treasurer and countersigned by the General President or made  
500 electronically within the requirements of Article Five (5), Section 1(a).

501 **SEC. 6(i).** A uniform system of bookkeeping and records shall be established at the  
502 General Office by the General Secretary-Treasurer and all divisions, local unions, councils,  
503 officers, representatives, and members thereof shall conform to said uniform system of  
504 bookkeeping and records in submitting reports, in correspondence, and in all other relations with  
505 the General Office, and in all matters affecting the record or standing or obligations of local unions,  
506 councils, officers, representatives, and members thereof, all subject to approval by the General  
507 Executive Council.

508 **SEC. 6(j).** All monies sent to the General Office must be in the form of money order, bank  
509 draft, check, direct deposit, wire transfer or automated clearinghouse (ACH) network made  
510 payable to International Association of Sheet Metal, Air, Rail and Transportation Workers.

511 **SEC. 6(k).** The fiscal quarters of each year shall begin January 1, April 1, July 1 and  
512 October 1.

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513

**SECTION 7--DISSOLUTION**

514           **SEC. 7.** The Association shall not be dissolved so long as five (5) or more local unions in  
515 good standing acknowledge its authority and comply with all of the provisions of this Constitution.

516

**SECTION 8--ASSESSMENTS**

517           **SEC. 8(a).** Whenever the amount of money in the General Fund, or the separately  
518 accounted General Fund account of any division, falls below six-months' operating expenses for  
519 the Association or any division, based on the previous year's audit of expenses, the General  
520 President or President of the Division shall submit to the General Executive Council a plan for  
521 maintaining the assets at the six-month level. If the assets fall below three-months' operating  
522 expenses, an assessment shall be levied by this Association and said assessment shall be paid by  
523 all applicable members in such amounts and in such manner as may be necessary to restore and  
524 maintain the sum of a minimum of six-months' operating expenses in the General Fund. The  
525 amount of each assessment imposed on members and the manner and time limit for payment shall  
526 be determined by the General President with the approval of a majority of the General Executive  
527 Council.

528           **SEC. 8(b).** Whenever the General President deems it necessary to call for an assessment  
529 other than that provided for in Section 8(a) of this Article, he shall authorize the General Secretary-  
530 Treasurer to notify the General Executive Council of same. If the General Executive Council  
531 approves the recommendation of the General President, by majority vote, the General Secretary-  
532 Treasurer shall then send a circular and ballots to all local unions of this Association calling for a  
533 vote of their membership by secret ballot, and specifying the reason for said assessment. The  
534 voting shall take place at a regular or special meeting of each local union after notice to the  
535 membership that such vote will be taken and only those members who are present shall be entitled  
536 to vote. Local unions shall be required to make complete returns of their vote to the General  
537 Secretary-Treasurer within thirty (30) days after they have received their ballots from the General  
538 Secretary-Treasurer and the president, financial secretary-treasurer, and tellers, if any, shall certify  
539 as to the correctness of the result. All ballots sent to the local union shall be returned to the General  
540 Secretary-Treasurer after the vote whether they were voted or not. If a majority of all valid votes  
541 cast, pursuant to the call of the General Secretary-Treasurer, are in favor of the assessment, the  
542 prior action of the General Executive Council in approving it shall become effective provided that  
543 such assessment shall be effective only until the next regular Convention of this Association.  
544 Ballots must be returned to the office of the General Secretary-Treasurer within forty-eight (48)  
545 hours after the vote of the local union has been completed.

546           **SEC. 8(c).** No per capita dues shall be accepted by the General Secretary-Treasurer or  
547 credited by him on the record of any member, following the date or dates any assessments provided  
548 for in this Section become due, until the assessments due from such member are actually received  
549 by the General Secretary-Treasurer.

550

**SECTION 9--INSURANCE BENEFITS**

551           **SEC. 9(a).** The General Executive Council is authorized to consummate a contract with such

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552 insurance company and/or self-insure as it may select to provide insurance benefits covering  
553 accidental death, dismemberment and loss of vision for those members of this Association, other  
554 than those covered under Article 21B, who meet the qualifications set forth in Section 9(b) of this  
555 Article. Such benefits shall not exceed the sum of Seven Thousand Five Hundred Dollars  
556 (\$7,500.00) per member, except that in the event the General Executive Council determines that  
557 the cost of an increased benefit is feasible, it may, without further Convention action, authorize  
558 such increase to an amount not exceeding Twenty Thousand Dollars (\$20,000.00).

559 **SEC. 9(b).** In order to be eligible to receive any of the benefits for which provision is made  
560 in this Section, a member must have paid his or her dues in advance for the month in which his or  
561 her claim to benefits accrued and no member whose dues have not been paid on or before the first  
562 day of any month shall be entitled to any benefits during that month. Members on withdrawal  
563 card, limited members, special limited members and associate members are not eligible for this  
564 insurance benefit.

565 **SEC. 9(c).** The General Executive Council is also authorized, to purchase life or other  
566 types of insurance policies, with the International as the principal beneficiary, insuring the life of  
567 the General President in such amount as it may consider appropriate to protect this International  
568 Association against the loss that would be incurred from the untimely death of said General Officer  
569 during his term of office.

### 570 **SECTION 10--RESPONSIBILITY**

571 **SEC. 10.** It is the obligation and responsibility of every officer, member, local union and  
572 council of this Association, to comply with the provisions of this Constitution and the valid  
573 decisions of the officers of this Association in conformity therewith and they shall refrain from  
574 any conduct which interferes with the performance by the Association or its subordinate units of  
575 their obligations under law or contract or from any conduct which defeats or is designed to defeat  
576 or subvert the lawfully declared and established policies and objectives of this Association, or  
577 which may defame it or any of its officers, members or subordinate units.

### 578 **SECTION 11 - SERVICE BY MAIL**

579 **SEC. 11.** For purposes of Articles Two (2), Eighteen (18), and Nineteen (19) of this  
580 Constitution, where mailing is required, a document shall be deemed received by the party to  
581 whom it is addressed at the time such document is mailed by certified, registered, or overnight  
582 mail, the date on the postmark or overnight receipt being determinative. When calculating time  
583 limits, the reference to "days" shall mean calendar days.

ARTICLE TWO (2)

ARTICLE TWO (2)

General Officers

SECTION 1--NUMBERS AND TITLES

SEC. 1. The General Officers of this Association shall be the General President, the General Secretary-Treasurer, eleven General Vice Presidents who hold membership in Sheet Metal affiliated local unions who shall be designated as the First, Second, Third, Fourth, Fifth, Sixth, Seventh, Eighth, Ninth, Tenth, and Eleventh General Vice Presidents and six General Vice Presidents duly elected by the Transportation Division who shall be designated as President Transportation Division/General Vice President, National Legislative Director/General Vice President and the four senior Vice President-International Representative/General Vice Presidents. At least one of such General Vice Presidents from Sheet Metal affiliated locals shall be a member of a Canadian Local Union and at least one shall be a member of a Production Local Union.

SECTION 1(a)--FINANCIAL SUPPORT FOR CAMPAIGN

SEC. 1(a). No candidate, including a prospective candidate, for any position set forth in Article Two (2), Section 1, or supporter of a candidate, may solicit or accept financial support, or any other direct or indirect support of any kind, except an individual's own volunteered personal time, from any nonmember, or associate or honorary member; nor may an owner-member, lawyer or employer volunteer personal time in support of such a prospective candidate.

SECTION 2--QUALIFICATIONS

SEC. 2(a). Candidates for a General Office must be members in good standing of a local union for a period of at least two (2) consecutive years immediately preceding their nomination and election or appointment and otherwise meet the qualifications of Article Twelve (12) of this Constitution.

SEC. 2(b). They must also at the time of their nomination and election or appointment either (i) be working at the trade for at least two (2) years immediately prior thereto, (ii) be eligible under Article Twenty-One B (21B), Section 7, (iii) be salaried officers or representatives of this Association, (iv) be salaried officers or representatives of a local union or council, or (v) be salaried officers or representatives of the AFL-CIO or an affiliate or subordinate unit thereof.

SECTION 3--AUTOMATIC CONVENTION DELEGATES

SEC. 3. The General President, the General Secretary-Treasurer and General Vice Presidents shall be delegates to the SMART General and Special Conventions by virtue of their offices and shall have a voice and vote in the deliberations and discussions but shall not be entitled to vote in the election of General Officers unless elected as a delegate by secret ballot of the membership of their respective local unions.

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33

**SECTION 4--MANDATORY TENDER OF RESIGNATION**

34

**SEC. 4.** Any officer of this Association when not employed at the trade, no longer meeting the conditions under Article 21B, not a salaried officer or representative of a local union or council, not a salaried officer or representative of the AFL-CIO or an affiliate or subordinate unit thereof, or not in the full time service of this Association or who is a recipient of a pension from the industry as an annuity or a lump sum, shall immediately tender his or her resignation as a General Officer, which must be accepted, and the vacancy filled as provided for in this Constitution.

40

**SECTION 5--TIME OF ELECTION**

41

**SEC. 5.** The General Officers from the Transportation Division shall be nominated and elected as provided in Article 21B, Sections 2 and 8. All other General Officers shall be nominated and elected by the General Convention at a session commencing on the third (3rd) day of the Convention which shall continue in session until the nominations and elections of officers have been completed, unless otherwise ordered by the Convention. The General President and General Secretary-Treasurer shall be elected by all delegates to the SMART General Convention and the General Vice Presidents shall be elected by the delegates who are from affiliates not within the Transportation Division.

49

**SECTION 6--METHOD OF ELECTION**

50

**SEC. 6.** No member shall be nominated for more than one (1) General Office and nomination and election for each General Office shall be held separately. If there are two (2) or more nominations for any General Office, the vote for such contested office shall be by roll call vote of the delegates and each local union and council having seated delegates present shall be entitled to the number of votes accorded it under Section 2(a) of Article Seven (7) of this Constitution. In the event that the delegates from a local union are unable to agree on the candidate for whom the votes of the local union shall be cast, each delegate of such local union shall be entitled to cast an equal pro rata share of the votes to which each local union is entitled. In the event there are contests for one or more General Offices, a single roll call shall be conducted for all such contested General Offices which shall be conducted at the conclusion of the votes on all General Offices for which there is no contest. Each delegate desiring to cast votes on the roll call shall identify his or her local union and state the number of votes he or she wishes to cast for a candidate for each such contested General Office.

63

The presiding officer shall appoint three (3) clerks who shall record the votes cast by the delegates from each local union and council and prepare and sign a report of the number of votes cast for each candidate. The candidate for each office receiving the highest number of votes shall be declared elected.

67

Incumbents of the offices of the First (1st) General Vice President to and including Eleventh (11th) General Vice President shall rank in the order of their length of service and at the conclusion of the election of General Officers the member last elected to one of said offices at such Convention shall become Eleventh (11th) Vice President and said other General Vice Presidents advanced in numerical order.

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72

**SECTION 7 - ELECTION PROTEST**

73           **SEC. 7.** Any protest to the election must be received by the General Secretary-Treasurer  
74 within seven (7) days from the date of the closing of the Convention, provided, however, that any  
75 member having knowledge of an alleged irregularity in the election but fails to notify the Chairman  
76 of the Rules Committee of the same shall not be entitled to file a protest of such irregularity. Such  
77 protest shall be in writing, setting forth the specific grounds, and signed by the complaining  
78 member or members. The General Executive Council shall hold a hearing on the protest(s) within  
79 fifteen (15) days after receipt of the same and shall make a final decision within seven (7) days  
80 after the conclusion of the hearing.

81

**SECTION 8--TERM OF OFFICE**

82           **SEC. 8.** All General Officers shall hold office until the end of the quarter in which the  
83 General Convention is held or until their successors are duly selected and installed, whichever  
84 occurs last, provided, however, that all General Officers elected at Conventions, shall be obligated  
85 immediately after the election.

86

**SECTION 9--FILLING VACANCY IN OFFICE OF GENERAL PRESIDENT**

87           **SEC. 9.** Should a vacancy occur in the office of General President as a result of death,  
88 incapacity, resignation or any other cause, the General Secretary-Treasurer shall immediately  
89 notify the General Executive Council of the vacancy which shall, by majority vote at a duly-  
90 scheduled or special-called session of the General Executive Council within thirty (30) days, elect  
91 a General President for the unexpired term. In the event the office of General Secretary-Treasurer  
92 shall also be vacant, such notice shall be provided by the First General Vice President or the next  
93 General Vice President in descending order if the First General Vice President is not available.

94

**SECTION 10-FILLING VACANCY IN OFFICE OF  
GENERAL SECRETARY-TREASURER**

95

96           **SEC. 10.** Should a vacancy occur in the office of General Secretary-Treasurer as a result  
97 of death or incapacity, resignation or any other cause, the General President shall immediately  
98 notify the General Executive Council of the vacancy which shall, by a majority vote at a duly-  
99 scheduled or special-called session of the General Executive Council within thirty (30) days, elect  
100 a General Secretary-Treasurer for the unexpired term. In the event the office of General President  
101 shall also be vacant, such notice shall be provided by the First General Vice President or the next  
102 General Vice President in descending order if the First General Vice President is not available.

103

**SECTION 11--FILLING VACANCIES IN OTHER GENERAL OFFICES**

104           **SEC. 11(a).** In case of a vacancy in the offices of First General Vice President to and  
105 including Eleventh General Vice President, the General President shall propose to the General  
106 Executive Council, as soon as practicable, the name of any eligible member and with the consent  
107 of a majority of the General Executive Council such member shall become a member of the  
108 General Executive Council. The member last appointed to the General Executive Council as

## ARTICLE TWO (2)

109 provided in this Section shall become Eleventh General Vice President, the ranking members of  
110 the General Executive Council advancing in numerical order. Such appointee shall be obligated  
111 by the General President.

112 **SEC. 11(b).** Should a vacancy occur whereby no General Vice President who is a member  
113 of a Production Local Union remains on the General Executive Council as a result of death,  
114 incapacity, resignation or any other cause, the General President shall within ninety (90) days from  
115 the date of vacancy propose to the General Executive Council the name of any eligible Production  
116 Local Union member and with the consent of a majority of the General Executive Council such  
117 member shall become the successor to that General Vice President for the unexpired term. Such  
118 appointee shall be obligated by the General President.

119 **SEC. 11(c).** Should a vacancy occur whereby no Canadian Vice President remains on the  
120 General Executive Council as a result of death, incapacity, resignation or any other cause, the  
121 General President shall within ninety (90) days from the date of vacancy propose to the General  
122 Executive Council the name of an eligible Canadian member after first consulting with and  
123 obtaining the approval of the Canadian Council of Sheet Metal Workers and Roofers. Such  
124 member, with the consent of a majority of the General Executive Council, shall become the  
125 General Vice President for Canada for the remaining unexpired term. Such appointee shall be  
126 obligated by the General President.

### 127 **SECTION 12—COMPENSATION AND EXPENSES**

128 **SEC. 12.** The salaries of the officers of the Transportation Division shall be determined as  
129 provided in Article Twenty-One B (21B), Section 29. The compensation and expenses of the other  
130 General Officers and the method of determining same shall be as established by the 1990  
131 Convention action and shall continue thereafter with the adjustments set forth under Article Eight  
132 (8), Section 5 until changed by action of a subsequent Convention.

### 133 **SECTION 13--PENSIONS**

134 **SEC. 13(a).** Except officers elected by the Transportation Division and Administrative  
135 Staff whose wages and salaries are allocated to the Transportation Division, General Officers,  
136 International Representatives, Organizers and Administrative Staff shall be covered by the "Sheet  
137 Metal Workers' International Association Staff Pension Plan." The Trustees of the Sheet Metal  
138 Workers' International Association Staff Pension Fund shall be appointed by the General President  
139 with the approval of the General Executive Council. The General Secretary-Treasurer is directed  
140 to transmit up to Three Dollars (\$3.00) per member per month (except members within the  
141 Transportation Division) for the purpose of assuring the continued operation of said Plan. The  
142 amounts transmitted shall be allocated to the "Sheet Metal Workers' International Association  
143 Staff Pension Fund" to meet the cost of the Plan as determined by the Plans' Enrolled Actuary.

144 **SEC. 13(b).** The General Executive Council may in its discretion authorize the payment  
145 of an annuity to be paid from the General Fund of this Association upon such terms and conditions  
146 as it may decide to any full time General Officer, International Representative, Organizer or  
147 Administrative Staff Assistant of this Association who retires from such office or position either



## ARTICLE TWO (2)

148 before having completed twenty (20) years of full time service or before having attained the age  
149 of sixty-five (65) years, or both, if in its judgment such General Officer, International  
150 Representative, Organizer or Administrative Staff Assistant is unable to perform his or her duties  
151 in an efficient or satisfactory manner because of age, illness or physical incapacity, or whose  
152 pension under the Sheet Metal Workers' International Association Staff Pension Plan shall be less  
153 than that accorded other persons pursuant to the provisions of this Section.

154 **SEC. 13(c).** Any annuity provided for in Subsection 13(b) of this Article may be terminated  
155 if, after hearing before the General Executive Council, the recipient thereof is found guilty of  
156 violating the laws, policies and principles of this Association, subject to appeal to a General  
157 Convention.

158 **SEC. 13(d).** Notwithstanding the provisions of this Section, the General Executive Council  
159 or the General Convention, by resolution, may increase the amount of the pensions provided for  
160 in Section 13(b) of this Article.

161 **SEC. 13(e).** There shall be established and maintained by the International Association the  
162 National Supplemental Savings Fund in which all General Officers, International Representatives  
163 and Organizers, and Administrative Staff in the United States, except officers elected by the  
164 Transportation Division and Administrative Staff whose wages and salaries are allocated to the  
165 Transportation Division, may participate. There shall be established a comparable savings or  
166 alternate compensation plan on behalf of all General Officers, International Representatives and  
167 Organizers, and Administrative Staff in Canada.

### 168 **SECTION 14--CHARGES AND TRIALS OF GENERAL OFFICERS**

169 **SEC. 14(a).** All charges preferred against General Officers of this Association for violation  
170 of the duties or obligations of their General Office shall be in the form of a written affidavit and  
171 shall contain a detailed statement of the facts out of which such charges originated, specified  
172 reference to the provisions of the Articles, Sections and Paragraphs of this Constitution or the  
173 policies, decisions, laws, rules or regulations which it is alleged have been or are being violated.  
174 Such charges may be filed against a General Officer by another General Officer, by any local  
175 union, or by an individual member in good standing, provided, however, that the filing of charges  
176 by an individual member other than a General Officer must first be approved by the local union of  
177 which such individual member is a member and such charges shall be accompanied by a written  
178 statement signed by the president of such local union that approval was obtained by a majority  
179 vote at a regular or special meeting of the local union.

180 **SEC. 14(b).** Such charges shall be mailed in duplicate by registered, certified, or overnight  
181 mail to the General Secretary-Treasurer by the president of the local union which preferred the  
182 charges or which approved the filing of the same at the request of a good standing member thereof;  
183 except that if the charges are filed by a General Officer they may be filed by such General Officer  
184 directly with the General Secretary-Treasurer. Should charges be preferred against the General  
185 Secretary-Treasurer, they shall be filed in the manner specified herein with the General President.

186 **SEC. 14(c).** When charges have been properly filed, as provided herein, a copy thereof

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187 shall be furnished the accused by the General Secretary-Treasurer, or the General President as the  
188 case may be; and notice of the fact that such charges were filed shall be sent to all local unions in  
189 the International Association. The accused shall, within fifteen (15) days from the date of receipt  
190 of a copy of such charges, file an answer with the General Secretary-Treasurer or General President  
191 as the case may be.

192 **SEC. 14(d).** Upon receipt of an answer from the accused, or in the event no answer is  
193 received, within the time prescribed in Section 14(c) of this Article, each member of the General  
194 Executive Council shall be furnished copies of the charges, answer and other documents filed by  
195 the parties in connection with such charges.

196 **SEC. 14(e).** After having been furnished with copies of the charges and other documents  
197 in connection therewith, the General Executive Council shall set a time and place for hearing or  
198 trial before the General Executive Council and notify the accused and the party or parties preferring  
199 the charges, by registered, certified or overnight mail, of the time and place of such hearing or  
200 trial.

201 **SEC. 14(f).** All parties shall be given full opportunity to present all relevant evidence and  
202 exhibits which they deem necessary to the proper presentation of their case and shall be entitled to  
203 cross-examine witnesses of the other party or parties.

204 **SEC. 14(g).** Upon the completion of the presentation of all evidence the General Executive  
205 Council, without the participation of any member or members thereof by whom or against whom  
206 the charges under consideration have been preferred, shall decide what disposition shall be made  
207 of such charges and fix the penalty, if any, to be assessed. Notice of the outcome of such charges  
208 shall be sent to all local unions in the International Association.

209 **SEC. 14(h).** Either party shall have the right to appeal to the General Convention from the decision  
210 of the General Executive Council but pending such appeal the action of the General Executive  
211 Council shall stand.

212 **SEC. 14(i).** Appeals to the General Convention from decisions of the General Executive Council,  
213 on charges preferred against General Officers, shall be filed with the General Secretary-Treasurer  
214 within sixty (60) days of notice of the decision of the General Executive Council, and such appeals  
215 shall be submitted to the General Convention on the basis of findings of the facts and decision of  
216 the General Executive Council. The General Convention shall vote without debate solely on the  
217 question of whether to accept or reject the decision of the General Executive Council. A majority  
218 vote of the General Convention shall be final.

### 219 **SECTION 15--SURRENDER OF PROPERTY**

220 **SEC. 15.** All General Officers, at the expiration of their incumbency in office and when  
221 their successors have been duly qualified, shall deliver to them all books, records, papers, monies  
222 and other property in their possession, belonging to this Association.

## ARTICLE THREE (3)

### ARTICLE THREE (3)

#### General President

#### 1 SECTION 1--GENERAL DUTIES AND AUTHORITY

2 **SEC. 1.** The General President, by virtue of his office, shall be a delegate to the SMART  
3 General and Special Conventions of the International Association and shall have a voice and a vote  
4 in the deliberations and discussions but shall not be entitled to vote in the elections of General  
5 Officers unless elected as a delegate by secret ballot of the membership of his local union and shall  
6 preside at all meetings and Conventions of this Association and at meetings of the General  
7 Executive Council. He shall preserve order and in all cases where the vote is equally divided in a  
8 Convention or meeting of the General Executive Council he shall cast the deciding vote. He shall  
9 enforce all laws of the Association, decide all questions of order and usage, interpret and decide  
10 all points of law and controversies and decide all constitutional questions. Between Conventions  
11 he shall have general supervision of this Association and shall sign all charters and all other  
12 documents which require his signature for authentication, subject to the approval of the General  
13 Executive Council.

14 He shall submit monthly to the General Secretary-Treasurer an itemized account of all  
15 monies expended by him on behalf of this Association, which account shall be paid by the General  
16 Secretary-Treasurer.

17 Subject to the approval of the General Executive Council, he shall have authority to form  
18 alliances where in his opinion it would be for the best interests of the trade, and to negotiate and  
19 enter into treaties and agreements with any national or international unions including agreements  
20 to merge other national or international labor organizations into this International on such terms  
21 and conditions as may be deemed appropriate and in the best interests of this International  
22 Association and the members thereof. With respect to any merger in which SMART is subsumed  
23 by a larger International, said merger must first be ratified by a SMART General or Special  
24 Convention for that specific purpose. The President Transportation Division and the General  
25 President shall appoint delegates to the Conventions of the American Federation of Labor and  
26 Congress of Industrial Organizations in proportion to the number of members in the Transportation  
27 Division and the rest of the Association, respectively. The General President shall appoint  
28 delegates to the departments of the AFL-CIO except that the President Transportation Division  
29 shall appoint delegates to those departments to which only the Transportation Division is affiliated.  
30 The General President shall appoint and compensate all special committees.

31 Where circumstances warrant, additional compensation and expense allowances, including  
32 reasonable cost of lodging, may be made by the General President.

33 He shall preserve all important documents, papers, accounts and letters sent and received  
34 by him on the business of this Association for a period of at least five (5) years.

ARTICLE THREE (3)

**SECTION 2--SUPERVISION OF LOCAL UNIONS,  
COUNCILS AND OFFICERS THEREOF**

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37       **SEC. 2(a). Supervision.** The General President shall have direction and supervision of all  
38 local unions, state, provincial, and district councils and all of the officers thereof. He shall have  
39 full authority to suspend the charter of any local union or council for failure of its officers or  
40 members to comply with the provisions of this Constitution or with the policies of this Association;  
41 for conducting its affairs in a manner which is detrimental to the members thereof or to the best  
42 interests of this Association; for impairing the standing of such local union or council or the  
43 standing of this Association with other trades; for condoning internal strife which is detrimental to  
44 the best interests of such local union or council, the members thereof or this Association; for  
45 conducting its affairs in such manner as to bring such local union or council into disrepute with  
46 the public or employers or for disregarding the instructions, decisions, or orders properly issued  
47 by any officer or tribunal of this Association and to remove from office any officer or  
48 representative of any local union or council for incompetence, negligence, insubordination, failure  
49 or refusal to obey any valid decision or order of the General President, General Secretary-Treasurer  
50 or the General Executive Council or for violation of this Constitution or the policies of this  
51 Association or for any other neglect or failure of duty. Such suspension of charter or removal from  
52 office shall continue until the conditions causing the same have been corrected or until after trial  
53 and appeal the charter of such local union or the removed officer has been ordered reinstated,  
54 whichever has been ordered first.

55       Any order of suspension of the charter of a local union or council or of removal from office  
56 of any officer or representative thereof, issued by the General President, shall be mailed or  
57 otherwise delivered to the affected union or officer or representative together with a full statement  
58 of the charges upon which such action is based. Said charges shall also contain a notice of the right  
59 of such local union, council, officer or representative to a hearing on such charges in accordance  
60 with the provisions of Article Eighteen (18) of this Constitution.

61       Should a local union charter be suspended as provided herein, the members thereof who  
62 are not directly involved in or parties to the controversy or causes leading to the suspension of  
63 such charter, shall be accorded the right to maintain their good standing and the General President  
64 and General Secretary-Treasurer shall make such arrangements as may be necessary to preserve  
65 such right.

66       **SEC. 2(b). Filling Vacancy of Removed Officer.** The General President shall have  
67 authority to direct any local union or council to temporarily fill the office or position of any officer  
68 or representative of such local union or council removed in accordance with Section 2 of this  
69 Article pending the disposition of the charges on which such removal was based. In the event any  
70 local union or council fails or refuses to temporarily fill such vacancy within such reasonable time  
71 as may be specified by the General President, he shall have authority to designate a member of  
72 such local union or council to fill the same pending the disposition of such charges.

73       **SEC. 2(c). Trusteeship of Local Unions and Councils.** Whenever there are reasonable  
74 grounds to believe that the officers or members of a local union or council are failing to comply  
75 with the provisions of this Constitution or with the policies of this Association; conducting the

ARTICLE THREE (3)

76 affairs of such local union or council in a manner which is detrimental to the best interests of such  
77 local union or council, or its members or this Association; engaging in acts of corruption or  
78 financial malpractice; failing to properly discharge the duties of a collective bargaining  
79 representative; acting in a manner which brings such local union or council into disrepute with the  
80 public or with employers; or disregarding the valid instructions, decisions or orders issued by any  
81 officer or tribunal of this Association, the General President shall have authority to place such  
82 local union or council under Trusteeship, provided that a Trusteeship that is to be continued for a  
83 period of more than sixty (60) days shall be subject to ratification by the General Executive Council  
84 or Subcommittee thereof after a hearing. During Trusteeship such local union or council shall take  
85 no official action without the approval of the General President or a representative designated by  
86 him to supervise and direct the Trusteeship. Such Trusteeship shall continue until (a) the causes  
87 thereof have ceased, (b) the charter of such local union has been revoked, withdrawn or transferred  
88 under procedures prescribed in this Constitution, or (c) restoration of autonomy is directed as the  
89 result of a decision made upon appeal under this Constitution.

90 During Trusteeship of any local union or council, the General President or his designated  
91 representative shall have authority to take such action as he deems necessary to protect the interest  
92 and welfare of such local union, council and this Association, and the funds, property and  
93 membership thereof, including, but not limited to, the authority to suspend from office for the  
94 duration of the Trusteeship, or permanently remove from office, in either case with or without pay,  
95 and with or without cause, local union or council officers, business manager, or business  
96 representatives; fill vacancies in such offices with any representatives of this Association or  
97 members of such local union by appointment, or call elections for that purpose, or leave some or  
98 all of the offices vacant; combine one or more offices and positions; and impound the books,  
99 records, funds and property of any such local union or council.

100 Notwithstanding that they have been elected to fixed terms of office, the officers, business  
101 manager and business representatives of a local union or council under Trusteeship shall serve in  
102 office throughout the period of the Trusteeship at the pleasure of the General President or his  
103 representative. They shall act in accordance with his instructions and faithfully implement the  
104 programs, policies and directives promulgated by him so that the conditions that necessitated  
105 imposition of the Trusteeship may be corrected and autonomy restored to the local union as soon  
106 as possible.

107 During Trusteeship, no votes of delegates from such local union or council shall be counted  
108 in a Convention of this Association unless such delegates have been chosen by secret ballot in a  
109 local union or council election in which all members in good standing are eligible to participate.

110 **SEC. 2(d). Revocation of Charters.** With the approval of the General Executive Council,  
111 the General President may revoke the charter of any local union or council found guilty after trial  
112 of any violation of the provisions of this Constitution or of failure to comply with valid orders,  
113 decisions or policies of this Association.

114 Should it be necessary to revoke a local union charter, provision shall be made for the  
115 transfer of all local good standing members to other affiliated local unions.

ARTICLE THREE (3)

116           **SEC. 2(e). Review of Decisions of Local Unions and Councils.** The General President  
117 shall have full authority to approve, modify, revise, defer, suspend or reverse any decision of a  
118 local union or council or any officer thereof, or of a trial committee of a local union or council, or  
119 any action taken by any local union or council in connection therewith, if such decision or action  
120 is contrary to the provisions of this Constitution or the established policies of this Association, is  
121 contrary to the evidence, or the penalty imposed is deemed inadequate or excessive, subject to  
122 appeal as provided in Article Nineteen (19).

123           **SEC. 2(f). Call of Special Meetings of Local Unions and Councils.** Local unions, state,  
124 provincial, and district councils shall, upon call of the General President or a duly authorized  
125 representative of this Association after official notice to the duly authorized officers of said local  
126 unions, state, provincial, and district councils, assemble and convene in meeting at such time and  
127 place and for such purpose as may be designated in the call and official notice issued; and said  
128 meeting shall not adjourn without the approval and consent of the General President or the duly  
129 authorized representative of this Association calling said meeting.

130           **SEC. 2(g). Jurisdiction of Local Unions and District Councils.** The General President  
131 shall have full authority to specify; designate or change the specific territory; project or projects  
132 and classes of work over which each local union or district council shall exercise jurisdiction; to  
133 organize and charter additional local unions or district councils in accordance with this  
134 Constitution and to determine the specific territory and classes of work over which newly chartered  
135 locals or district councils shall have jurisdiction; to separate and divide membership of any local  
136 union or district council into two or more local unions or district councils; to amalgamate or merge  
137 two or more local unions or district councils when it is advisable or necessary in the best interests  
138 of this Association and the members thereof and; except as otherwise provided in this Section, to  
139 establish the terms and conditions under which such separations, amalgamations and mergers shall  
140 be consummated including where necessary the establishment of additional locations within the  
141 jurisdiction of the local union which will afford the members an adequate opportunity to nominate  
142 candidates and vote in elections and to classify and separate members and applicants for  
143 membership into such local unions or district councils as will best protect the interests of this  
144 Association and the members thereof subject to appeal as provided in Article Nineteen (19).  
145 Whenever the membership of one local union or district council is separated into two or more local  
146 unions or district councils pursuant to this Section, the funds, assets and liabilities of such local  
147 union or district council may be pro-rated among the involved unions or district councils as  
148 equitably as possible by the General President.

149           Any distribution or attempted distribution of funds or property of a local union or council  
150 in anticipation of an amalgamation, merger or separation without the consent of the General  
151 President shall be a violation of this Constitution and of the authority of any officer or officers of  
152 a local union or council and the members thereof.

153           **SEC. 2(h). Audits.** The General President shall have authority to order an audit of the  
154 books and accounts of any local union or council of this Association. A report of such audit shall  
155 be made to the General President, General Secretary-Treasurer and the General Executive Council.  
156 The books and accounts of any local union or council shall be at all times open to inspection of  
157 auditors appointed and authorized by the General President under this Section.

ARTICLE THREE (3)

158

**SECTION 3--SUPERVISION OF INDIVIDUAL MEMBERS**

159

**SEC. 3(a). Suspension.** The General President shall have authority to suspend any member of this Association or of any local union affiliated therewith who, after charges and trial, has been found guilty of insubordination, treasonable conduct, violation of any provision of this Constitution, failure to comply with any valid order or decision of this Association or the officers thereof or any established policy of this Association.

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**SEC. 3(b). Penalties.** Any member who is found guilty of any violation of the provisions of this Constitution after charges and trial may be reprimanded, fined, suspended or expelled from membership by the General President.

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**SECTION 4--MEETINGS OF BUSINESS MANAGERS AND  
BUSINESS REPRESENTATIVES**

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**SEC. 4.** The General President shall arrange for local business managers and business representatives to assemble in conference at such times and places as in his judgment are advisable. Action taken at such conferences shall be subject to approval of the General Executive Council.

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**SECTION 5--ATTORNEYS**

173

**SEC. 5.** The General President shall have authority to employ an attorney or attorneys to represent and protect the interests of this Association.

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**SECTION 6--REPORTS**

176

**SEC. 6.** It shall be the duty of the General President to have printed reports prepared and distributed among the delegates on the opening day of each General Convention. The report of the General President and the General Executive Council may be combined.

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**SECTION 7**

180

**SEC. 7.** The General President shall devote all of his time to the interests of this Association, and its affiliated and related entities and organizations, and to the performance of his duties as General President as specified in this Constitution, and to the performance of his duties to this Association's affiliated and related entities and organizations.

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**SECTION 8**

185

**SEC. 8.** The General President and the General Secretary-Treasurer or their designees shall be entitled to attend and participate in all local union and council meetings throughout the United States and Canada including general and special membership and Executive Board meetings.

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**SECTION 9 -- GENERAL PRESIDENT EMERITUS**

189           **Sec. 9.** The General Executive Council may appoint one or more retired past General  
190 Presidents as General President Emeritus. This appointment may be made at any time and need  
191 not occur immediately at the end of that General President’s term in office. The General Executive  
192 Council shall determine how long the term of a General President Emeritus shall last. The General  
193 President may assign duties and responsibilities to a General President Emeritus and defray his  
194 reasonable expenses.

**ARTICLE FOUR (4)**

**General Vice Presidents**

1

**SECTION 1 – GENERAL DUTIES**

2           **SEC. 1.** The General Vice Presidents by virtue of their office shall be delegates to the SMART  
3 General and Special Conventions and shall have a voice and vote in all deliberations and  
4 discussions at the SMART Conventions, but shall not be entitled to vote in the elections of SMART  
5 General Officers unless elected as a delegate by secret ballot of the membership of their respective  
6 local unions.

7           It shall be the duty of the General Vice Presidents to render such assistance to the General  
8 President as he may require or direct. They shall neither assume authority to represent or act for or  
9 in the name of this Association, nor shall they incur or assume any liability, obligation or expense  
10 in the name of this Association except by the express direction of and only to the extent authorized  
11 by the General President. This Association shall not be responsible or liable for acts of the General  
12 Vice Presidents unless authorized in the manner provided herein.  
13

**ARTICLE FIVE (5)**

**General Secretary-Treasurer**

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**SECTION 1--GENERAL DUTIES**

2           **SEC. 1(a).** The General Secretary-Treasurer shall serve as Executive Secretary of the  
3 General Executive Council and shall, by virtue of his office, be a delegate to the SMART General  
4 and Special Conventions and shall have a voice and vote in all deliberations and discussions, but  
5 shall not be entitled to vote in the election of General Officers unless elected as a delegate to the  
6 SMART General or Special Convention by secret ballot of the membership of his or her local  
7 union.

8           He shall issue the official call for the SMART Conventions at least ninety (90) days prior  
9 to the opening date thereof. He shall keep a correct record of the proceedings of the SMART  
10 Conventions and all other meetings of this Association; preserve credentials of delegates for at  
11 least one (1) year, preserve all important documents, papers, accounts, letters sent and received by



ARTICLE FIVE (5)

12 him on business of this Association for a period of at least five (5) years; employ the necessary  
13 clerical force to efficiently conduct the affairs of his office; conduct all correspondence pertaining  
14 to his office between this Association and its affiliated local unions and councils; keep a correct  
15 record and account of the standing of each local union and council and all officers, representatives  
16 and members thereof; cause to be published at least once each year a directory showing the names  
17 and addresses of local union officers, business managers, and business representatives; receive all  
18 applications for charters and issue and sign the same when so authorized by the General President;  
19 have charge of the seal of this Association and affix it as required to official documents; receive  
20 all communications or appeals intended for or requiring consideration by the General President or  
21 General Executive Council; transmit decisions rendered by the General President or General  
22 Executive Council to members or local unions involved and file copies of such decisions for the  
23 records; assess local unions interest at a uniform rate for per capita dues delinquencies of thirty  
24 (30) or more days unless otherwise determined by the General Executive Council; keep a correct  
25 record of all members suspended and expelled and of all legal obligations due from such suspended  
26 or expelled members; receive all monies paid to this Association and deposit same in accordance  
27 with the requirements of Section 6(g) of Article One (1); hold in his or her possession not more  
28 than the sum of Three Hundred Dollars (\$300.00) and deposit all sums in excess of that amount  
29 within twenty-four hours after receipt of same; and pay all legitimate bills and other obligations  
30 authorized in accordance with this Constitution, by official checks drawn against the accounts of  
31 this Association over his or her signature as General Secretary-Treasurer and countersigned by the  
32 General President or by an electronic disbursement provided that the process is consistent with the  
33 requirement of two signatures and requires proper segregation of duties between the two General  
34 Officers that can be verified by an audit trail.

35 All original official receipts surrendered to the General Office in accordance with Section  
36 4 of Article Thirteen (13) will be properly applied to the record of those involved when corrected  
37 or declared void as circumstances may warrant and notice of correction or invalidity shall be given  
38 to the financial secretary-treasurer by the General Secretary-Treasurer. The General Secretary-  
39 Treasurer may issue official receipts, or give permission to local unions to issue official receipts  
40 out of date, to members if deemed appropriate or necessary.

41 **SEC. 1(b).** The General Secretary-Treasurer shall require compliance with Section 10 of  
42 Article Ten (10) of this Constitution and should any affected local union or the officers thereof fail  
43 to return the charter, seal, books, records, funds and other property, as provided therein, within  
44 fifteen (15) days after mailing by certified, registered or overnight mail of notice to do so by the  
45 General Secretary-Treasurer, he shall take such steps as may be necessary to enforce compliance.

46 **SEC. 1(c). Bonding.** The General Secretary-Treasurer shall arrange bonding by a reliable  
47 bonding company for such amount as may be necessary to protect this Association against loss of  
48 funds directly under his control and subject to his order on banks. The premium on such bond shall  
49 be paid by this Association.

50 In January of each year, the General Secretary-Treasurer shall execute a bond in a reliable  
51 bonding company to cover the financial secretary-treasurers, business managers, business  
52 representatives and other officers of local unions and councils who may be authorized or obligated  
53 in the performance of their duties to receive or disburse any funds of such local unions or councils.

ARTICLE FIVE (5) – ARTICLE SIX (6)

54 The amount of the bond in each case shall be determined by the General Secretary-Treasurer, after  
55 consideration of the amount of the funds involved, but in no case shall it be less than Five Hundred  
56 Dollars (\$500.00). Premiums on bonds for officers, representatives, business managers and  
57 business representatives of the local unions or councils shall be charged to the account of and be  
58 paid by such local unions or councils.

59 Notwithstanding any other provision of this Section, all bonds provided for herein shall be  
60 in such form and in such amount and placed with such surety company as may be required by  
61 applicable law.

62 **SEC. 1(d). Reports.** The General Secretary-Treasurer shall submit to the General  
63 President, the General Executive Council, local unions and councils, an annual report of revenue,  
64 expenses and fund balances, and balance sheet, and shall have available for examination on  
65 demand of the General President, the General Executive Council, or its Finance Committee all  
66 books, papers and records in connection therewith.

67 On the opening day of the SMART General Convention, the General Secretary-Treasurer shall  
68 submit to the General President, the General Executive Council and the delegates a printed report  
69 containing a statement of revenue, expenses and fund balances audited and approved by a certified  
70 public accountant. He shall have available at the Convention all necessary documents and records  
71 in connection with this report.

72 **SEC. 1(e).** The General Secretary-Treasurer shall devote all of his time to the interests of  
73 this Association and its affiliated and related entities and organizations and to the performance of  
74 his duties as specified in this Constitution.

75 **SEC. 1(f).** The General Secretary-Treasurer shall have authority to order an audit of the  
76 books and accounts of any local union or council of this Association. Report of such audit shall be  
77 made to the General President and the General Executive Council. The books and accounts of any  
78 local union or council shall be at all times open to inspection of auditors appointed and authorized  
79 by the General Secretary-Treasurer under this Section.

80 **SEC. 1(g).** The General Secretary-Treasurer is authorized to develop and implement electronic  
81 forms and communications for any or all of the functions of his or her office, and affiliates are  
82 authorized to use these electronic modes in conjunction with or as substitutes for written or printed  
83 modes.

**ARTICLE SIX (6)**

**General Executive Council**

**SECTION 1**

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**SEC. 1(a).** The General Executive Council shall be composed of eighteen (18) members,



ARTICLE SIX (6) – ARTICLE SEVEN (7)

39 Comptroller or any members of the Comptroller's staff; the General Counsel or any member of  
40 the General Counsel's staff; any other officer, agent or employee of the International Association;  
41 the International Association's outside independent auditors; and any individual (or representative  
42 of a firm) whose expenditures are being examined or questioned.

43 **SEC. 3(e).** The Finance Committee shall have authority to employ outside independent  
44 legal counsel and/or outside independent auditors to assist the members in connection with their  
45 oversight duties provided, however, that the terms and tenure of employment of such outside,  
46 independent experts or specialists shall be subject to approval by the General Executive Council.

47 **SEC. 3(f).** The Finance Committee shall meet quarterly at such times and places as shall  
48 be designated by the Chairperson, or more often if additional meetings are requested by a majority  
49 of the members.

50 **SEC. 3(g).** The Finance Committee shall endeavor to cooperate with and assist the General  
51 President and the General Secretary-Treasurer in the performance of their respective constitutional  
52 duties. It shall furnish copies of the minutes of its meetings to the General President, the General  
53 Secretary-Treasurer, and all other General Vice Presidents as soon as may be practicable after each  
54 meeting is concluded.

55 **SECTION 4--PROCEDURE WHEN NOT IN SESSION**

56 **SEC. 4.** The General Executive Council shall be empowered to transact business by  
57 correspondence when it is not in session.

58 **SECTION 5--REPORTS**

59 **SEC. 5.** It shall be the duty of the General Executive Council to have reports prepared,  
60 either in printed or electronic format, and distributed among the delegates on the opening day of  
61 the Convention. The reports of the General President and the General Executive Council may be  
62 combined.

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**ARTICLE SEVEN (7)**  
**GENERAL CONVENTIONS**

1 **SECTION 1--TIME AND PLACE**

2 **SEC. 1.** This Association shall meet in a regular quinquennial (5-year) SMART General  
3 Convention at the time and in the city designated either by the last preceding SMART General  
4 Convention or by the General Executive Council, and the designated Convention city shall not be  
5 changed nor shall the Convention be postponed unless holding of the Convention at the designated  
6 time or place has become impossible or impracticable as a result of events and/or conditions  
7 occurring thereafter, in which event a new Convention city or Convention date or both shall be

ARTICLE SEVEN (7)

8 rescheduled by the General Executive Council as soon as possible. In no event shall such  
9 Convention be postponed for more than one year.

10 **SECTION 2--REPRESENTATION AND VOTE**

11 **SEC. 2(a). Local Unions.** Each local union shall be entitled to one (1) vote for the first  
12 fifty (50) good standing members or less and one (1) additional vote for each additional fifty (50)  
13 good standing members or majority fraction thereof. Each local union shall be entitled to its full  
14 vote and where but one delegate is present, he or she can cast the full vote to which his or her local  
15 union is entitled. No delegate shall cast a vote for any local union except the one of which he or  
16 she is in good standing.

17 Credentials of delegates shall be mailed, or processed electronically in a format supported  
18 by the General Secretary-Treasurer and approved by the General Executive Council, to the General  
19 Secretary-Treasurer not later than the fifteenth (15th) day of the month preceding the month in  
20 which the Convention is held.

21 The number of votes to which each local union is entitled shall be based upon its good standing  
22 membership on the first day of the second month preceding the month in which the Convention is  
23 held.

24 **SEC. 2(b).** Except as provided herein, each local union shall be entitled to one (1) delegate  
25 for the first one hundred (100) members or less and one (1) delegate for each additional one  
26 hundred (100) members or majority fraction thereof, provided, however, that in no event shall a  
27 local union be entitled to more than twenty (20) delegates or to more delegates than it pays full  
28 transportation costs plus all ordinary living expenses, none of which shall be refunded to the local  
29 union. The above requirement for payment of transportation costs and living expenses as a  
30 condition for being a delegate shall not apply to paid officials or paid representatives of this  
31 International Association.

32 **SEC. 2(c). Councils.** Each state, provincial and district council shall be entitled to one (1)  
33 vote, except that district councils comprised of only one local union shall not be entitled to  
34 delegates and votes from both the local union and the district council. The vote of the council shall  
35 be cast only by the delegate regularly elected by his or her council and cannot be cast by delegates  
36 from local unions and no council delegate may cast the vote or votes of a local union.

37 **SEC. 2(d). Transportation Division Associations.** The Association of General  
38 Chairpersons (District 1), Association of General Chairpersons (District 3), and the National  
39 Association of State Directors shall be entitled to a combined total of seventeen (17) delegates,  
40 each with one (1) vote. The delegates shall be apportioned by the General President upon the  
41 recommendation of the President Transportation Division to the associations according to their  
42 respective number of members. Each member of the General Executive Council from the  
43 Transportation Division shall be counted as being one of these delegates and the apportionment to  
44 the associations shall be adjusted according to the sector of the Transportation Division to which  
45 the members belong.

ARTICLE SEVEN (7)

46                   **SECTION 3--QUALIFICATIONS AND ELECTION OF DELEGATES**

47                   **SEC. 3(a).** All delegates to the SMART General or Special Conventions of this Association  
48 shall meet the qualifications prescribed in Section 3 of Article Twelve (12) of this Constitution.

49                   **SEC. 3(b).** All delegates shall be elected by eligible local unions in the same manner and  
50 under the same rules as in elections of local union officers and in accordance with Sections 4, 5,  
51 and 6 of Article Twelve (12) of this Constitution except that nomination and election of members  
52 other than those who are automatic delegates by virtue of their office or position may be elected  
53 in the calendar year in which the Convention is held or, at the option of the local union, at the local  
54 union's general election in the year closest in time to the year in which the SMART General  
55 Convention is held.

56                   **SEC. 3(c).** Delegates from state, provincial and district councils and Transportation  
57 Division associations shall be elected in accordance with their constitutions and by-laws unless  
58 otherwise provided in this Constitution.

59                   **SEC. 3(d).** (1) Any delegate from a local union in order to be entitled to vote for officers  
60 at the General Convention must have been elected by a secret ballot of the membership of such  
61 local union. (2) Any delegate from a state, provincial or district council or Transportation Division  
62 association in order to be entitled to vote for officers at the General Convention must have been  
63 elected by a secret ballot of the membership of the constituent units of the said state, provincial or  
64 district council or Transportation Division association. (3) Any Convention delegate who is not  
65 elected in accordance with the provisions of subsections (1) or (2) hereof shall not be entitled to  
66 vote for officers at the General Convention.

67                   **SEC. 3(e).** The General President Emeritus by virtue of his position shall be an automatic  
68 delegate to each SMART General or Special Convention with a voice and vote in all deliberations  
69 and discussions but shall not be entitled to vote in the election of General Officers.

70                   **SEC. 3(f).** Unless otherwise determined by the Convention, no local union or council shall  
71 be eligible to representation or vote in Convention unless all dues, fees and other obligations due  
72 this Association are paid in full in accordance with the provisions of this Constitution.

73                   **SECTION 4--COMMITTEE ON CREDENTIALS AND GENERAL SECRETARY-**  
74                   **TREASURER'S REPORT**

75                   **SEC. 4.** The General President shall select from the credentials of accredited delegates a  
76 Credentials Committee, which shall perform such duties as required for all SMART and  
77 Transportation Division Conventions and shall also act as Committee on General Secretary-  
78 Treasurer's Report, and the members thereof shall assemble at the General Office at least six (6)  
79 days prior to the opening of the Conventions. It shall be the duty of this Committee to examine the  
80 records and accounts of the General Secretary-Treasurer and audit report submitted by the certified  
81 public accountant. It shall examine all duplicate credentials received at the General Office and  
82 shall determine from the records at the General Office the standing of the chartered and affiliated  
83 local unions and councils and the elected delegates thereof. It shall receive all original credentials

ARTICLE SEVEN (7)

84 presented by delegates and be ready to submit its report at the opening of the Convention.

85 **SECTION 5--DELEGATE CREDENTIALS**

86 **SEC. 5.** Each elected delegate shall establish his or her identity and right to a seat in  
87 Convention by presenting to the Credentials Committee the original form of official credential  
88 signed by the president and the recording secretary of the local union or council from which he or  
89 she is a delegate. Said credential shall bear the official seal of the local union or council. The  
90 General Secretary-Treasurer may establish an electronic format for the processing of official  
91 credentials if the necessary verifications as required by this constitution are met and such system  
92 is approved by the General Executive Council. Each delegate shall also present an official dues  
93 receipt, properly issued in accordance with this Constitution, showing actual payment of all dues  
94 and obligations to his or her local union for a period extending, at least, to the end of the month in  
95 which the Convention is held.

96 None but duly accredited delegates shall be admitted to sessions of the Convention except  
97 by majority vote of the Convention.

98 **SECTION 6--DELEGATE COMPENSATION**

99 **SEC. 6.** Each local union, state, provincial and district council or Transportation Division  
100 association shall pay its delegates full transportation costs plus ordinary living expenses for the  
101 specified convention dates plus allowable associated travel days, none of which shall be refunded  
102 to the local union. Under no circumstances will travel days be duplicated. Each delegate not  
103 assigned to a committee scheduled to meet during the Convention shall receive Fourteen Hundred  
104 Dollars (\$1,400.00) as compensation for attending the Convention, none of which shall be  
105 refunded to the local union. Delegates appointed as Conductors, Wardens or Escorts, in addition  
106 to delegate compensation, shall receive Three Hundred Fifty Dollars (\$350.00) for each day of  
107 service, none of which shall be refunded to the local union. All compensation herein shall be paid  
108 by the International Association and shall continue thereafter until changed by action of a  
109 subsequent Convention. This Section shall not apply to paid officials or paid representatives of  
110 this Association.

111 **SECTION 7--CONSTITUTION COMMITTEE:**  
112 **APPOINTMENT AND GENERAL DUTIES**

113 **SEC. 7.** The General President shall select, from the credentials of accredited delegates, a  
114 Constitution Committee, the members of which shall assemble at the designated Convention city  
115 at least six (6) days prior to the opening of the Convention. It shall be the duty of said Constitution  
116 Committee to consider all recommendations and proposed amendments to the Constitution  
117 properly submitted by General Officers, local unions, councils and delegates and to hold hearings  
118 and report their recommendations to the Convention for consideration and action. The Constitution  
119 Committee shall also have authority to initiate and recommend new legislation including  
120 amendments to this Constitution and submit the same to the Convention for consideration and  
121 action.

ARTICLE SEVEN (7)

122 **SECTION 8--COMMITTEES GENERAL**

123 **SEC. 8.** Not later than the opening day of the SMART Convention, the General President  
124 shall appoint the following other committees, including such Special Committees as needed to  
125 address the business of the International at convention:

126	General President's and	Union Label
127	General Executive Council's Reports	Compensation
128	Rules	Apprentice
129	Grievance and Appeals	Special
130	Political Action	Production
131	Resolutions	Roofing

132 The committees provided for in this Section shall consist of not less than five (5) delegates  
133 each.

134 **SECTION 9--COMMITTEE COMPENSATION**

135 **SEC. 9.** Each member of the committees provided for in this Article shall be paid Three  
136 Hundred Fifty Dollars (\$350.00) plus a sum equivalent to the negotiated rate and tax for the  
137 Committee member's room at the Convention hotel per day, as compensation for each day spent  
138 on committee business prior to, during or after the Convention and for each day of attendance  
139 while the committee is in session, including days en route to and from such Convention. This  
140 compensation shall be in addition to delegate compensation except for members of committees  
141 scheduled to meet during the Convention, who shall receive only the compensation provided in  
142 this section.

143 The Committee on Credentials and General Secretary-Treasurer's Report and the  
144 Constitution Committee if called into session at the General Offices before the Convention shall  
145 receive Two Hundred and Fifty Dollars (\$250.00) compensation per day and One-Hundred Dollars  
146 (\$100.00) per day for expenses including days traveling to and from their homes to the General  
147 Office, in addition to the cost of lodging and air fare.

148 **SECTION 10--QUORUM**

149 **SEC. 10.** A quorum for the transaction of business shall be a majority of the delegates  
150 attending the Convention.

151 **SECTION 11--ORDER OF BUSINESS**

152 **SEC. 11.** The following order of business shall govern the Convention proceedings:

- 153 1. Call to order;
- 154 2. Receive credentials;
- 155 3. Reports of Committees on Credentials and Rules;
- 156 4. Roll call;
- 157 5. Selection of special committees;



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- 158 6. Reports of Officers;
- 159 7. Resolutions, memorials and petitions;
- 160 8. Communications and bills;
- 161 9. Reports of committees;
- 162 10. Election of Officers;
- 163 11. Obligation of Officers;
- 164 12. Grievances and appeals;
- 165 13. Unfinished business;
- 166 14. New business;
- 167 15. Reports of delegates;
- 168 16. Adjournment.

169 **SECTION 12--ATTENDANCE OF GENERAL OFFICERS**

170 **SEC. 12.** The General Officers shall be required to attend all Conventions, general or  
171 special, of this Association, and their salaries and expenses shall be paid from the funds of the  
172 Association at the established rate.

173 **SECTION 13--CONVENTION GUESTS**

174 **SEC. 13.** The General President with the approval of the General Executive Council shall have  
175 the authority to invite and compensate such Convention guests as he may deem proper.

176 **SECTION 14--SPECIAL CONVENTIONS**

177 **SEC. 14.** The General President with the approval of the General Executive Council may call a  
178 Special Convention of the Association for the purpose of considering special subjects which in his  
179 opinion may require Convention action between Regular Conventions. The call for such Special  
180 Convention shall be issued by the General Secretary-Treasurer and such call shall specify the time  
181 and place thereof and the special subjects to be considered. No subjects other than those designated  
182 on the Convention call shall be considered at any Special Convention. The provisions of this  
183 Constitution which relate to General Conventions shall govern the procedure of Special  
184 Conventions insofar as they may be required to effectuate the business designated in the call for  
185 such Special Convention.

186 **SECTION 15--RESOLUTIONS**

187 **SEC. 15.** Resolutions, other than those to amend the Constitution, may be submitted by  
188 any local union or council, the General President, General Secretary-Treasurer, President  
189 Transportation Division, the General Executive Council or by the Resolutions Committee.  
190 Resolutions submitted by local unions or councils, General Committees and State Legislative  
191 Boards must be submitted separately by subject matter in resolution form and filed in triplicate  
192 with the General Secretary-Treasurer at the General Office at least sixty (60) days prior to the  
193 opening of the Convention at which such resolutions are to be considered, unless the requirements  
194 of this paragraph are waived by a two-thirds (2/3) vote of the delegates at the Convention. All  
195 resolutions proposed by any member of a local union shall be submitted to the local union

ARTICLE SEVEN (7) – ARTICLE EIGHT (8)

196 Executive Board at least thirty (30) days prior to the submission thereof to the local union for  
197 consideration. All resolutions passed by local unions or councils must bear the signature of the  
198 president and recording secretary and the seal of the local union or council. All resolutions passed  
199 by General Committees or State Legislative Boards must bear the signature of the Chairman or  
200 Director and Secretary of the Committee or Board.

201 **SECTION 16**

202 **SEC. 16.** Resolutions relating to constitutional changes shall be submitted in accordance  
203 with Article Thirty-Three (33) of this Constitution.

**ARTICLE EIGHT (8)**

**International Staff**

1 **SECTION 1—APPOINTMENT**

2 **SEC. 1.** The General President shall have the authority to appoint a staff consisting of a  
3 sufficient number of International Representatives, International Organizers, Regional Directors,  
4 a Director of Canadian Affairs and other Directors as he may deem advisable to carry on the  
5 necessary organizing and related work of this Association and may increase or reduce members of  
6 such staff from time to time as circumstances and the requirements of the Association may justify  
7 or warrant and remove any members of such staff for cause, all subject to the approval of the  
8 General Executive Council.

9 **SECTION 2—QUALIFICATIONS**

10 **SEC. 2.** Any member in good standing is eligible to appointment to a position on the staff referred  
11 to in Section 1 of this Article if he or she is or becomes a member in good standing upon his or her  
12 appointment and is free from delinquencies of any nature to this Association or any local union or  
13 council thereof, including all dues and obligations to and for the month in which he or she is  
14 appointed.

15 **SECTION 3--DUTIES**

16 **SEC. 3.** Members of the staff referred to in this Article shall be assigned by the General  
17 President in such manner and in such territory as, in his opinion, will best serve the needs and  
18 requirements of this Association. They shall report to the General President or his designated  
19 representative at the General Office of the International Association

20 They shall perform such services as the General President may require or direct and shall  
21 not incur or assume any financial obligation or expense in the name of this Association except by  
22 the express direction of and only to the extent authorized by the General President. This  
23 Association shall not be responsible or liable for any such financial obligations or expenses unless  
24 authorized in the manner provided herein.

ARTICLE EIGHT (8) – ARTICLE NINE (9)

**SECTION 4—DIRECTORS’ OFFICES**

**SEC. 4.** All Directors, except the Canadian Director, shall maintain offices in the General Office of the International, except that their offices may be located elsewhere, if the General President, subject to approval of the General Executive Council, determines such relocation is prudent.

**SECTION 5—COMPENSATION**

**SEC. 5.** Except as otherwise provided in this Section 5, the salary and expenses of the Directors, Regional Directors, International Representatives and International Organizers and the method of determining same shall be that established by Convention action and shall continue thereafter until changed by action of a subsequent Convention. Notwithstanding the previous sentence, the General President, with the approval of the General Executive Council, shall have the right to raise or lower the compensation and expenses of the above named individuals and the International Staff members depending upon their performance of their duties and responsibilities.

International Representatives and International Organizers shall be paid the average of the thirty (30) highest paid Business Managers in the United States and Canada, salary adjustments to be made on January first of each year, and, whatever percentage increase in salary the International Representatives and International Organizers receive, the same percentage increase shall be granted to all General Officers and International staff personnel.

Should the above formula's implementation be inconsistent with budgetary considerations, it shall be implemented over a time frame as determined by the General President and the Finance Committee and with the approval of the General Executive Council.

**ARTICLE NINE (9)**

**State, Provincial, District and Regional Councils**

**SECTION 1--ORGANIZATION**

**SEC. 1(a). State or Provincial Councils.** A state or provincial council may be formed and chartered in any state in the United States or province in Canada when approved by the General President, provided at least two-thirds (2/3) of the local unions in the particular state or province approve of the formation of such council and join in making application to this Association for a state or provincial council charter.

**SEC. 1(b). District Councils.** When approved by the General President, a district council, other than a railroad district council, may be formed and chartered by a two-thirds (2/3) majority of the local unions within a specified territory in more than one (1) state or province, provided that no district council, other than a railroad district council, shall be formed or chartered within a state or province where a chartered state or provincial council already exists.

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12 A railroad district council, covered under Article Twenty-One A (21A), may be established  
13 on such railroad or railroads as may be determined by the General President.

14 **SECTION 2--CHARTER APPLICATION**

15 **SEC. 2.** Application for a state, provincial, or district council charter must be filed with the  
16 General Secretary-Treasurer and each application for state, provincial, or district council charter  
17 shall bear the signatures of the president and secretary and the seal of each local union which is a  
18 party to the application.

19 **SECTION 3--GOVERNMENT**

20 **SEC. 3.** State, provincial, and district councils shall not adopt any rules, regulations or  
21 policies which in any way conflict with the provisions and intent of this Constitution; nor shall any  
22 additional rules or regulations, not included in this Constitution, be adopted until they are first  
23 submitted to the General Secretary-Treasurer for consideration and official notice of approval  
24 received from the General Secretary-Treasurer.

25 All of the provisions and requirements of this Constitution, governing the administration and  
26 operation of local unions and the duties and obligations of officers, representatives, and members  
27 thereof, shall apply to all state, provincial and district councils and the officers, representatives,  
28 and members of said councils, insofar as they are adaptable.

29 **SECTION 4--PENSIONS**

30 **SEC. 4.** Pension plan for full-time salaried officers and employees shall be that provided  
31 in Article Twelve (12), Section 10.

32 **SECTION 5 – REGIONAL COUNCILS**

33 **SEC. 5.** The General President, with approval of the General Executive Council, may  
34 establish a regional council, which may be made up of any number of local unions from one or  
35 more states or provinces, except locals in the Transportation Division. The General President may,  
36 with approval of the General Executive Council, increase or decrease the number of participating  
37 local unions within the jurisdiction of the regional council.

38 **SECTION 6--CHARTER APPLICATION**

39 **SEC. 6.** Each regional council shall be issued a charter by the International Association  
40 which shall bear the numbers of the local unions who are within the jurisdiction of the regional  
41 council. The regional council shall also be issued an official seal by the International Association.

42 **SECTION 7--GOVERNMENT**

43 **SEC. 7(a).** All local unions within the territorial jurisdiction of the regional council shall  
44 be fully affiliated with the regional council and shall come under its jurisdiction.



## ARTICLE TEN (10)

30 dues in advance beginning with the month in which initiation is properly recorded. Said Fifteen  
31 Dollars (\$15.00) local initiation fee shall be effective for a period of ninety (90) days from date of  
32 issuance of charter and shall include forty-five percent (45%) International initiation fee.

33 **SEC. 2(b).** From the ninety-first (91<sup>st</sup>) day after the issuance of a charter, each local union  
34 shall establish and collect a local initiation fee of not less than Fifty Dollars (\$50.00) and not more  
35 than Five Hundred Dollars (\$500.00) plus dues in advance beginning in the month in which such  
36 initiation is properly recorded. Forty-five percent (45%) of any initiation or reinitiation fee  
37 collected by a local union shall constitute the International initiation or reinitiation fee and be paid  
38 to the International Association.

39 At least thirty-five percent (35%) of the fees collected pursuant to this Section shall be paid  
40 to the General Fund, and up to five percent (5%) shall be apportioned on a basis determined by the  
41 General Executive Council between the SMART Local Unions and Councils Pension Fund  
42 (United States) and the SMART Local Unions and Councils Pension Fund (Canada) and/or the  
43 Canadian Dues Defense Fund, and up to five percent (5%) shall be paid to the Sheet Metal  
44 Workers' International Staff Pension Fund.

45 **SEC. 2(c).** Each payment on initiation, reinitiation or reinstatement fee, collected by a local  
46 union, including payments by apprentices, shall be recorded on an official receipt, in either printed  
47 or in electronic form as approved by the General Secretary-Treasurer. Electronic receipts, or  
48 receipts purchased from the General Office shall have recorded thereon, in the space provided  
49 therefore, the applicable percentage of such payment as described in Section 2(b) of this Article  
50 Ten (10) on initiation, reinitiation or reinstatement fees due this Association. Electronic receipts  
51 or the white duplicate (copy) of such official paper receipt, together with the money shown thereon  
52 to be due this Association, shall be forwarded by the financial secretary-treasurer with his or her  
53 monthly report for the month in which such initiation, reinitiation or reinstatement fees or  
54 payments thereon were recorded on such official receipts.

55 The General Secretary-Treasurer, with the approval of the General Executive Council, is  
56 authorized to change from time to time the method, procedure, reports, receipts and other forms  
57 for the collection, receipt and transmittal of funds by local unions and the duties and obligations  
58 of local union officers in connection therewith, notwithstanding any other provisions of this  
59 Constitution.

60 All funds received by this Association as payments on initiation, reinitiation or  
61 reinstatement fees shall belong to and remain the property of this Association whether or not the  
62 applicant for membership completes payment on his or her full initiation, reinitiation or  
63 reinstatement fee.

64 **SEC. 2(d).** The provisions of Section 2(c) of this Article shall not apply to payments for  
65 reinstatement of suspended members pursuant to the provisions of Article Sixteen (16), Section  
66 12(b).

ARTICLE TEN (10)

67           **SEC. 2(e).** Notwithstanding any other provision of this Article, the General President is  
68 authorized to waive or reduce the amount of local union dues or local or International  
69 reinstatement, initiation or reinitiation fee for a specified amount of time for a specified purpose  
70 such as in conjunction with a recruitment or organizing drive, or when a local union requests a  
71 waiver or reduction in writing, or when peculiar conditions so justify.

72           **SEC. 2(f).** Each local union shall establish and maintain a monthly or quarterly rate of dues  
73 sufficient to carry on the affairs of such local union on a sound financial basis, where for the  
74 purposes of this section a minimal threshold shall be considered as three (3) months operating  
75 expenses for the local union, based on the previous year's audit of expenses, having in mind its  
76 current and prospective needs and requirements and its obligations due this Association, including  
77 monthly per capita dues. If the assets of the local union should fall below the 6-month level, the  
78 local union financial secretary-treasurer shall submit to the General Secretary-Treasurer a plan for  
79 restoring the assets of the local union to a sound financial basis. The amount of said dues in no  
80 case shall be less than those prescribed in this Constitution. The dues of all members of local  
81 unions shall be paid monthly or quarterly, but always in advance. A late fee may be charged for  
82 dues payments not made in advance of the current month if approved by the local union. A  
83 member may not work unless his or her dues are paid up as provided in this Section. Failure to pay  
84 dues within two (2) months shall result in automatic suspension from membership. In the event  
85 of death, dues paid in advance of the month of death shall be refunded to the legally designated  
86 beneficiary of the deceased by the local union which will receive a credit for the per capita dues.

87           **SEC. 2(g).**

88           1. The minimum monthly dues for each member engaged in the building and construction  
89 industry in a building and construction trades local union shall be as follows:

- 90           (a) In local unions, the membership of which is five hundred (500) or less, the equivalent of  
91 three and one-half (3 ½) hours pay, plus full per capita dues;  
92           (b) In local unions, the membership of which is between five hundred and one (501) to one  
93 thousand (1,000), the equivalent of three (3) hours pay, plus full per capita dues;  
94           (c) In local unions, the membership of which exceeds one thousand (1,000), the equivalent of  
95 two and one-half (2 ½) hours pay, plus full per capita dues;  
96           (d) In determining the number of members for the foregoing purposes, all members engaged  
97 in the building and construction industry of the local union shall be included.

98           2. The minimum monthly dues for other workers in a building and construction trades local  
99 union shall be the equivalent of two and one-half (2 ½) hours pay, plus full per capita dues. The  
100 local shall determine how to set the average, but in no case shall the minimum monthly dues be  
101 less than Seventeen Dollars (\$17.00) per month.

102           3. The minimum monthly dues for members of all other local unions shall be the equivalent  
103 of two and one-half (2 ½) hours pay plus full per capita dues. The local shall determine how to set  
104 the average, but in no case shall the minimum dues be less than Seventeen Dollars (\$17.00) per  
105 month.

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106 4. (a) For building trades members, the term "hours pay" as used herein shall mean the  
107 wage/fringe package, but shall not include zone rates, special project rates, or similar increments  
108 over the basic rate.

109 (b) For production worker members, the term "hours pay" as used herein shall mean the  
110 basic taxable hourly contract rates, including all lump sum payments made by an employer in lieu  
111 of increases in employees' basic hourly rate, but shall not include fringe benefits, zone rates, special  
112 project rates, incentive rates or similar increments over the basic rate.

113 5. The number of members of each local union on which the minimum rate of dues will be  
114 based shall be the average number of members of each local union during the previous calendar  
115 year.

116 6. In applying the formula in this Section, incremental increases which may result from  
117 adjustments in the hourly rates of pay shall be due on January 1 of the year following such  
118 adjustments.

119 7. In determining the rate of monthly dues payable, the amount shall be rounded out to the  
120 nearest Twenty-Five Cents (\$.25).

121 8. Notwithstanding any other provisions of this Section, (a) retired members who are not  
122 limited members shall pay dues at the rate their local union may establish but in no event less than  
123 Sixteen Dollars (\$16.00), or greater than Twenty-Two Dollars (\$22.00) per month which includes  
124 full per capita dues and (b) disabled members shall pay dues at the rate their local union may  
125 establish for such status but in no event less than Sixteen Dollars (\$16.00), per month which  
126 includes full per capita dues. The appropriate per capita dues for retired and disabled members  
127 shall be that established for production worker members.

128 9. In the event a local union can demonstrate to the General Executive Council that it has and  
129 can maintain financial reserves sufficient to meet normal operating expenses for a six month period  
130 and, further, that the local union has by secret ballot vote authorized the local union to seek such  
131 relief, the General Executive Council may approve a reduction in the rate of minimum dues to  
132 such local union in an amount deemed appropriate, provided, however, that the rate of dues for an  
133 active member shall not be lower than the rate set forth in Paragraph 3 of this Section.

134 **SEC. 2(h).** The monthly per capita dues shall be increased in the following manner:

135 The monthly per capita dues shall be as follows:

136 January 1, 2015

137 Railroad Members	\$31.00
138 Production Members	\$16.00
139 Shipyard Members	\$16.00
140 Government Members	\$16.00
141 Retired & Disabled Members	\$16.00



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142 July 1, 2015

143 The monthly per capita working dues shall increase (\$.03) an hour.

144	Building Trades Members	\$26.00 + \$.14 an hour
145	Residential & Industrial Members	\$18.00 + \$.14 an hour
146	(who earn 75% or less of the journeymen wage package)	
147	Shinglers	\$19.00 + .15% gross wage
148	Classified Workers (SFUA)	\$15.00 + \$.14 an hour
149	Apprentice Members	\$13.00 + \$.14 an hour
150	Pre-apprentice Members	\$10.00 + \$.14 an hour

151 January 1, 2016

152	Railroad Members	\$31.00
153	Production Members	\$16.00
154	Shipyard Members	\$16.00
155	Government Members	\$16.00
156	Retired & Disabled Members	\$16.00

157 July 1, 2016

158 The monthly per capita working dues shall increase (\$.03) an hour.

159	Building Trades Members	\$26.00 + \$.17 an hour
160	Residential & Industrial Members	\$18.00 + \$.17 an hour
161	(who earn 75% or less of the journeymen wage package)	
162	Shinglers	\$19.00 + .15% gross wage
163	Classified Workers (SFUA)	\$15.00 + \$.17 an hour
164	Apprentice Members	\$13.00 + \$.17 an hour
165	Pre-apprentice Members	\$10.00 + \$.17 an hour

166 January 1, 2017

167	Railroad Members	\$32.00
168	Production Members	\$16.00
169	Shipyard Members	\$16.00
170	Government Members	\$16.00
171	Retired & Disabled Members	\$16.00

172 July 1, 2017

173 The monthly per capita working dues shall increase (\$.01) an hour.

174	Building Trades Members	\$26.00 + \$.18 an hour
175	Residential & Industrial Members	\$18.00 + \$.18 an hour

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176	(who earn 75% or less of the journeymen wage package)	
177	Shinglers	\$19.00 + .15% gross wage
178	Classified Workers (SFUA)	\$15.00 + \$.18 an hour
179	Apprentice Members	\$13.00 + \$.18 an hour
180	Pre-apprentice Members	\$10.00 + \$.18 an hour

181 January 1, 2018

182	Railroad Members	\$32.00
183	Production Members	\$16.00
184	Shipyard Members	\$16.00
185	Government Members	\$16.00
186	Retired & Disabled Members	\$16.00

187 July 1, 2018

188 The monthly per capita working dues shall increase (\$.01) an hour.

189	Building Trades Members	\$26.00 + \$.19 an hour
190	Residential & Industrial Members	\$18.00 + \$.19 an hour
191	(who earn 75% or less of the journeymen wage package)	
192	Shinglers	\$19.00 + .15% gross wage
193	Classified Workers (SFUA)	\$15.00 + \$.19 an hour
194	Apprentice Members	\$13.00 + \$.19 an hour
195	Pre-apprentice Members	\$10.00 + \$.19 an hour

196 January 1, 2019

197	Railroad Members	\$33.00
198	Production Members	\$16.00
199	Shipyard Members	\$16.00
200	Government Members	\$16.00
201	Retired & Disabled Members	\$16.00

202 July 1, 2019

203 The monthly per capita working dues shall increase (\$.01) an hour.

204	Building Trades Members	\$26.00 + \$.20 an hour
205	Residential & Industrial Members	\$18.00 + \$.20 an hour
206	(who earn 75% or less of the journeymen wage package)	
207	Shinglers	\$19.00 + .15% gross wage
208	Classified Workers (SFUA)	\$15.00 + \$.20 an hour
209	Apprentice Members	\$13.00 + \$.20 an hour
210	Pre-apprentice Members	\$10.00 + \$.20 an hour

## ARTICLE TEN (10)

211 Notwithstanding any other language herein, the General President, with the approval of the  
212 General Executive Council, may reduce, postpone or cancel the per capita dues or any increase for  
213 members in certain and specific work classifications of the entire industry, provided that such  
214 action is deemed advisable or necessary in the best interests of this Association and the members  
215 thereof. The General Secretary-Treasurer with the approval of the General Executive Council may  
216 add member classifications to the foregoing list.

217 **SEC. 2(i).** Local union dues and initiation fees may be increased by local unions only by a  
218 majority vote of good standing members voting by secret ballot at a regular or special meeting  
219 after reasonable notice to the membership of intention to vote on such question, provided however,  
220 that when the International per capita dues is increased by action of the delegates at an International  
221 Convention, the then prevailing rate of dues of each local union shall be automatically increased  
222 in like amount on the effective date of the per capita dues increase plus such additional amount, if  
223 any, as may be required to maintain the minimum rate of dues established by this Constitution.

### 224 **SECTION 3--ASSESSMENTS**

225 **SEC. 3(a).** No local assessments shall be levied or collected by any local union until all  
226 members have been notified by written or printed notice of the proposed assessment and the  
227 purpose thereof, with a specified date, time and place of regular or special meeting to consider,  
228 discuss and vote on said proposed assessment. It shall require a majority vote by secret ballot of  
229 all members present to authorize the adoption of the proposed assessment. No such assessment  
230 shall be proposed, adopted, levied or collected from any member except to provide funds for the  
231 recognized and necessary operating and organizing expense of the local union and dues, fees and  
232 other obligations due this Association. Notice of the approval of any local assessment shall be  
233 given to the General Secretary-Treasurer forthwith and all payments thereof by local union  
234 members shall be recorded on official receipts.

235 **SEC. 3(b).** No dues shall be accepted from and no official receipt issued to any member,  
236 following the date or dates any proper International or local union assessments become due from  
237 such member, until such assessments are paid.

### 238 **SECTION 4--GOVERNMENT**

239 **SEC. 4.** Each local union, its officers, representatives and members shall recognize,  
240 observe and be bound by the provisions and requirements of this Constitution, by any amendments  
241 thereto, and by all decisions, orders and interpretations properly rendered and the policies  
242 established by the General Officers of this Association.

### 243 **SECTION 5--LOCAL RULES, REGULATIONS AND POLICIES**

244 **SEC. 5.** Local unions shall not adopt any local rules, regulations, or policies which in any  
245 way conflict with the provisions of this Constitution.

246 The provisions of this Constitution relating to local unions and the members thereof shall  
247 constitute the by-laws of those local unions which do not adopt by-laws of their own. Local union

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248 by-laws shall be filed with the General Secretary-Treasurer and no provisions thereof which are  
249 objected to by him as being inconsistent with this Constitution, the policies of this Association or  
250 the requirements of law shall be made effective or continued in effect. Any finding made by the  
251 General Secretary-Treasurer that a provision of the by-laws of the local union is objectionable may  
252 be appealed as provided in Article Nineteen (19).

253 **SECTION 6--MEETINGS**

254 **SEC. 6(a).** Each local union shall determine the times and places for the holding of its  
255 regular meetings, which must be held at least quarterly, and determine how many of its members  
256 shall constitute a quorum. Special meetings shall be called by the local union president upon the  
257 request of two-thirds (2/3) of the members of the local union Executive Board or upon the request  
258 of at least ten (10) members in good standing or twenty percent (20%) of the members in good  
259 standing, whichever is greater. The call for such special meeting shall specify the time, place and  
260 purposes thereof, and no subjects other than those specified in the call shall be considered at such  
261 meeting.

262 A special order of business may be placed on the agenda by the local union president or  
263 business manager or by motion adopted by a two-thirds (2/3) vote at a previous regular meeting.  
264 The membership shall be notified of the subject of the special order of business which shall be  
265 brought to the floor at the next regular meeting.

266 **SEC. 6(b). Order of Business.** The following order of business shall govern proceedings  
267 of local unions:

- 268 1. Call the meeting to order;
- 269 2. Warden, take charge of the door;
- 270 3. Conductor, examine dues receipts;
- 271 4. Roll call of officers;
- 272 5. Roll call of members;
- 273 6. Reading of minutes;
- 274 7. Installation of officers when applicable;
- 275 8. Applications for membership;
- 276 9. Initiations;
- 277 10. Communications and bills;
- 278 11. Reading of receipts from General Office;
- 279 12. Financial secretary-treasurer's report;
- 280 13. Special orders of business when applicable;
- 281 14. Reports of the business manager, business representatives, organizers, other officers  
282 and shop stewards;
- 283 15. Reports of delegates and committees;
- 284 16. Unfinished business;
- 285 17. Trade instruction;
- 286 18. New business for good of the Union;
- 287 19. Good and welfare;
- 288 20. Adjournment

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289           **SEC. 6(c).** Except for live video conferencing of membership or other official local union  
290 meetings to satellite locations, no mechanical recording by any means of the proceedings at any  
291 official meetings of the local union shall be permitted by any member at any time. A member  
292 responsible for so recording such union meetings will be subject to charges.

293                                   **SECTION 7--MERGER OR SEPARATION**

294           **SEC. 7.** No local union or unions shall amalgamate or merge with any other local union or  
295 unions nor shall any local union separate its membership into two or more local unions, except by  
296 express authorization from the General President pursuant to the authority granted to him by  
297 Article Three (3), Section 2(g), of this Constitution.

298                                   **SECTION 8--VOLUNTARY DISSOLUTION**

299           **SEC. 8(a).** No local union shall be dissolved or withdrawn from this Association so long  
300 as fifteen (15) or more members of such local union, in good standing, vote to retain the local  
301 union charter.

302           **SEC. 8(b).** Before the members of a local union may be permitted or required to vote on  
303 the question of dissolving or surrendering its charter, three (3) months notice, in writing, shall be  
304 given to the General Secretary-Treasurer, which notice shall be accompanied by the names and  
305 addresses of all members of such local union in good standing.

306           **SEC. 8(c).** No vote shall be taken on the question of dissolution or voluntary surrender of  
307 a charter of a local union except at a meeting specially called for that purpose after thirty (30) days  
308 notice in writing, to every member of such local union, designating the time and place of such  
309 meeting and the purposes for which it is called.

310           **SEC. 8(d).** If, at a meeting properly called in accordance with the provisions of this  
311 Section, less than fifteen (15) members vote to retain the charter of the local union, the officers of  
312 such local union shall immediately notify the General Secretary-Treasurer of such action, furnish  
313 him with a record of the votes cast and comply with the provisions of Section 10 of this Article.

314           **SEC. 8(e).** In the event of a voluntary dissolution all eligible members shall maintain their  
315 good standing by becoming members of the local union to which the jurisdiction of their former  
316 local union was assigned.

317                                   **SECTION 9--AUTOMATIC SUSPENSION AND FORFEITURE OF CHARTER**

318           **SEC. 9(a).** Whenever a local union fails to hold regular meetings for a period of three (3)  
319 consecutive months, or whenever the officers thereof refuse, fail, or neglect to forward monthly  
320 reports and duplicate (copies) of official receipts with dues and fees due this Association for a  
321 period of three (3) consecutive months, the charter of said local union may be suspended or  
322 forfeited by the General Executive Council; and the officers, representatives, and members of said  
323 suspended local union shall comply with all requirements of Section 10 of this Article.

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324           **SEC. 9(b).** Whenever the records at the General Office, determined by duplicates (copies)  
325 of official receipts filed by a local union, show that there are less than fifteen (15) members in  
326 good standing, the charter of said local union shall be declared suspended and forfeited; and the  
327 officers, representatives, and members of said suspended local union shall comply with all  
328 requirements of Section 10 of this Article. In the event of the forfeiture of a local union charter as  
329 provided in this Section, all eligible members shall maintain their good standing by becoming  
330 members of the local union to which the jurisdiction of their former local union was assigned.

### 331                                   **SECTION 10--SURRENDER OF PROPERTY**

332           **SEC. 10.** In case of the suspension, revocation of charter, voluntary dissolution, lapsing or  
333 disbanding of any local union for any cause or reason whatsoever or withdrawal from this  
334 Association, it shall be the duty of the officers of such local union to immediately send the charter,  
335 seal, books, records, papers, funds, and all other property of said local union to the office of the  
336 General Secretary-Treasurer, together with a proper accounting of all the financial and other affairs  
337 of such local union. Any distribution or attempted distribution of the funds, property or assets of a  
338 local union, in anticipation of withdrawal from this Association, suspension, revocation of charter,  
339 voluntary dissolution, lapsing or disbanding of any local union, to the members thereof or to  
340 anyone other than the General Secretary-Treasurer shall be contrary to this Constitution and exceed  
341 the authority of any officer or officers of such local union and the members thereof.

342           The General Secretary-Treasurer shall hold the charter, seal, books, records, papers, funds  
343 and other property sent to him in accordance with this Section for a period to be determined by the  
344 General Executive Council which in no instance, except as provided in the next succeeding  
345 paragraph, shall be less than one (1) year, or pending any appeal which may have been taken in  
346 accordance with this Constitution from any suspension, revocation of charter, voluntary  
347 dissolution, lapsing or disbanding of such local union, whichever time is the greater.

348           If within any period provided for in the preceding paragraph of this Section (a) as a result  
349 of appeal, the said local union is re-established; (b) a new local union is established to take over  
350 the jurisdiction of said local union; or (c) the jurisdiction and membership of said local union is  
351 transferred to another local union; the General Secretary-Treasurer shall forthwith surrender the  
352 funds and property then in his or her hands to such local union. In the event the funds and property  
353 are not surrendered to a local union by the General Secretary-Treasurer as provided in this Section,  
354 they shall become the property of this Association.

### 355                                   **SECTION 11--REPORTS**

356           **SEC. 11(a).** A report of all receipts, disbursements and balance on hand, determined by  
357 examination and proper audit of the books and records of the financial secretary-treasurer, properly  
358 signed and certified to by the trustees, shall be prepared and forwarded to the General Secretary-  
359 Treasurer not later than ninety (90) days after the close of each quarter ending March 31, June 30,  
360 September 30 and December 31 of each year. If a quarterly certified audit of the books and records  
361 of the local union is made, the forwarding of same by the trustees to the General Secretary-  
362 Treasurer shall constitute compliance with this Section. Such audits shall be accepted with the  
363 signature of the local union financial secretary-treasurer and at least three (3) trustees.

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364 At the close of the fiscal year, the trustees shall have all financial books and records for  
365 that year audited by an independent certified public accountant, or chartered accountant in Canada,  
366 who shall submit a copy of the audit to the local union and a copy to the General Secretary-  
367 Treasurer along with the management letter if applicable, not later than ninety (90) days after the  
368 close of the fiscal year. The General Secretary-Treasurer may waive or modify this requirement  
369 for good cause with respect to local unions with less than three hundred (300) members. The  
370 trustees once a year shall physically verify or confirm directly with the custodian or broker the  
371 existence of all securities deposited in the name of the local union or in the street name with any  
372 securities firm.

373 **SEC. 11(b).** Each local union shall file with the General Secretary-Treasurer a record of  
374 the established local initiation, reinitiation, and reinstatement fees and the established local union  
375 monthly dues and wage scale, and notice of all changes thereto shall be sent to the General  
376 Secretary-Treasurer within sixty (60) days of the effective date of such changes.

### 377 **SECTION 12--OFFICIAL SUPPLIES**

378 **SEC. 12.** All Constitutions and Rituals, official receipts, application and report forms,  
379 cards, books and all other supplies necessary to the proper conduct and operation of local unions  
380 shall be purchased from the General Office and shall be forwarded to local unions in good standing  
381 on receipt of orders from the duly authorized officers of said local union at list price; money order,  
382 check or bank draft for the amount due to accompany each order. Copies of the Constitution, as  
383 amended, shall be forwarded, free of charge, to local unions in good-standing for distribution to  
384 their members in good standing on a one time basis subsequent to the conclusion of each General  
385 Convention. Fifteen (15), Twenty-five (25), Forty (40), Fifty (50) and Sixty (60) year pins shall be  
386 furnished to local unions free of charge. A special presentation shall be provided to honor Seventy  
387 (70)-year members.

### 388 **SECTION 13--LOCAL UNION FUNDS**

389 **SEC. 13.** Local union funds shall be derived from sources specified in this Constitution  
390 and shall not be appropriated, loaned, donated, or disbursed for any purpose except the recognized  
391 and necessary operating and organizing expenses, including capital transactions, of the local union  
392 and dues, fees, and other obligations due this Association except as provided in Section 2(g) of  
393 Article Three (3) and Sections 2(b) and 2(c) of Article Ten (10) and no monies received from  
394 members for the payment of International per capita dues shall be diverted to any use or purpose  
395 other than the payment of per capita dues to the International Association.

396 The money and property of a local union shall be used solely for the benefit of the local  
397 union and only for such purposes as are permitted or required by this Constitution. The officers,  
398 agents, representatives and employees of local unions who handle its funds and property shall be  
399 held to the standard of conduct prescribed for General Officers, agents and employees, in Article  
400 One (1), Section 6, of this Constitution and accountable for any breach thereof under this  
401 Constitution. The officers of each local union shall manage, invest, and expend its funds in  
402 accordance with the applicable provisions of this Constitution, and any action taken by the  
403 membership of the local union not inconsistent with this Constitution or the approved by-laws of

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404 the local union.

405 **SECTION 14--DISBURSEMENTS**

406 **SEC. 14.** Except for per capita dues, fees and obligations due this Association, no bills or  
407 obligations shall be paid from the funds of local unions except by vote of the membership and on  
408 checks with at least two signatures, that of the financial secretary-treasurer and the other of the  
409 president or another officer duly authorized by the local union except a trustee, provided, however,  
410 that initial approval of commitments for salaries, rent, telephones and similar recurring  
411 expenditures required in the normal and regular operation of the union shall be sufficient  
412 compliance with this Section, but such expenditures shall be itemized in the report of the financial  
413 secretary-treasurer and read at the meeting. In lieu of issuing checks, the local union may make  
414 electronic disbursements provided that the process is consistent with the requirement of two  
415 signatures and requires proper segregation of duties between the financial secretary-treasurer and  
416 the president or other duly authorized officer which can and shall be verified by an audit trail.

417 **SECTION 15--COMMITTEES**

418 **SEC. 15.** All local union committees shall perform the duties assigned to them within the  
419 time specified. No person shall be exempt from serving on a committee unless he or she is a  
420 member of some other committee. Committees holding monies, securities, or certificates of value,  
421 which are the property of the local union, shall at the first opportunity and not later than the next  
422 regular meeting deliver same to the financial secretary-treasurer with a complete itemized  
423 statement and record of all vouchers; and in no case shall any committee or any members thereof  
424 be discharged or relieved from responsibility or liability to the local union until all of said  
425 requirements have been complied with. The committee shall receive reimbursement for expenses  
426 or lost time if such arrangements were originally approved by the local union when the committee  
427 was appointed.

428 **SECTION 16--APPEALS FOR AID**

429 **SEC. 16.** There shall be established, maintained, and promoted by the International  
430 Association a fund to be known as the SMART Disaster Relief Fund for the purpose of providing  
431 financial assistance to SMART members who incur extraordinary losses due to disasters. This  
432 Fund will be dependent on voluntary contributions received from members, local unions and  
433 councils, and, when warranted, grants from the General Fund as determined by the General  
434 Executive Council. The Disaster Relief Committee, composed of the General President, General  
435 Secretary-Treasurer and First Vice President, will review applications from local unions for  
436 disaster relief and decide whether and how much financial relief should be approved.

437 No appeal for aid from any local union of this Association shall be recognized by any other  
438 local union unless it has been approved by the General President and General Executive Council  
439 and all local unions responding to such an appeal must notify the General Secretary-Treasurer,  
440 stating amount of donation. Like action must be taken by the local union receiving said donation.



ARTICLE TEN (10) – ARTICLE ELEVEN (11)

441

**SECTION 17 – GENERAL OBLIGATIONS**

442

**SEC. 17.** Each local union with building trades members shall affiliate with building trades councils, and all local unions shall affiliate with AFL-CIO state federations, unless exempted by the General President. Each local union may maintain labor bureaus and encourage trade education; join bona fide central labor unions and trade assemblies, where such exist; maintain contact and proper relations with other trade unions and do all in its power to strengthen and promote the interests of the bona fide labor movement.

448

All local unions of this Association shall maintain an organizing program. It shall be funded pursuant to Article Twenty-Four (24) of this Constitution.

449

450

All local unions of this Association shall affiliate with bona fide state, district and provincial councils chartered by this Association.

451

452

Each local union having Taft-Hartley trust funds are encouraged to join the National Coordinating Committee of Multiemployer Plans (NCCMP) in order to support the advocacy and protection of multiemployer plans and their participants.

453

454

**ARTICLE ELEVEN (11)**  
**Local Union or Council Benefit Plans**

1

**SECTION 1--RESPONSIBILITY**

2

**SEC. 1.** Whenever a local union or council establishes and operates any local, district or state plan to provide sick, out of work, or death benefits or any other form of benefit or relief for the members thereof, said local unions and councils assume full responsibility for the operation and likewise full liability for the payment of all financial obligations in accordance with the established rules and regulations governing said local benefit or relief plan and likewise in accordance with the laws of the respective states or territories. This Association shall not be in any way financially or otherwise connected, involved, responsible or liable for the payment of any claims or judgments filed or rendered against any local union or council in connection with said local sick, out of work, or death benefit or other local benefit or relief plans.

10

11

Local union funds derived from sources specified in this Constitution shall not be appropriated, loaned or donated to support, or otherwise finance, any of the benefit or relief plans referred to in this Article, or to otherwise provide relief or to maintain the good standing of members. Participation in the above-mentioned local, district or state plans shall be purely optional and no member shall be required as a condition of membership to participate in said plans or to contribute to the support and maintenance thereof.

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This Section shall not apply to health, welfare or pension plans negotiated through the process of collective bargaining.

18

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**Local Union Officers, Business Managers, and Business Representatives**

1                                   **SECTION 1--NUMBER OF OFFICERS AND TITLES**

2           **SEC 1.** The officers of each local union shall be a president, vice president, recording  
3 secretary, financial secretary-treasurer, conductor, warden, the members of the local union  
4 Executive Board and at least three (3) trustees. Except as provided in Section 3 of this Article, any  
5 offices and positions, the duties of which are not inconsistent, may be combined, or offices and  
6 positions previously combined may be separated by action of the local union at a special called  
7 meeting or as a special order of business at a regular meeting held not less than sixty (60) days  
8 prior to the next regular election. The positions of conductor and warden may be combined at any  
9 time a vacancy in either position occurs with a thirty (30) day notice to the membership of the  
10 intent to combine such positions prior to appointment or election as a special order of business at  
11 a regular union meeting.

12                                   **SECTION 1(a)--FINANCIAL SUPPORT FOR CAMPAIGN**

13           **SEC. 1(a).** No candidate, including a prospective candidate for any position set forth in  
14 Article Twelve (12), Sections 1 or 2, and supporter of a candidate, may solicit or accept financial  
15 support, or any other direct or indirect support of any kind, except an individual's own volunteered  
16 personal time, from any non-member, or associate or honorary member; nor may an owner-  
17 member, lawyer or employer volunteer personal time in support of such a prospective candidate.

18                                   **SECTION 2--NUMBER OF BUSINESS MANAGERS AND BUSINESS**  
19   **REPRESENTATIVES**

20           **SEC. 2.** Each local union shall have one (1) business manager and may have additional  
21 business representatives all of whom shall be elected by the local union at the same time and in  
22 the same manner and for the same term as local union officers, provided that if, during the regular  
23 term of office of local union officers, a local union shall approve the election of additional business  
24 representatives they shall be elected during such term to serve until the next regular election of  
25 officers, business manager and business representatives.

26                                   **SECTION 3--QUALIFICATIONS**

27           **SEC. 3.** Under no circumstances shall the offices of president and financial secretary-  
28 treasurer be combined, nor shall the president, vice president, financial secretary-treasurer or  
29 recording secretary be eligible or permitted to act or serve as trustee of the local union, nor may  
30 the business manager or business representatives or trustees be eligible or permitted to act or serve  
31 as members of the Executive Board except where the offices of the business manager or business  
32 representative have been combined with those of president, vice president or recording secretary,  
33 in accordance with this Section.

34           No member shall be nominated for or elected to two (2) local union offices or positions at

## ARTICLE TWELVE (12)

35 the same time unless prior to said nomination such offices had been combined by action of the  
36 local union. No member shall be eligible to nomination, election, or appointment, nor shall he or  
37 she be permitted to continue to serve as an officer, business manager, business representative,  
38 delegate, trustee or other representative of any affiliated local union unless (a) he or she is paying  
39 the rate that keeps him or her a member in good standing in such local union, (b) such dues and  
40 other obligations due the local union and this Association must be paid before the first day of the  
41 current month and are properly recorded on official receipts in his or her possession, and (c) he or  
42 she has established a record of continuous good standing in the local union in which he or she is a  
43 candidate for a period of not less than two (2) consecutive years, which may include years as an  
44 apprentice-member but no longer serving an apprenticeship, immediately preceding his or her  
45 nomination, appointment or election, except where a new local union has not been in existence, or  
46 where no one willing to accept nomination or appointment to a particular office or position has a  
47 record of continuous good standing, for such period, in which cases a shorter period for eligibility  
48 may be prescribed with the prior approval of the General President; nor shall he or she be eligible  
49 for nomination, election or appointment or permitted to continue to serve if he or she is retired on  
50 pension under the provisions of the Social Security Act or Railroad Retirement Act or on a pension  
51 from this Association or any local union or council thereof or from any pension plan negotiated  
52 with employers unless said retiree has returned to active service and has worked at the trade for  
53 not less than one (1) year immediately prior to date of nomination. A new local union as used in  
54 this paragraph shall not include a new local union resulting from a merger, amalgamation or  
55 separation of other local unions which have been in existence for two (2) years or more.

56 The foregoing qualifications shall be required of members of local unions which have  
57 resulted from amalgamation, merger or separation of local unions except that if good standing has  
58 been continuous or unbroken then good standing membership in all local unions involved in the  
59 amalgamation, merger or separation shall be counted; however, the local union good standing of  
60 members who transfer from one local union to another after the amalgamation, merger or  
61 separation has been completed, or following transfer time limits prescribed in connection with the  
62 amalgamation, merger or separation, shall commence with the date of transfer.

### 63 SECTION 4--NOMINATION

64 **SEC. 4.** Unless otherwise authorized by the General President all nominations for local  
65 union officers, business manager and business representatives shall be made at a regular or special  
66 meeting held either in the month of May or early in the month of June and all nominations shall  
67 be closed at said regular or special meeting. The president or presiding officer shall appoint a judge  
68 and at least two (2) tellers to conduct an election with respect to such officers. Such election shall  
69 be conducted only by such duly appointed judge and tellers. No member may be nominated for or  
70 elected to more than one (1) office or position in any local union.

71 Any member who is nominated for local union office and who is not present at the meeting  
72 at which nominations are made shall be dropped from the list of nominees unless within five (5)  
73 days after such nomination he or she notifies the recording secretary in writing of his or her  
74 willingness to run for such office.

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75

**SECTION 5--NOTICE**

76           **SEC. 5.** Only good standing members, who are not owner-members, are eligible to  
77 participate and vote and all good standing members of affiliated local unions shall be notified in  
78 advance, by written notice, or by notice printed in a publication customarily mailed to all members  
79 regarding the date, time, place and purpose of meeting for nomination and also regarding the dates,  
80 times and places of elections. Notice of election shall be mailed to the last known home address  
81 of each member not less than fifteen (15) days prior to such election.

82

**SECTION 6--ELECTIONS**

83           **SEC. 6(a).** Elections may be held under such rules as are not inconsistent with this  
84 Constitution and at such times and places following the nominations as the local union may decide,  
85 provided a) that the selection of the times and places shall afford the membership a reasonable  
86 opportunity to vote, including the notice provided for in Section 5 of this Article, b) that the  
87 election shall be held in the month of June, c) that officers, business manager and business  
88 representatives shall be elected from duly nominated candidates and not by "write-in ballots," and  
89 d) that absentee ballots shall not be used or accepted.

90           Elections shall be conducted by secret ballot except in those instances where there is only  
91 one (1) nominee for the office. The nominee receiving the highest number of votes for each office  
92 shall be declared elected.

93           For extraordinary elections, a mail ballot or an electronic method for election may be  
94 conducted if (a) the membership approves use of either a mail ballot or electronic method by a  
95 two-thirds (2/3) vote at a special meeting, and (b) the General President approves use of the mail  
96 ballot, or c) the General President recommends the use of an electronic method to the General  
97 Executive Council for its approval. Procedures shall be used to ensure the secret ballot.

98           **SEC. 6(b).** A "secret ballot" vote shall mean the expression by ballot, voting machine or  
99 other electronic means approved by the General Executive Council, of a choice by the member  
100 cast in such manner that such member cannot be identified with his or her vote. All election  
101 records, including ballots, shall be preserved for one (1) year by an officer designated by the local  
102 union or by the recording secretary if no other officer is designated.

103           **SEC. 6(c). Tellers & Judge.** The tellers and judge shall make arrangements for and  
104 conduct the election at the times and places specified by the local union in accordance with this  
105 Constitution; examine and count all tallies from voting machines or other electronic methods and  
106 legal ballots cast; prepare a written report of all tallies and ballots cast for each candidate and the  
107 number of void ballots; sign said report in the presence of each other and submit the same to the  
108 recording secretary.

109           Whenever the local union shall provide for the casting of ballots at times and places other  
110 than at a meeting of the local union, all tallies and ballots received by the judge and tellers shall  
111 be sealed and shall be counted officially at one (1) time and place to be fixed by the local union  
112 for the count of all ballots cast in the local union elections.



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151 of which he or she is the incumbent. While such resignation shall be submitted in advance of  
152 nomination or appointment, the effective date shall be no later than the date that the newly elected  
153 or appointed officer(s) takes office.

154 **SEC. 8(b).** When a temporary vacancy occurs, the local union shall fill such office or  
155 position pro tem by election or appointment by the local union Executive Board as the local union  
156 may decide. The term of such pro tem officer shall continue until the resumption of office by the  
157 officer who vacated it or until the next regular election, whichever occurs first.

158 In the event a pro tem officer is elected or appointed while he or she is an incumbent of  
159 another local union office or position, he or she shall be entitled to resume his or her former office  
160 or position if he or she is displaced from the pro tem office by the return of the regular incumbent  
161 prior to the next regular election.

162 **SEC. 8(c).** In the event an election is to be held, the vacant office or position may be filled  
163 by appointment by the local union Executive Board pending the holding of such election which  
164 shall be held within ninety (90) days of the occurrence of vacancy.

165 **SEC. 8(d).** Notwithstanding any other provision of this Section, a local union may elect  
166 not to fill vacancies in offices or positions which are not essential to the temporary operation of  
167 the local union if the cost of holding an election or the length of time remaining in the term justify  
168 such action.

### 169 **SECTION 9--SALARIES**

170 **SEC. 9.** Each local union shall have authority to pay such salaries as it may determine for  
171 services rendered by the local union officers, business manager, business representatives,  
172 delegates, representatives and members. Such salaries shall be properly recorded. In no case,  
173 however, shall any local union officer, business manager, business representative, delegate,  
174 representative or member be exempted from his or her obligation to pay dues at the established  
175 rate in advance, and no officer, business manager, business representative, delegate, representative  
176 or member shall receive credit for dues in return for any services rendered.

177 Remuneration or salary due officers, business manager, business representatives,  
178 delegates, representatives, or members for services or expenses shall be paid to them from the local  
179 union treasury by check or electronic transfer.

### 180 **SECTION 10--PENSIONS**

181 **SEC. 10(a).** There shall be established and maintained by the International Association  
182 Pension Funds to be known as SMART Local Unions and Councils Pension Fund (United States)  
183 and the SMART Local Unions and Councils Pension Fund (Canada) for such full-time salaried  
184 officials and employees of all local unions, state, provincial, district councils, officers elected by  
185 the Transportation Division and Administrative Staff whose wages and salaries are allocated to the  
186 Transportation Division, the officers and staff in General Committees and State Legislative Boards  
187 within the Transportation Division which choose to participate, and related organizations who are

ARTICLE TWELVE (12)

188 eligible for coverage under the rules and regulations of such Pension Funds.

189 Employees of related organizations, however, shall be eligible for coverage under either of  
190 the said SMART Local Unions and Councils Pension Funds if permitted under its Trust Agreement  
191 and/or Plan, and if such coverage does not adversely affect either said Fund's tax exempt status or  
192 its financial integrity.

193 **SEC. 10(b).** The trustees of the Pension Funds shall be appointed by the General President  
194 with the approval of the General Executive Council.

195 **SEC. 10(c).** Each U.S. local union and council shall pay each month to the SMART Local  
196 Unions and Councils Pension Fund (United States) an amount equal to fourteen percent (14%) of  
197 the gross monthly compensation of each covered salaried official and eligible employee in the  
198 Transportation Division and an amount equal to seventeen percent (17%) of the gross monthly  
199 compensation of each other covered full-time salaried official and eligible employee, and such  
200 additional percentage or amount that is 1) required to satisfy the minimum funding requirements  
201 imposed by the Internal Revenue Service and ERISA applicable to the Fund, 2) required under the  
202 default schedule(s) published by the Fund, or 3) otherwise required by federal law, which shall be  
203 a standing appropriation and shall not require a vote of the local union or council, or such lower  
204 percentage or amount as the trustees of the Fund determine by applying the foregoing criteria.  
205 Each Canadian local union and council shall pay to the SMART Local Unions and Councils  
206 Pension Fund (Canada) up to seventeen percent (17%) of the gross monthly compensation of each  
207 covered full-time salaried official as determined by the trustees of the SMART Local Unions and  
208 Councils Pension Fund (Canada) or such greater percentage as is required to satisfy the minimum  
209 funding requirements imposed by the provisions of the Income Tax Act (Canada) and the Pension  
210 Benefits Act of Ontario applicable to the Fund (Canada) or which otherwise shall be in compliance  
211 with applicable laws and shall be considered a standing appropriation and shall not require a vote  
212 of the local union or council.

213 **SEC. 10(d).** To the extent permitted by federal and provincial laws, and notwithstanding  
214 any other provisions of this Section to the contrary, each Canadian local union and council shall  
215 pay to the Canadian Dues Defense Fund, herein established and maintained by the International  
216 Association, an assessment in an amount to be determined by the trustees of the SMART Local  
217 Unions and Councils Pension Fund (Canada) which is based on a percentage of the gross monthly  
218 compensation paid to all full-time salaried officials who participate in the SMART Local Unions  
219 and Councils Pension Fund (Canada) provided that the combined contributions to the SMART  
220 Local Unions and Councils Pension Fund (Canada) authorized in Section 10(c) and the Canadian  
221 Dues Defense Fund shall not exceed seventeen percent (17%) or such greater percentage as may  
222 be required under Section 10(c) in the aggregate. The Canadian Dues Defense Fund shall be used  
223 for the purpose of dealing with uniquely Canadian problems that require particularized attention.  
224 The assessment in question shall be a standing appropriation and shall not require a vote of the  
225 local union or council.

226 **SECTION 11--REMOVAL**

227 **SEC. 11(a). Automatic Removal.** If any local union officer, business manager, or business

ARTICLE TWELVE (12) – ARTICLE THIRTEEN (13)

228 representative shall fail to attend three (3) consecutive local union meetings without reasonable  
229 excuse, his or her office shall become vacant automatically and should any member of a local  
230 union Executive Board fail to attend three (3) consecutive meetings of the Executive Board without  
231 reasonable excuse, his or her office shall become vacant automatically.

232 **SEC. 11(b). Removal for Cause.** Local union officers, business manager or business  
233 representatives may be suspended or removed from office, for cause, in the manner and on the  
234 grounds specified in this Constitution, except that it shall be mandatory to remove from office any  
235 officer, business manager, or business representative who pleads guilty to, or is, after trial, found  
236 guilty of charges of falsifying office receipts, any act of fraud, embezzlement, misappropriation or  
237 deception in receiving, recording, applying, handling or accounting of any monies, property or  
238 other things of value belonging to this Association or any local union or council thereof, or of  
239 refusal, failure or neglect to deliver at specified periods or on demand in accordance with this  
240 Constitution, a full and accurate accounting, with payment in full, and delivery of all monies,  
241 property and things of value, together with all books and records for examination and audit.

242 When any local union officer, business manager, or business representative is charged with any  
243 act of fraud, deception, embezzlement or misappropriation of monies, property, or things of value  
244 belonging to this Association or any local union or council thereof, he or she may be temporarily  
245 suspended from office with or without pay by the General President pending trial on such charges  
246 and a full report, together with all information in connection therewith, shall be sent to the General  
247 Secretary-Treasurer for handling with the bonding company in such manner as may be required  
248 by existing bonding agreements.

249 Charges and trial of local union officers, business manager, and business representatives  
250 shall be conducted in accordance with the provisions of Article Eighteen (18) of this Constitution.

251 **SECTION 12 - TRAINING**

252 **SEC. 12.** All full-time officers and agents are required to take the following courses  
253 offered by, or recognized by the Association: the new business agents’ class during the first year  
254 of their first term in office, the basic organizing class in the first term in office, and two (2) classes  
255 of their choosing in their second term. Financial secretary-treasurers shall be required to take the  
256 financial secretary-treasurers class training in the first year after taking office. Credit shall be given  
257 for courses taken before election.

**ARTICLE THIRTEEN (13)**

**Duties of Local Union Officers, Business Manager, and Business Representatives**

1 **SECTION 1--DUTIES OF LOCAL UNION PRESIDENT**

2 **SEC. 1.** The president shall preside at all meetings; rule on all questions of law, procedure  
3 and points of order; have the deciding vote in case of a tie; review and consent to the payment of



ARTICLE THIRTEEN (13)

4 bills and other obligations approved by the local union; require ineligible persons to leave the hall;  
5 appoint all committees unless otherwise directed by the local union and except as provided in  
6 Section 8(a) of this Article; call special meetings as provided in Article Ten (10), Section 6; appoint  
7 such assistants to the conductor or other officers as may be necessary to preserve order and to  
8 facilitate the proper conduct of meetings; act as chairman of the local union Executive Board; and  
9 perform such other duties as may be required of him or her by the local union consistent with the  
10 provisions of this Constitution and the policies of this Association.

11 **SECTION 2--DUTIES OF LOCAL UNION VICE PRESIDENT**

12 **SEC. 2.** The vice president shall assist the president in the performance of his or her official  
13 duties; perform the duties of the president when the president is absent from meetings and perform  
14 such other official duties as may be assigned to him or her by the local union, consistent with the  
15 provisions of this Constitution and the policies of this Association.

16 **SECTION 3--DUTIES OF RECORDING SECRETARY**

17 **SEC. 3.** The recording secretary shall keep correct minutes of each meeting and read the same at  
18 the opening of the next meeting; read all official communications, documents, bills and expenses  
19 received by the local union except those coming within the jurisdiction of the financial secretary-  
20 treasurer; act as secretary of the local union Executive Board; keep a correct record of the names  
21 and addresses of all good standing members; issue all notices for meetings; keep a record of all  
22 registered apprentices and applications for membership; have charge of the official seal and affix  
23 same to all official correspondence and documents; review all bills and other obligations approved  
24 by the local union; notify the General Secretary-Treasurer of any change in any officers, business  
25 manager or business representatives of the local union and any changes in the addresses thereof;  
26 furnish the General Secretary-Treasurer with information about trials of, and discipline imposed  
27 against, members for misconduct; in the absence of both the local president and local vice  
28 president, call meetings to order and direct the local union to select a presiding officer pro tem;  
29 and perform such other duties as may be assigned to him or her by the local union consistent with  
30 this Constitution and the policies of this Association.

31 **SECTION 4--DUTIES OF FINANCIAL SECRETARY-TREASURER**

32 **SEC. 4(A) General.** The financial secretary-treasurer shall:

33 (a). Receive and record on official receipts all monies paid to the local union. Keep a  
34 correct record in the membership dues ledger of the account, obligations and payments of each  
35 applicant for membership and member, issue official receipts in either electronic format approved  
36 by the General Secretary-Treasurer, or in printed triplicate form. In each instance when payments  
37 are made to him or her by applicants or members and no other form of a receipt shall be issued.

38 (b). Deposit all monies received by him or her in the name of the local union in a bank  
39 selected and approved by the local union.

40 (c). Assume full responsibility and liability to the local union and this Association for the

## ARTICLE THIRTEEN (13)

41 money received and recorded. Except obligations due this Association, make no disbursement  
42 from local union funds without the consent of the president, without approval or ratification by the  
43 local union, and without signing a check along with the signature of the president or another officer  
44 duly authorized by the local union except a trustee, or by electronic disbursement provided there  
45 is a process consistent with the requirement of two signatures and the proper segregation of duties  
46 between him or her and the president or other duly authorized officer. Separate from all monies  
47 received the per capita dues due the International Association to ensure that said money is sent  
48 directly to the General Office and is not used or disbursed for any purpose other than the payment  
49 of same to the International Association.

50 **(d).** Close the official receipt book on the last day of each month and immediately record  
51 all members who are more than two (2) months in arrears as suspended. Notice shall not be  
52 necessary.

53 **(e).** Forward to the General Secretary-Treasurer in either electronic format approved by the  
54 General Secretary-Treasurer, or when in print form the white duplicates of all receipts issued by  
55 him or her or by any other authorized officer or representative of the local union, including those  
56 issued on the last day of the month, together with his or her monthly or quarterly report and money  
57 order, bank draft, check, direct deposit, electronic transfer or automated clearinghouse (ACH)  
58 network for the per capita dues and fees due this Association in time to reach the General Office  
59 not later than the tenth (10th) day of the following month.

60 The quarterly report shall include a statement showing all of the net assets of the local  
61 union including but not limited to the general fund, organizing fund, wage equalization or other  
62 such purposed funds, building fund and all other separated funds, the value of all properties real  
63 and personal of the local union and the reconciliation of outstanding obligations of the previous  
64 quarterly report. Whenever in the judgment of the General Secretary-Treasurer it is deemed  
65 impractical to require a local union to report on a monthly basis, he or she may upon the request  
66 of the local union extend such time to a quarterly basis. The financial secretary-treasurer of local  
67 unions reporting on a quarterly basis shall submit estimated per capita dues on a monthly basis and  
68 send his or her quarterly report and per capita dues or fees due this Association to the General  
69 Secretary-Treasurer not later than the tenth (10th) day following the end of the quarter reported.

70 If, notwithstanding the provisions of this Section, the local union per capita dues is not  
71 timely remitted to the General Secretary-Treasurer, whenever the account is thirty (30) or more  
72 days late, the local union shall be charged interest pursuant to Article Five (5), Section 1(a) which  
73 the financial secretary-treasurer shall remit in addition to the per capita dues. The provisions of  
74 this Article are modified for railroad local unions as set forth in Article Twenty-One A (21A),  
75 Section 11.

76 **(f).** Include in the monthly report to the General Secretary-Treasurer a record of all  
77 initiations, reinitiations, suspensions, reinstatements, transfer cards issued and accepted,  
78 withdrawal cards issued and accepted, members expelled and deceased and all legal obligations  
79 due from suspended and expelled members to and including date of suspension or expulsion.

80 **(g).** Receive and conduct all correspondence between his or her local union and the General

ARTICLE THIRTEEN (13)

81 Office and with other local unions or councils pertaining to the records of standing and financial  
82 obligations of members.

83 (h). Keep a correct record of the name, membership number and address of each member  
84 and conduct all correspondence with members pertaining to their record and standing and financial  
85 obligations.

86 (i). On March 31, June 30, September 30 and December 31 of each year, or at any time he  
87 or she is called upon to do so by the trustees of the local union, submit to the trustees for inspection  
88 and audit a complete report of all monies received and disbursed by him or her, together with all  
89 of his or her books and records, including a listing of total assets and properties of the local union.

90 (j). Notify the General Secretary-Treasurer in case the trustees fail or neglect to conduct an  
91 inspection and audit after reasonable notice.

92 (k). Notify the General Secretary-Treasurer of all fines against members and institute  
93 lawsuits pursuant to Article Seventeen (17), Section 3, when necessary to collect fines.

94 (l). Perform such other duties as may be assigned to him or her by the local union which  
95 are consistent with the provisions of this Constitution and policies of this Association.

96 **4(B). Official Receipts.** Each and every official receipt issued in either electronic form or  
97 if printed in triplicate form shall clearly record the name of the applicant or the name and  
98 membership number of the member, the local union number, the actual date of payment and the  
99 actual amount of money paid by applicant or member, properly checked and applied in spaces  
100 provided therein, and the amount recorded paid on official receipts either in electronic or in  
101 triplicate form shall in no case be less than the actual amount due for obligations checked and  
102 recorded thereon. Each official electronic or printed triplicate receipt issued shall bear the signature  
103 or the authorized printed or facsimile signature in the case of computer issued receipts of the  
104 financial secretary-treasurer or other duly authorized officer of the local union issuing same.

105 All official receipts shall be issued consecutively according to serial numbers and  
106 consecutively according to date of payments actually made and issued at the time that monies are  
107 actually received.

108 Electronic or printed white duplicate and yellow triplicate (copies) of official receipts must  
109 be issued at the same time that original official receipts are issued and must in each instance be  
110 exact copies of the original official receipt issued.

111 Should an error be made in issuing official receipts, the original electronic or printed  
112 receipt, white duplicate and yellow triplicate (copies) of said receipts shall be immediately marked  
113 void and another official receipt in electronic or printed in triplicate form shall be issued to properly  
114 record any payment actually made by applicants or members.

115 An electronic copy or if printed the white duplicate (copies) of all spoiled and void receipts  
116 must be forwarded to the General Secretary-Treasurer with each monthly remittance.

## ARTICLE THIRTEEN (13)

117 Whenever officers or representatives other than the financial secretary-treasurer are duly  
118 authorized by local unions to collect dues, fees and other obligations from applicants or members,  
119 said officers or representatives shall acknowledge and record all payments made to them by  
120 applicants or members on official receipts in in electronic or triplicate form, and no other form of  
121 receipt shall be issued or recognized, and they shall turn over to the financial secretary-treasurer  
122 of the local union at each regular meeting all electronic or printed white duplicate (copies) of  
123 official receipts issued by them between meetings with the total amount of monies collected and  
124 recorded on electronic or printed white duplicate (copies) of official receipts so that the financial  
125 secretary-treasurer shall enter proper credit to the account of those involved.

126 Electronic or printed white duplicate official receipts filed at the General Office by local  
127 unions that are not actual copies of original official receipts, or that have not been issued  
128 consecutively according to serial number and according to dates or which show erasures, change  
129 in name, change in amount, change in date or any other alteration or that record any money not  
130 actually paid shall not be accepted or applied to the credit of the member or person involved; nor  
131 shall any International fees or dues be accepted on said receipts. The original copy of all said  
132 receipts issued contrary to the requirements of this Constitution shall be taken up by the local  
133 financial secretary-treasurer and surrendered to the General Secretary-Treasurer to be properly  
134 corrected or canceled and declared void as the facts in the case may warrant.

135 Notwithstanding the above and providing the technology comes into existence, the General  
136 Secretary-Treasurer, with the approval of the General Executive Council, has the authority to  
137 implement an electronic means of issuing, receiving, filing and storing official receipts so long as  
138 adequate safeguards are in place.

### 139 SECTION 5--DUTIES OF TRUSTEES

140 **SEC. 5.** The trustees shall have supervision over all funds and property of the local union,  
141 subject to the provisions of this Constitution and any instructions from the local union not  
142 inconsistent therewith. They shall require the financial secretary-treasurer to deposit all monies,  
143 securities, and certificates of value in the name of the local union in such bank as the local union  
144 may designate; shall arrange with the proper officers of said bank that no funds, securities or  
145 certificates of value shall be drawn from the account of the local union except on check or draft  
146 signed by the financial secretary-treasurer and one or more other officers duly authorized by the  
147 local union or by electronic disbursement in compliance with Article Ten (10), Section 14;  
148 examine the financial statements and all books and records of the financial secretary-treasurer at  
149 the end of each quarter ending March 31, June 30, September 30 and December 31, and conduct a  
150 proper audit of such accounts; submit a certified report of this examination and audit to the local  
151 union and a duplicate original thereof to the General Secretary-Treasurer; have authority to  
152 demand and examine all books and records of the financial secretary-treasurer for the purpose of  
153 an audit at any time and notify the General Secretary-Treasurer of any refusal or failure of the  
154 financial secretary-treasurer to surrender his or her books and records for examination and audit  
155 either at the end of each quarter or upon demand of the trustees. They shall require an independent  
156 audit by a certified public accountant on an annual basis in accordance with the requirements of  
157 Article Ten (10), Section 11(a), of this Constitution.

ARTICLE THIRTEEN (13)

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**SECTION 6--DUTIES OF CONDUCTOR**

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**SEC. 6.** It shall be the duty of the conductor to examine official receipts of all members attending meetings and report to the president or presiding officer at the opening of the meeting the name of anyone who is not in good standing or is ineligible to participate in the meeting; obtain the names of all applicants awaiting initiation and report the same to the presiding officer; conduct qualified applicants through the initiation ceremony and perform such other duties as may be assigned to him or her by the local union consistent with the provisions of this Constitution.

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**SECTION 7--DUTIES OF WARDEN**

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**SEC. 7.** The warden shall take charge of the door at each meeting and see to it that none but members in good standing and entitled to participate in the meeting are admitted. A member who applies for admission without his or her official receipt shall be announced by name and membership number, and, if the records of the financial secretary-treasurer show him or her to be in good standing and he or she is properly identified, he or she shall be admitted. The warden shall allow no members to retire without permission of the president or presiding officer, and shall perform such other duties as may be assigned to him or her by the local union consistent with the provisions of this Constitution.

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**SECTION 8--DUTIES OF BUSINESS MANAGER AND BUSINESS REPRESENTATIVES**

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**SEC. 8(a). Business Manager.** The business manager shall have authority to direct and supervise all business representatives and shall serve as chairman of the committee which negotiates wages, hours, and conditions of employment. He or she shall appoint all committees for which provisions are made in collective bargaining agreements as well as appoint all labor trustees of jointly administered trust funds unless otherwise provided in the respective trust fund documents. The business manager by virtue of his or her office shall serve as trustee on all negotiated trust funds although he or she may appoint a business representative in his or her place instead without the need for executive board approval. The Business Manager shall have authority to implement the provisions of Resolution 78, the text of which is printed as an appendix to this document.

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**SEC. 8(b). Business Managers and Business Representatives.** Business managers and business representatives shall represent their local unions and members thereof in matters pertaining to organizing the unorganized, collective bargaining agreements and enforcement thereof, wages, hours, conditions of employment and jurisdictional matters and supervise the conduct and activities of members in connection therewith to the end that provisions of this Constitution and the policies of this Association are complied with; assist and cooperate with the officers of local unions, and the members thereof in carrying-out the provisions of this Constitution; use their best efforts to adjust and settle such controversies as may arise in connection with complaints of members, consistent with the rights of those involved in accordance with the provisions of the Constitution and the policies of this Association.

196

In all matters involving jurisdiction of work, business managers and business

ARTICLE THIRTEEN (13) – ARTICLE FOURTEEN (14)

197 representatives shall recognize, protect and be governed by the jurisdictional claims and rights of  
198 this Association as set forth in Article One (1), Section 5, of this Constitution and shall not waive  
199 or relinquish claim to any work specified therein.

200 All newly elected business managers and business representatives are required to attend  
201 the new business agents’ class conducted by the Education Department within one (1) year of  
202 election.

203 **SEC. 8(c).** Each local union, after due notice to the membership and approval at a special  
204 meeting called for that purpose or as a special order of business at a regular membership meeting,  
205 may establish a position of local organizer(s) for the purpose of assisting the business manager and  
206 business representatives in organizing the unorganized in the jurisdiction of the local union.

207 In the event the local union does establish such a position, the business manager may  
208 appoint such local organizer who will serve at the discretion of the business manager. Such  
209 organizer must meet the qualifications of Article Twelve (12), Section 3, of this Constitution.

210 **SECTION 9--CONVENTION DELEGATES**

211 **SEC. 9. Automatic Delegates.** Subject to the provisions of Article Seven (7), Section 3,  
212 by virtue of their offices and as part of their duties as such, the elected full-time salaried officers,  
213 business manager and business representatives of each local union, and the president and/or  
214 business manager of a production, federal or shipyard local without full-time salaried officers,  
215 shall serve as delegates of the local union to the General Convention of this Association, provided  
216 that this Section shall not be applied in such manner as to entitle any local union to more delegates  
217 than are provided for in Article Seven (7) of this Constitution nor shall this Section be construed  
218 to require a local union to send more delegates than it desires to represent it.

**ARTICLE FOURTEEN (14)**

**Local Union Executive Board**

1 **SECTION 1—MEMBERSHIP**

2 **SEC. 1.** The local union Executive Board shall consist of the president, vice president,  
3 recording secretary and such additional members as may be decided by the local union, who shall  
4 be nominated and elected in accordance with the provisions of Article Twelve (12) of this  
5 Constitution. The president shall act as chairman and the recording secretary shall act as secretary  
6 of such board.

7 **SECTION 2--MEETINGS**

8 **SEC. 2.** The local union Executive Board shall meet in regular session at such time and  
9 place as may be designated by the local union. Special meetings of the Executive Board shall be  
10 called by the president of the local union when in his or her opinion it is necessary or at the request  
11 of the business manager or a majority of the members of the Executive Board.

ARTICLE FOURTEEN (14) – ARTICLE FIFTEEN (15)

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**SECTION 3--DUTIES**

13           **SEC. 3.** The local union Executive Board shall require the members, officers and  
14 representatives of the local union to comply with the provisions of this Constitution; shall  
15 cooperate at all times with other officers in the performance and execution of their duties and  
16 obligations; shall assist and advise the business manager or business representatives in matters  
17 requiring immediate attention between meetings; and shall perform such other duties as the local  
18 union may direct which are consistent with the provisions of this Constitution.

19           All actions and decisions of the local union Executive Board except those taken pursuant  
20 to Section 8 of Article Twelve (12) shall be subject to approval by the local union.

**ARTICLE FIFTEEN (15)**

**Stewards**

1

**SECTION 1--APPOINTMENT**

2           **SEC. 1.** The business manager shall appoint such stewards as may be necessary to properly  
3 perform the functions and duties described in Section 2 of this Article.

4

**SECTION 2--DUTIES**

5           **SEC. 2(a).** It shall be the duty of a steward to observe conditions of employment and the  
6 conduct of members to the end that the duties and obligations of members and provisions of  
7 existing union agreements shall be complied with; to assist whenever possible in adjusting  
8 differences or misunderstandings which arise out of the interpretations or applications of the  
9 provisions of existing union agreements in connection with the employment of members in shops  
10 or on jobs; to advise eligible applicants for membership regarding the requirements of membership  
11 and refer said applicants to the financial secretary-treasurer or the business representatives of the  
12 local union; to direct traveling members of other local unions who are seeking employment to  
13 report to the financial secretary-treasurer, business manager or business representative and to  
14 comply with the provisions of this Constitution regarding the deposit of transfer cards; and to  
15 submit regular reports to the local union and to immediately report to the president, business  
16 manager or business representative any matter which warrants or requires their attention.

17           **SEC. 2(b).** Shop stewards shall require absolute compliance with all provisions and  
18 requirements of this Constitution regarding the issuance and use of union labels, and all rules and  
19 regulations governing wages and conditions of employment, and immediately report any violation  
20 or evasion to the president, financial secretary-treasurer, business manager or business  
21 representative of the local union.

ARTICLE SIXTEEN (16)

ARTICLE SIXTEEN (16)

Membership

SECTION 1—QUALIFICATIONS

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2       **SEC. 1(a).** Each applicant for membership must be of good moral character and must be a  
3 worker in one or more industries covered by the jurisdictional claims of this International  
4 Association and agree to be bound by the provisions of this Constitution and any amendments  
5 thereto and by the policies and regulations established by this International Association.

6       **SEC. 1(b). Foremen and Superintendents.** Foremen and superintendents who supervise the work  
7 of members of this Association or who work for contractors signatory with this Association and  
8 who meet and comply with all provisions and requirements of this Constitution and the established  
9 and recognized wage scales and working conditions of affiliated local unions shall, except for the  
10 provisions of Section 1(c) of this Article, be eligible for membership in this Association or any  
11 local union affiliated therewith.

12       **SEC. 1(c). Owners or Partners in Business.** Except as otherwise provided in Section 1(d)  
13 hereof no owner, partner, agent, contractor, subcontractor, jobber, or any other person who is  
14 directly or indirectly financially interested in or who is an officer of or otherwise involved in the  
15 management of a sheet metal shop, business or job shall be eligible to make application for  
16 membership or be accepted as a member of this Association or of any local union or council thereof  
17 and any such person's membership shall be discontinued upon knowledge of such status. No  
18 person compensated on a lump sum, piece work or other basis, that is not in accordance with the  
19 wage scales and working conditions established by this Association and the local unions and  
20 councils affiliated therewith, except when the person is subject to a union organizing campaign,  
21 shall be eligible to make application for membership or be accepted as a member of this  
22 Association or of any local union or council thereof and any such person's membership shall be  
23 discontinued upon knowledge of such status. Financial interests within the meaning of this Section  
24 shall not include ownership of stocks and securities listed on the New York, American, or  
25 NASDAQ stock exchanges, or stock ownership in a signatory employer pursuant to an Employee  
26 Stock Ownership Plan (ESOP) negotiated with the members' local union.

27       **SEC. 1(d). Owner-Members.** An owner, employer, contractor, jobber, or anyone who  
28 otherwise participates as management in the sheet metal industry shall be eligible to retain or apply  
29 for membership in this International Association or any local union thereof as an owner-member  
30 with the same rights and duties as other members except as provided below:

31       (1). The sheet metal shop or business with which he or she is connected is in signed  
32 agreement with the local union or local unions having jurisdiction over the shop and the shop or  
33 business must employ at least one journeyman sheet metal worker who is a member of this  
34 Association.



ARTICLE SIXTEEN (16)

35 (2). An owner-member shall not be entitled to attend any meetings or be permitted to vote  
36 for election of local union officers or on any question pertaining to wages, hours, benefits, or other  
37 terms or conditions of employment or on the acceptance or rejection of a collective bargaining  
38 agreement, and further, shall not be permitted to serve in a representative capacity or hold any  
39 office or position in the local union. An owner-member who returns to any classification  
40 represented by this Association shall not be permitted to run for local union office in any local  
41 union affiliated with SMART for a period of one (1) year. Such period starts from the date that  
42 his or her classification is changed on an official dues receipt issued by the financial secretary-  
43 treasurer of the local union.

44 (3). An owner-member shall not be permitted to work in any capacity in the sheet metal  
45 industry for another employer.

46 (4). Any owner-member who fails to fulfill his or her financial obligations by making  
47 proper payment to his or her employees for work performed or to contribute the contractual  
48 obligations to fringe benefit funds shall be subject to charges and penalties as prescribed in Article  
49 Seventeen (17) of this Constitution.

50 (5). Any owner-member working with the tools of the trade shall pay to all fringe benefit  
51 programs for the actual hours worked in accordance with the respective collective bargaining  
52 agreement, unless that collective bargaining agreement provides for a different requirement.

53 (6). Nothing herein shall be construed to confer eligibility for benefits to an owner-member  
54 from any particular employee or fringe benefit plan, nor supercede any minimum hours or  
55 participation requirements of such plans.

56 **SEC. 1(e). Membership in One Local Union.** Except as otherwise provided in this  
57 Constitution no member shall be permitted or required to hold membership in more than one (1)  
58 affiliated local union at the same time, nor shall any member be required to pay dues or assessments  
59 into any other affiliated local union or building trades council as a condition of membership or  
60 employment, except the local union in which he or she is properly recorded as a member and the  
61 building trades council with which his or her local union is affiliated.

62 The member in question shall provide immediate proof of local union membership when  
63 he or she is challenged by any other member of SMART who displays a dues receipt as proof of  
64 membership and good standing in his or her local union. Along with this, a second form of pictured  
65 identification may also be required, such as, pictured driver's license or similar form of  
66 identification.

67 **SEC. 1(f). Associate Membership.** Each applicant for Associate Membership must be of  
68 good moral character and concerned with the issues affecting members and this Association.

69 Applicants for Associate Membership shall file an application with the General Secretary-  
70 Treasurer. If the applicant is found to be eligible, he or she shall be granted membership. The  
71 General Executive Council will be notified periodically of all new Associate Members.

72 An Associate Member shall not be entitled to attend local union meetings or be permitted

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73 to vote for election of local union officers.

74 Associate Members shall pay dues at the rate of Twelve Dollars (\$12.00) per year. The  
75 General Executive Council shall establish and change the dues as it deems appropriate. Dues shall  
76 be paid directly to the General Secretary-Treasurer, and shall be acknowledged on official receipts.  
77 Standing of Associate Members shall be determined by duplicate copies of official receipts  
78 properly issued recording payments actually made in the manner specified in this Constitution.

79 When an Associate Member becomes delinquent in the payment of Associate Membership  
80 dues, he or she shall be recorded suspended by the General Secretary-Treasurer without notice.

81 **SECTION 2--APPLICATION FOR MEMBERSHIP**

82 **SEC. 2. Form of Application.** Each qualified applicant for membership shall fill out the  
83 standard form of application or approved electronic digital version prepared by this Association,  
84 which shall be accompanied by a deposit of not less than Fifty Dollars (\$50.00) toward the payment  
85 of initiation fee and be filed with the financial secretary-treasurer, who shall accept the application  
86 and notify the applicant.

87 The financial secretary-treasurer shall report a list of new members to the executive board  
88 or to the next regular meeting of the local union. Anyone who shall certify to any false statement  
89 or answer on official form of application for membership or who shall otherwise by false pretense,  
90 misrepresentation or fraud attempt to secure membership in this Association or any local union or  
91 council thereof, shall immediately have his or her application rescinded and forfeit all monies paid  
92 by him or her toward initiation fees.

93 **SECTION 3--INITIATION AND REINITIATION**

94 **SEC. 3(a).** Every applicant shall be initiated upon receipt by the local union of the deposit  
95 toward initiation fee and one month's dues which payment must be paid within eight (8) days after  
96 the beginning of his or her employment or as soon as the local union is permitted by law to require  
97 payment. He or she shall be notified to appear at a meeting of the local union to take the obligation  
98 of membership, or complete the standard official form of application in the presence of two (2)  
99 members, and acknowledge that he or she (1) has been furnished with a copy of the Constitution  
100 and Ritual of this Association and shall be responsible to examine and subscribe to same; (2) agrees  
101 to be governed by the principles and policies of this Association and this Constitution thereof as  
102 they then exist or as they may be changed or amended thereafter; and (3) will further abide by the  
103 valid by-laws, rules, and decisions of the local union. An applicant for initiation or reinitiation  
104 shall be recognized as a member by this Association unless the application is subsequently  
105 disapproved by the General Secretary-Treasurer or unless all initiation fees and per capita dues  
106 required by this Constitution are not timely paid by him or her.

107 **SEC. 3(b).** The amount of credit for initiation or reinitiation fee certified to on the original  
108 copy of the standard official form of application and obligation must in each instance be verified  
109 by either electronic format or white duplicate copies of official receipts filed at the General Office  
110 by the local union, recording actual payments made for initiation or reinitiation fee and dues

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111 beginning with the month in which initiation or reinitiation is properly recorded.

112 **SEC. 3(c).** No applicant for membership is entitled to credit for a greater amount of  
113 initiation or reinitiation fee than he or she has actually paid and is recorded on either electronic  
114 format or white duplicate copies of official receipts filed at the General Office, and no local union  
115 or officer thereof shall certify to or allow credit to any applicant or member for a greater amount  
116 of initiation or re-initiation fee than he or she has actually paid.

117 **SEC. 3(d).** Any qualified applicant who has filed application for membership in a local  
118 union and who has actually paid Fifty Dollars (\$50.00) or more to apply on the established  
119 initiation or reinitiation fee of the local union in which application for membership has been filed,  
120 and who then leaves the jurisdiction of said local union, shall, provided he or she complies with  
121 all other requirements of this Constitution, be accepted and obligated as a member of the local  
122 union with which he or she has filed application, with credit for a special initiation fee after which  
123 he or she shall, subject to the provisions of Section 9 of Article Sixteen (16), be entitled to a transfer  
124 card with credit only for the amount of Fifty Dollars (\$50.00) or more, special initiation fee actually  
125 paid. In the event such member fails to deposit the transfer card provided for in this paragraph, his  
126 or her initiation shall be void and he or she shall be restored to his or her status as an applicant for  
127 membership with credit on initiation fee of the amount actually paid.

128 **SEC. 3(e).** Any qualified applicant who has filed application for membership in a local  
129 union and who has actually paid less than Fifty Dollars (\$50.00) to apply on the established  
130 initiation or reinitiation fee of the local union in which application for membership has been filed,  
131 and who then leaves the jurisdiction of said local union, shall, immediately on entering the  
132 jurisdiction of another local union, present to the financial secretary-treasurer, business manager  
133 or business representative of said local union official receipts acknowledging and recording  
134 payments actually made on initiation or reinitiation fee to date, file a new application for  
135 membership in said local union, and if accepted as an applicant shall be allowed credit on initiation  
136 or reinitiation fee for the amount actually paid and recorded on official receipts presented, provided  
137 he or she shall comply with all requirements of this Constitution. On failure of the applicant to  
138 present official receipts for credit and to file new application for initiation or reinitiation with  
139 another chartered and affiliated local union within ninety (90) days, he or she shall forfeit his or  
140 her right to credit for all monies paid.

141 **SECTION 4--FORFEITURE OF INITIATION FEE**

142 **SEC. 4.** In the event an applicant is initiated for membership, except one serving an  
143 apprenticeship, but fails to pay his or her full initiation fee within six (6) months from the date of  
144 his or her application for membership, he or she shall be automatically suspended from  
145 membership and forfeit all monies paid by him or her toward initiation fee, unless the time is  
146 extended by action of the local union.

147 **SECTION 5--UNAUTHORIZED FEES**

148 **SEC. 5.** No applicant for membership shall be permitted or required to pay any permit fees  
149 under any circumstances or to pay any assessments until he or she has complied with all

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150 requirements of this Constitution governing initiation, reinitiation or reinstatement and has been  
151 duly obligated and properly recorded as a member.

152 **SECTION 6--WITHDRAWAL CARDS**

153 **SEC. 6(a). General.** Except as otherwise provided in this Section, any member in good  
154 standing who leaves covered employment and accepts employment in other industries or in other  
155 trades shall, subject to the provisions of this Constitution, immediately make application for and  
156 be issued a withdrawal card. No member in good standing against who charges are pending or not  
157 disposed of shall be entitled to a withdrawal card. The General Secretary-Treasurer shall invalidate  
158 a withdrawal card upon receiving notice that a member on withdrawal card is performing work  
159 covered by the claimed jurisdiction of this Association.

160 **SEC. 6(b). Application.** Application for withdrawal cards shall be made to the financial  
161 secretary-treasurer of the local union of which the applicant is a member. Such applications shall  
162 be accompanied by payment of all dues, fees, and other financial obligations due the local union  
163 and this Association to and for the month in which the withdrawal card is issued plus Fifty Dollars  
164 (\$50.00) except as provided in Section 13 of Article Twenty-Two (22) of this Constitution. The  
165 General Secretary-Treasurer may reduce or waive the withdrawal fee for a local union, upon  
166 request.

167 **SEC. 6(c). Owners or Partners in Business.** Good standing members who become  
168 owners, partners, agents, contractors, or subcontractors or who become directly or indirectly  
169 financially interested or involved in the management of a sheet metal shop or business as officers  
170 or otherwise shall be eligible to receive and retain withdrawal cards only if, (1) such sheet metal  
171 shop or business in which they are interested continues to operate under a Union Agreement with  
172 the local union in whose jurisdiction such business is conducted, (2) not more than one (1) person  
173 connected or associated with the management of the sheet metal shop or business in which they  
174 are interested, whether such persons hold withdrawal cards or not, works with the tools of the trade  
175 and then in the shop only, and (3) at least one (1) member of this Association is employed on all  
176 work covered by Article One (1), Section 5 of this Constitution. A member who acquires stock  
177 ownership with a signatory employer pursuant to an ESOP negotiated with the members' local  
178 union shall be prohibited from receiving a withdrawal card.

179 **SEC. 6(d). Rights and Privileges.** Members on withdrawal card, subject to the provisions  
180 of Section 1(d)(2) of this Article and excluding railroad supervisors, shall have the right to attend  
181 meetings but shall not be eligible to actively participate in any meeting of a local union or council  
182 of this Association, nor shall any such member be eligible for nomination, election or appointment,  
183 or be permitted to serve, as officer, representative, delegate, business manager, business  
184 representative or committeeman unless he or she returns to active service and is available for work  
185 or has worked at the trade for not less than two (2) years immediately prior to the date of  
186 nomination or appointment.

187 **SEC. 6(e). Reinstatement.** A member on withdrawal card who is eligible for membership,  
188 who desires to return to covered employment and becomes reinstated to membership, shall have  
189 the right to deposit his or her withdrawal card with the local union which issued the same and

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190 apply for reinstatement. Such deposit of withdrawal card for purposes of reinstatement and request  
191 for transfer card, if any, may be made by mail or by other method of delivery.

192 Each applicant for reinstatement on withdrawal card is entitled to credit for the actual  
193 amount of initiation or reinitiation fee paid prior to the issuance of his or her withdrawal card, and  
194 shall be required to pay the difference between the amount of last initiation or reinitiation fee  
195 actually paid and the established initiation fee of the local union at the time that the withdrawal  
196 card is presented for reinstatement, except that a member who deposits his or her withdrawal card  
197 solely for the purpose of obtaining a transfer card shall pay the difference of initiation fee, if any,  
198 to the local union in which he or she deposits his or her transfer card and not to the local union that  
199 issued the withdrawal card.

200 Except as otherwise provided in Section 13 of Article Twenty-Two (22), the International  
201 reinstatement fee shall be Fifty Dollars (\$50.00) plus forty-five percent (45%) of any fee collected  
202 by the local union for difference in initiation fee for reinstatement on withdrawal card. The General  
203 Secretary-Treasurer may reduce or waive the reinstatement fee upon request from the local union.  
204 At least thirty-five percent (35%) of the fees collected pursuant to this Section shall be paid to the  
205 General Fund and five percent (5%) shall be apportioned on a basis determined by the General  
206 Executive Council between the SMART Local Unions and Councils Pension Fund (United States)  
207 and the SMART Local Unions and Councils Pension Fund (Canada) and/or the Canadian Dues  
208 Defense Fund, and up to five percent (5%) shall be paid to the Sheet Metal Workers' International  
209 Staff Pension Fund. The applicant shall also pay dues in advance and other obligations beginning  
210 with the month in which reinstatement is properly recorded but shall not be assessed any local  
211 union reinstatement fee.

212 **SEC. 6(f). Dues.** Members on withdrawal card shall pay dues at the rate of Sixty-Dollars  
213 (\$60.00) per year; said dues to be paid in advance on or before the first (1st) day of January of  
214 each year. Members on withdrawal card shall be subject to the provisions of Article Sixteen (16),  
215 Sections 11 and 12(a), concerning suspensions and reinstatements.

216 **SEC. 6(g). Penalties.** A member on withdrawal card will be subject to the provisions of  
217 Article Seventeen (17) of this Constitution. A member on withdrawal card who, as an employer,  
218 fails or is the person responsible for the failure of an employer to fulfill his or her financial  
219 obligations to the fringe benefit funds or to his or her employees shall forfeit his or her withdrawal  
220 card and the same shall be canceled. Notice of any cancellation of withdrawal card shall be sent to  
221 the individual involved and to the General Secretary-Treasurer.

### 222 **SECTION 7--LIMITED MEMBERSHIP**

223 **SEC. 7(a). Eligibility.** Limited Membership may be granted good standing members over  
224 sixty (60) years of age whose earning capacity has been substantially reduced because of age,  
225 retirement or disability and who have maintained a continuous good standing record of twenty-  
226 five (25) or more consecutive years; provided however, that in Canada only, Limited Membership  
227 may be granted to Canadian members over fifty-five (55) years of age if all other conditions of  
228 eligibility of this Section 7(a) are met.

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229           **SEC. 7(b). Application.** Applicants for Limited Membership shall file an application in  
230 the local union of which they are members and if such application is approved by the local union  
231 it shall be submitted to the General Secretary-Treasurer for check as to eligibility. If the applicant  
232 is found to be eligible by the General Secretary-Treasurer, he or she shall be granted Limited  
233 Membership subject to the conditions prescribed in this Section.

234           **SEC. 7(c). Rights and Privileges.** Limited Members may attend meetings, but Limited  
235 Members shall not be eligible to actively participate in any meeting of a local union or council of  
236 this Association, nor shall they be eligible to nomination, election or appointment or be permitted  
237 to serve as officers, representatives, delegates, business manager, business representatives or  
238 committeemen.

239           **SEC. 7(d). Dues.** Limited Members shall pay dues at the rate of Forty-Eight Dollars  
240 (\$48.00) per year, said dues to be paid quarterly in advance on or before the first (1st) day of  
241 January, April, July and October of each year. Said Limited Membership dues shall be paid directly  
242 to the financial secretary-treasurer of the local union with which the member is affiliated or to the  
243 General Secretary-Treasurer, and shall be acknowledged and recorded on official receipts.  
244 Standing of Limited Members shall be determined by either electronic format or duplicate (copies)  
245 of official receipts properly issued recording payments actually made in the manner specified in  
246 this Constitution.

247           **SEC. 7(e). Forfeiture.** When a Limited Member becomes two (2) consecutive months  
248 delinquent in the payment of Limited Membership dues, he or she shall be recorded suspended by  
249 the local financial secretary-treasurer or by the General Secretary-Treasurer without notice and  
250 shall thereby forfeit his or her privilege of Limited Membership. The privilege of Limited  
251 Membership thus forfeited shall not thereafter be reinstated or restored.

### 252           **SECTION 8—MEMBERS ENTERING THE ARMED FORCES**

253           **SEC. 8(a).** Members whose dues, per capita dues and other obligations due the local union  
254 and this Association are paid to and for the month in which they enter the armed forces of the  
255 United States or Canada may, notwithstanding any provisions of this Constitution to the contrary,  
256 either make application for a special withdrawal card or for Special Limited Membership in  
257 accordance with and subject to the condition hereafter provided. Members on a regular withdrawal  
258 card are not eligible to make application for a special withdrawal card or for Special Limited  
259 Membership.

260           **SEC. 8(b).** Special Limited Memberships provided for in this Article may be issued to  
261 members entering the armed forces upon the furnishing to the General Secretary-Treasurer of the  
262 actual date of entry into active service accompanied by Special Limited Membership dues of One  
263 Dollar and Twenty-Five Cents (\$1.25) per month, paid for one quarter in advance. Thereafter  
264 Special Limited Membership dues shall be paid at the rate of One Dollar and Twenty-Five Cents  
265 (\$1.25) per month, quarterly in advance to and including the date of payment of membership dues  
266 at the regular established rate of the local union, which payment shall be made not later than ninety  
267 (90) days immediately following the month in which he or she is released from active service.  
268 Photostatic copy of Honorable Discharge or other official evidence of release from the active

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269 service shall be furnished the General Secretary-Treasurer.

270 Any member of the National Guard or Reserves, activated by the Armed Forces, shall  
271 immediately be issued Special Limited Membership after his or her regular dues are paid to and  
272 for the month in which he or she was activated. The dues of One Dollar and Twenty-Five Cents  
273 (\$1.25) per month, paid quarterly in advance, shall be paid by the local union, not by the member.

274 Holders of Special Limited Membership who comply with the provisions of this Section  
275 shall be reinstated to full membership, without payment of reinstatement fee, and full credit for  
276 continuous good standing to and including actual date of entry into active service, plus continuous  
277 good standing thereafter established under said Special Limited Membership during the period of  
278 active service.

279 Any local union is authorized to pay the Special Limited Membership dues provided for in  
280 this Article at the rate of One Dollar and Twenty-Five Cents (\$1.25) per month either out of its  
281 general fund or relief fund.

282 Special Limited Membership dues shall be paid quarterly in advance to the financial  
283 secretary-treasurer of the local union with which the member is affiliated. The provisions of this  
284 Section shall not apply to members who voluntarily re-enlist in the armed forces.

285 **SEC. 8(c).** Special withdrawal cards issued to members entering the armed forces shall be  
286 effective as of the date of actual entry into active service and shall continue in effect until three (3)  
287 months after the actual date of discharge. If, within said three (3) month period, the holder of such  
288 special withdrawal card shall have filed application for reinstatement in the local union issuing  
289 such special withdrawal card accompanied by dues at the regular rate of such local union beginning  
290 with the date of application for reinstatement and a photostatic copy of Honorable Discharge or  
291 other official evidence of release from active service which shall be forwarded to the General  
292 Secretary-Treasurer, he or she shall be reinstated to membership without payment of reinstatement  
293 fee or the difference between initiation fee paid and initiation fee at the time of such reinstatement.

294 The holder of such special withdrawal card shall be entitled to none of the rights, privileges  
295 and benefits of membership; but on reinstatement to membership in accordance with the provisions  
296 of this Section, his or her record of continuous good standing previously established to and  
297 including the month of actual entry into service shall be restored, but without credit for the period  
298 during which said special withdrawal card was effective.

299 **SECTION 9--TRANSFER CARDS**

300 **SEC. 9(a).** Any good standing member of an affiliated local union who desires to travel or  
301 accept employment in the jurisdiction of another local union, in the event such employment  
302 involves a transfer of permanent address, shall apply to the financial secretary-treasurer of his local  
303 union for an official transfer card.

304 **SEC. 9(b).** No member against whom any charges are pending or who has been notified to  
305 appear for any hearing or trial as defendant or complainant, in connection with charges already

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306 filed in accordance with this Constitution, shall be entitled to a transfer card until the hearing or  
307 trial is concluded and all duties and all obligations, financial and otherwise, in connection with  
308 said charges or trial have been fully discharged.

309 **SEC. 9(c).** No member shall be entitled to a transfer card unless all local union and  
310 Association obligations are paid in full, including dues in advance to and including the month in  
311 which the transfer card expires, plus One Dollar (\$1.00) transfer fee. Any dues paid beyond the  
312 month in which the transfer card expires, will be paid by the issuing local union to the admitting  
313 local union, less the per capita dues. Of these dues, if the dues structure is higher in the admitting  
314 local union, the member will be required to pay the difference to the admitting local union, less  
315 the per capita dues which was previously paid to the International by the issuing local union.

316 **SEC. 9(d).** The financial secretary-treasurer of each local union is obligated to issue  
317 official transfer cards to good standing members in the manner specified in this Constitution  
318 without action or approval of the members of the local union.

319 **SEC. 9(e).** When a transfer card is issued by a local union it shall bear the signature of the  
320 president and financial secretary-treasurer and the seal of the local union and shall be endorsed by  
321 the member receiving the same in the presence of the financial secretary-treasurer. When a transfer  
322 card is issued by the General Secretary-Treasurer it shall be signed by him and bear the seal of this  
323 Association.

324 **SEC. 9(f).** When a member enters the jurisdiction of another local union he or she shall  
325 immediately report to the financial secretary-treasurer, business manager, business representative,  
326 president, or recording secretary and present his or her official dues receipt and transfer card, for  
327 deposit, before seeking or accepting employment in the jurisdiction of such other local union.

328 **SEC. 9(g).** When depositing a transfer card, the member shall record thereon his or her  
329 local address and shall keep the local union informed of any change in the local address.

330 **SEC. 9(h).** Except as otherwise provided in Section 9(s) of this Article, if for any reason  
331 an official transfer card issued to any good standing member is not deposited with or accepted by  
332 another local union within thirty (30) days from date of issue, said transfer card expires by  
333 limitation and becomes void; and should the member still desire to travel to seek or accept  
334 employment in the jurisdiction of another local union, he or she shall apply to the financial  
335 secretary-treasurer of the local union that issued the expired and void transfer card and of which  
336 he or she is still a member for a new transfer card which, like the previous one, shall be issued  
337 only on payment of all obligations, including dues in advance to and including the month in which  
338 the new transfer card expires by limitation.

339 **SEC. 9(i).** Until such time as a traveling member deposits his or her transfer card with and  
340 it is accepted by another local union, he or she shall pay dues and all other obligations directly to  
341 the local union which issued the same and of which he or she is still a member, and he or she shall  
342 not pay dues in any other local union until his transfer card is properly deposited and accepted  
343 therein. Should he or she fail to pay dues and all other obligations directly to the local union of  
344 which he or she is still a member, pending proper deposit and acceptance of his or her transfer card



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345 in another local union, or should he or she fail to pay dues to another local union, after his or her  
346 transfer card has been properly deposited and accepted therein, he or she shall be recorded  
347 suspended without notice, in accordance with this Constitution, when two (2) months in arrears.

348 **SEC. 9(j).** Except as provided in Section 9(k) of this Article, any member who accepts  
349 employment in the jurisdiction of another local union after the deposit and acceptance of his or her  
350 transfer card shall pay to said local union the difference, if any, between the amount of initiation  
351 fee actually paid prior to his or her transfer as recorded in the General Office and the established  
352 initiation fee of the local union in which his or her transfer card was deposited and accepted,  
353 provided that no local union shall be required to admit any member on transfer card who has less  
354 than five (5) years of continuous good standing with this Association, other than a member who  
355 has completed a qualifying apprentice training program, unless after appearance before the local  
356 union examining board, such member demonstrates that he or she is capable of performing the  
357 work coming within the jurisdiction of such local union, in accordance with the standards of  
358 workmanship established by such local union.

359 **SEC. 9(k).** Any member who has established a record of continuous good standing of five  
360 (5) years or more to and including date of issuance of transfer card (and including time as an  
361 apprentice-member) shall be admitted by transfer card into any local union of this Association in  
362 accordance with the requirements of this Constitution, and without payment of any difference in  
363 initiation fee.

364 **SEC. 9(l).** Any member who desires to leave the jurisdiction of a local union with which  
365 his or her transfer card is properly deposited and accepted before the difference between the actual  
366 amount of initiation fee paid by the member prior to his transfer and the established initiation fee  
367 of the local union is paid in full shall be granted a transfer card with credit for the actual amount  
368 of initiation fee paid prior to transfer, plus any difference paid subsequent to transfer, provided he  
369 or she shall pay all dues and obligations including dues in advance to and for the month in which  
370 transfer card expires, plus One Dollar (\$1.00) transfer fee.

371 **SEC. 9(m).** Each transfer card shall have attached thereto a form which shall be detached  
372 by the financial secretary-treasurer of the local union with which the transfer card is deposited.  
373 Said form shall be filled out over the signature of the financial secretary-treasurer and the seal of  
374 the local union and forwarded to the General Secretary-Treasurer, and when all requirements of  
375 this Constitution have been complied with, the transfer card shall be cleared and recorded at the  
376 General Office.

377 **SEC. 9(n).** The transfer card of any good standing member when properly issued and  
378 presented for deposit with proper identification shall be accepted by any local union in accordance  
379 with this Constitution, except in localities where strikes, lockouts, disputes or controversies  
380 regarding wages or working conditions exist, or where negotiations for wages or working  
381 conditions are pending or unsettled, provided, however, that this exception shall apply only to  
382 strikes involving an entire segment of the industry and shall not apply in those cases where  
383 negotiations have resulted in an agreement under which members continue in or return to  
384 employment.

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385           **SEC. 9(o).** No member shall be recorded at the General Office as transferred from one  
386 local union to another until all requirements of this Constitution have been complied with and the  
387 record of transfer properly certified and acknowledged by the General Secretary-Treasurer.

388           **SEC. 9(p).** No transfer cards issued or accepted by local unions contrary to this  
389 Constitution shall be recognized or cleared by the General Secretary-Treasurer but shall be  
390 declared void. Members involved shall assume full responsibility and liability for any loss of  
391 standing resulting from such transactions.

392           **SEC. 9(q).** In all cases where members procure and attempt to deposit transfer cards in  
393 violation of the provisions of this Constitution, said transfer cards shall be void, and the members  
394 attempting to deposit such transfer cards for the purpose of evading the provisions of this  
395 Constitution shall be subject to fine, suspension or expulsion by the local union issuing the transfer  
396 cards.

397           **SEC. 9(r).** Any member who accepts employment in the jurisdiction of another local union  
398 and fails to comply with the provisions of this Article relating to the obtaining and deposit of  
399 transfer cards shall be subject to charges and trial and, except as provided in Section 9(q) of this  
400 Article, shall be tried in the local union in whose jurisdiction the offense was committed. Any  
401 penalty properly imposed by such local union shall be recognized and complied with by any such  
402 member found guilty of such charges, subject to appeal as provided in Article Nineteen (19) of  
403 this Constitution.

404           **SEC. 9(s).** Notwithstanding any other provisions of this Article, a good standing member  
405 shall obtain a transfer card in order to work within the jurisdiction of another local union in the  
406 event he or she changes his permanent residence to a location within the territorial jurisdiction of  
407 the local union in which he or she intends to perform work.

408                           **SECTION 10 - PORTABILITY OF LABOR/TRAVELERS**

409           **SEC. 10(a).** Members sent by their employers from the jurisdiction of one local union into  
410 the jurisdiction of another local union shall report to the office or to the financial secretary-  
411 treasurer, business manager, business representative, president or recording secretary of the local  
412 union in whose jurisdiction they are located. Such report shall be made before starting to work and  
413 may be made in person, by telephone, by facsimile, by regular mail or by email. Said members  
414 shall receive from their employers at least the established wage scale of the local union of which  
415 they are members and in no case less than the established wage scale of the local union in whose  
416 jurisdiction they are employed, plus all necessary expenses while employed in the jurisdiction of  
417 another local union, and shall otherwise comply with the established working rules and conditions  
418 of the local union in whose jurisdiction they are located. Said members shall not be required to  
419 transfer their membership to the local union in whose jurisdiction they are temporarily employed.

420 In the event qualified members are available in the jurisdiction of the local union in which the  
421 work is to be performed, not more than two (2) members per job shall, at the request of the  
422 employer, leave the jurisdiction of the local union where the shop of the employer is located and  
423 enter the jurisdiction of the local union in which the work is to be performed for the purpose of

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424 performing such work.

425 **SEC. 10(b).** Except as otherwise provided in Section 10(d) of this Article, where the shop  
426 of an employer is located in the jurisdiction of one local union and such employer has a contract  
427 for work within the jurisdiction of another local union, he may employ members of the local union  
428 having jurisdiction over the territory where the work is to be performed, and such members shall  
429 retain their membership in the local union having jurisdiction over the territory where the work is  
430 to be performed. Should the employment of such members be continued by such employer and in  
431 the course of such employment it becomes necessary for them to work within the jurisdiction of  
432 other local unions, they may retain their membership in the same local union in which they were  
433 members when the period of continuous employment began or secure transfer cards and deposit  
434 same with the local union having jurisdiction over the territory in which the shop of the employer  
435 is located and comply with the requirements of Section 10(a) of this Article.

436 **SEC. 10(c).** Any member who accepts employment in the jurisdiction of another local  
437 union and fails to comply with the provisions of Section 10(a) of this Article shall be subject to  
438 charges and trial and shall be tried in the local union in whose jurisdiction the offense was  
439 committed. Any penalty properly imposed by such local union shall be recognized and complied  
440 with by any such member found guilty of such charges, subject to appeal as provided in Article  
441 Nineteen (19) of this Constitution.

442 **SEC. 10(d).** Members accepting employment outside of the jurisdiction of the local union  
443 of which they are members, without having been issued a transfer card, shall report immediately  
444 to the financial secretary-treasurer, business manager, business representative, president or  
445 recording secretary of the local union in whose jurisdiction they intend to perform work and  
446 present their official dues receipts before accepting employment. That local union in turn shall  
447 notify any employee benefit funds to which contributions are required to be made under the local  
448 union's collective bargaining agreement, so that any such fund who is party to the International  
449 Association of Sheet Metal, Air, Rail and Transportation Workers (formerly the Sheet Metal  
450 Workers' International Association) Master Reciprocal Agreement will know that any  
451 contributions made on behalf of the traveling member may be intended for, and may need to be  
452 forwarded to, the fund(s) of the member's home local union. Each local union shall insert language  
453 consistent with this requirement into its collective bargaining agreement(s). Such members shall  
454 abide by all working rules, regulations, by-laws and collective bargaining agreements in effect in  
455 the jurisdiction in which they are working. To help defray the administrative and collective  
456 bargaining expenses of the local union, and to promote work opportunities within the local union,  
457 travelers shall pay to said local union all working assessments in effect in said local union or the  
458 sum of Twenty-Five Dollars (\$25.00) per week, whichever is imposed by the local union.

459 **SECTION 11—SUSPENSION OF DELINQUENT MEMBERS**

460 **SEC. 11.** Any member who becomes two (2) months in arrears shall be recorded suspended  
461 by the local financial secretary-treasurer and by the General Secretary-Treasurer. Under no  
462 circumstances shall any extension of time be granted. Notice is not necessary. No back dues shall  
463 be accepted from any member suspended in accordance with this Section and no official receipt  
464 shall be issued to record such dues after the expiration of the two (2) month limit or predated to

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465 avoid suspension.

466 A member suspended in accordance with this Section shall forfeit all rights, privileges, and  
467 benefits of membership. He or she shall not be permitted to participate in any meetings or in any  
468 affairs of this Association or of any local union or council affiliated therewith during the period of  
469 suspension nor shall any local union or this Association accept or record any dues or per capita  
470 dues from such member either during or after such suspension to be applied to the period of  
471 suspension.

472 **SECTION 12—REINSTATEMENT**

473 **SEC. 12(a). Reinstatement of Suspended Members.** Any member or any apprentice-  
474 member who is suspended in accordance with Section 11 of this Article, who is otherwise eligible  
475 to membership, shall, within two (2) months from the date of such suspension, be reinstated upon  
476 the payment of a local reinstatement fee of not less than Fifty Dollars (\$50.00), plus dues in  
477 advance beginning with the month of reinstatement, provided such reinstatement fee and dues are  
478 actually paid and properly recorded on official receipts within two (2) months from the date of  
479 suspension. Such reinstatement shall not restore to such member any membership rights  
480 established prior to suspension.

481 Reinstatement of suspended members shall not be recorded at the General Office until  
482 either electronic or white duplicate (copies) of official receipts recording and verifying the actual  
483 payment of a local reinstatement fee of not less than Fifty Dollars (\$50.00), plus dues in advance  
484 beginning with the month in which reinstatement is properly recorded are filed with the General  
485 Secretary-Treasurer, accompanied by forty-five percent (45%) of the local reinstatement fee, as  
486 described in Section 2(b) of Article Ten (10) and International per capita dues beginning with the  
487 month in which reinstatement is properly recorded, and have been accepted and acknowledged by  
488 the General Secretary-Treasurer.

489 Any member who is suspended in accordance with Section 11 of this Article, who is  
490 otherwise eligible to membership, who does not comply with the requirements of reinstatement  
491 within two (2) months from date of suspension forfeits his or her right to reinstatement and should  
492 he or she desire to again become a member he or she shall reinitiate in accordance with Section 2  
493 of this Article although the standard form of application does not need to be completed again.

494 **SEC. 12(b). Reinstatement of Members Suspended for Cause or Expelled.** Any  
495 member suspended for cause or expelled after trial and appeal, or opportunity for trial or appeal as  
496 provided in Articles Eighteen (18) and Nineteen (19) may be reinstated to membership only by (1)  
497 reinitiating and following the procedure prescribed in Section 2 of this Article, although the  
498 standard form of application does not need to be completed again, (2) paying in full all financial  
499 obligations due this Association, and any local union or council thereof, at the time of his or her  
500 expulsion or suspension, provided, however, that the payment of such financial obligations due  
501 any local union shall be waived if they have not been reported to the General Secretary-Treasurer  
502 as provided in Section 4 of Article Thirteen (13), and (3) obtaining the written consent of the local  
503 union from which he or she was suspended or expelled (provided such local union has complied  
504 with the provisions of Section 4 of Article Thirteen (13) of this Constitution regarding notice to

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505 the General Secretary-Treasurer of obligations due from suspended or expelled members),  
506 provided, in addition, however, that if such expulsion or suspension was occasioned by any act of  
507 fraud, deception, embezzlement, misappropriation, or appropriating to his or her own use any  
508 monies, properties or things of value belonging to this Association or any local union or council  
509 thereof, such former member shall be eligible for reinitiation only with the consent of the General  
510 President and the local union and council of which he or she was formerly a member and only on  
511 condition that he or she has complied with all requirements of this Constitution, including the  
512 payment in full of all money due and the surrender of all property and things of value belonging  
513 to this Association and any local union or council thereof, and upon the further condition that he  
514 or she shall not be eligible for or be permitted thereafter to hold any office or position in this  
515 Association or local union or council thereof.

516 Notwithstanding any other provisions of this Section, the General President, the General  
517 Executive Council or the General Convention may permit, order, or deny reinstatement of a  
518 suspended or expelled member if such action is deemed to be in the best interests of a local union  
519 or this Association.

### 520 SECTION 13—MAINTENANCE OF GOOD STANDING

521 **SEC. 13(a).** Responsibility for establishing and maintaining good standing in accordance  
522 with this Constitution rests entirely with each individual member. The actual payment of dues, per  
523 capita dues, initiation fees, reinitiation fees, reinstatement fees and other obligations by each  
524 member, when properly acknowledged and recorded on official receipts in either electronic or in  
525 triplicate form, with white duplicate (copies) of official receipts filed at the General Office, for  
526 which dues and fees due this Association have been paid in accordance with this Constitution, is  
527 the only recognized and acceptable evidence of the standing of any member unless said obligations  
528 have been withheld from the employee's wages by his or her employer pursuant to a valid check-  
529 off authorization.

530 **SEC. 13(b).** Each applicant for membership and each member, when making payments on  
531 initiation fees, dues, assessments, reinstatement fees, reinitiation fees or other obligations affecting  
532 their standing, shall demand and receive the original copy of official receipt issued in either  
533 electronic or in triplicate form and shall accept no other form of receipt, nor shall any local union  
534 or officer or representative thereof issue any other form of receipt.

535 **SEC. 13(c).** The record of continuous good standing shall be recorded broken without  
536 notice in each instance when either electronic or duplicate (copies) of official receipts establish the  
537 fact that dues and other obligations, including International per capita dues and fees, were not paid  
538 within the two (2) month limit. The record of continuous good standing shall likewise be forfeited  
539 in each instance where the member has been recorded suspended or expelled or has been issued a  
540 withdrawal card, and the record of continuous good standing thus broken cannot be reinstated or  
541 restored.

542 **SEC. 13(d).** The record of continuous good standing of reinstated or reinitiated members  
543 begins with date of reinstatement or reinitiation properly accepted, recorded and acknowledged by  
544 the General Secretary-Treasurer, and the record of continuous good standing of reinstated or

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545 reinitiated members shall be recorded broken in the same manner and under the same conditions  
546 specified in Section 13(c) of this Article, and the record of continuous good standing thus broken  
547 cannot be reinstated or restored.

548 **SECTION 14—RESIGNATION**

549 **SEC. 14.** Any member may resign from membership. Resignations shall be effective upon  
550 receipt of written notification by mail or hand delivery to any full-time officer or business  
551 representative at his or her local union. In Canada, no resignation shall be accepted if offered in  
552 anticipation of charges being preferred against him, during the pendency of any such charges or  
553 during a strike or lockout.

554 The General Secretary-Treasurer shall have the authority to issue a certificate of honorable  
555 resignation to individuals who have established a record of membership in good standing for a  
556 period of twenty-five (25) years or more in SMART, and whose names have been submitted by  
557 the local union for this certificate.

558 **SECTION 15—HONORARY MEMBER**

559 **SEC. 15.** From time to time the International may wish to honor an individual who has a  
560 sustained record of supporting the labor movement and exemplifies dedicated public service by  
561 granting him or her an honorary membership of this Association. Any local union may submit a  
562 request to the International for an individual to be granted an honorary membership. Honorary  
563 memberships shall be granted by the General President at conferences, conventions or other  
564 significant functions of this Association or functions at which the General President or his designee  
565 is attending and participating. An honorary member is welcome to attend Association events as a  
566 guest, but the membership itself provides none of the usual rights of union membership and does  
567 not require the payment of dues.

**ARTICLE SEVENTEEN (17)**

**Misconduct and Penalties**

1 **SECTION 1—OFFICERS, MEMBERS AND REPRESENTATIVES**

2 **SEC. 1(a).** Except as otherwise provided in this Constitution, after trial and conviction of  
3 any of the offenses described in this Article, any officer or member of this Association may be  
4 disciplined by imposition of one or more of the following penalties: reprimand, fine, suspension  
5 or removal from office, suspension or expulsion from membership, or other appropriate  
6 disciplinary measures.

7 **SEC. 1(b).** Refusal or failure to perform any duty or obligation imposed by this  
8 Constitution, the policies of this Association, the valid decision of any Officer or Officers thereof  
9 or the valid decisions of the General Executive Council or Convention or the valid rules and  
10 regulations of any local union or council.

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11           **SEC. 1(c).** Engaging in conduct at union or council meetings, or at other locations, that  
12 tends to or does interfere with, diminish, or destroy the ability of an officer, business manager,  
13 business representative, or member to perform legal, contractual or constitutional obligations on  
14 behalf of a local union or council or to discharge the duties of the office to which such individual  
15 was elected or appointed.

16           **SEC. 1(d).** Filing frivolous charges against any officer or member of this Association or  
17 of any local union or council thereof or failing, refusing or neglecting to appear as prosecuting  
18 witness after filing charges, or to present all facts and evidence to support any charges so filed  
19 against such officer or officers or member or members.

20           **SEC. 1(e).** Violating the established union collective bargaining agreements and rules and  
21 regulations of any local union relating to rates of pay, rules and working conditions.

22           **SEC. 1(f).** Attempting, inaugurating or encouraging secession from this Association or any  
23 local union or council thereof or advocating, encouraging or participating in any rival unionism or  
24 division within SMART.

25           **SEC. 1(g).** Accepting employment in any shop or on any job where a strike or lockout, as  
26 recognized under this Constitution, exists, or performing any work covered by the claimed  
27 jurisdiction of this Association for any employer or becoming an employer that is not signatory to  
28 or bound by a collective bargaining agreement with an affiliated local union of this International  
29 Association, unless authorized by the local union.

30           **SEC. 1(h).** Agreeing to perform or performing any work covered by the claimed  
31 jurisdiction of this Association on a piecework basis, unless permitted in the relevant collective  
32 bargaining agreement approved by this Association, a lump sum basis, or any other basis except  
33 that provided and specified by this Constitution and by the established and recognized union  
34 agreements, rules and regulations of affiliate local unions and councils governing the employment  
35 of members.

36           **SEC. 1(i).** Committing any act of fraud, embezzlement, misappropriation, or appropriating  
37 to his or her own use any money, property, or thing of value belonging to this Association or any  
38 local union or council thereof, or to any fund or committee administered or trusted in whole or in  
39 part by a local union, or refusing, failing or neglecting to deliver at specified periods or on demand,  
40 in accordance with this Constitution a full and accurate accounting, all monies, properties, books  
41 and records for examination and audit.

42           **SEC. 1(j).** Acquiring or maintaining membership by false pretense, misrepresentation or  
43 fraud including, but not limited to, fraudulent use of membership cards or dues receipts or being a  
44 party to misrepresentation as to the identity or membership status of the bearer of such card or  
45 receipt.

46           **SEC. 1(k).** Failure or refusal to abide by the rules of order and parliamentary procedure in  
47 the meetings of a local union or council or creating any disturbance therein, or recording by any  
48 means the proceedings of any official meetings of the local union.

ARTICLE SEVENTEEN (17) – ARTICLE EIGHTEEN (18)

49 **SEC. 1(l).** Committing or attempting or threatening to commit any physical assault upon  
50 any officer or member of this Association, or of any subordinate unit thereof, while in the  
51 performance of his or her duties.

52 **SEC. 1(m).** Engaging in any conduct which is detrimental to the best interests of this  
53 Association or any subordinate unit thereof or which will bring said unions into disrepute.

54 **SECTION 2—LOCAL UNIONS AND COUNCILS**

55 **SEC. 2.** Any local union or council which authorizes, approves or condones any of the acts  
56 described in Section 1 of this Article or fails or refuses to abide by the provisions of this  
57 Constitution, the policies of this Association, the valid decisions of any General Officer or Officers  
58 or the valid decisions of the General Executive Council or Convention or its own valid rules and  
59 regulations shall be subject to suspension or revocation of its charter.

60 **SECTION 3—FINES**

61 **SEC. 3.** All fines levied in accordance with the provisions of this Constitution shall be paid  
62 within the time limit specified and, in Canada no dues shall be accepted from any member who  
63 refuses, fails or neglects to pay such fines, except that if the fine exceeds Fifty Dollars (\$50.00),  
64 payment of the sum of Fifty Dollars (\$50.00) shall be paid as a condition of any proper appeal.  
65 Such payment shall stay the effective date of the payment of the total fine only until the date the  
66 appeal has been decided by the General Executive Council. Following the decision of the General  
67 Executive Council, the fine, if upheld or as modified by the General Executive Council, shall be  
68 promptly paid in whole or in part as specified by such decision, unless payment is expressly waived  
69 by the General Executive Council.

70 All fines initially shall be paid to the local union in which such member holds membership  
71 and if the fine was levied by another local union, notice of such payment shall be sent to such local  
72 union by registered, certified or overnight mail. If imposition of the fine is not appealed, or upon  
73 final disposition of the case, the fine is upheld, the amount to be paid shall be remitted to the  
74 financial secretary-treasurer of the local union which imposed the fine.

75 When fines are not paid in accordance with the provisions of this Section, collection thereof  
76 in the United States shall be effected by the financial secretary-treasurer of the local union which  
77 imposed the fine by suit in any court of competent jurisdiction and the member shall be liable for  
78 the costs of such suit including payment of reasonable attorney fees.

**ARTICLE EIGHTEEN (18)**

**Charges and Trials**

1 **SECTION 1—OFFICERS, REPRESENTATIVES OR MEMBERS OF**  
**LOCAL UNIONS OR COUNCILS**

2 **SEC. 1(a).** Charges against officers, representatives or members of any local union or



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3 council may be preferred in the manner provided in this Article by any member or members,  
4 local union or council or any officer or representative thereof or by any General Officer or  
5 International Representative of this Association.

6 Notwithstanding any language to the contrary in Article Sixteen (16), a suspended member  
7 and, also, a former member who has been expelled, or has resigned in accordance with Section 14  
8 of Article Sixteen (16), shall be permitted to appear before a local union trial committee or an  
9 International Trial Board to defend against charges preferred against him or her and to exercise to  
10 the extent practicable such rights as are conferred on an accused party pursuant to Sections 2 and  
11 3 of this Article including the right to select as his or her counsel in the trial proceedings any good  
12 standing member of his or her or any other local union, and to appeal from any adverse trial  
13 committee or Trial Board decision in accordance with the provisions of Article Nineteen (19)  
14 hereof. Moreover, if such member desires to challenge the selection of the local union trial  
15 committee, he or she shall be permitted to attend only that portion of the union meeting at which  
16 the trial committee is selected.

17 **SEC. 1(b).** All charges provided for in this Section shall be in writing and filed by the  
18 charging party not later than ninety (90) days after his or her knowledge of the alleged offense,  
19 except that when the alleged offense occurs during the pendency of a strike supported or  
20 participated in by the local union affected, the charges shall be filed within ninety (90) days from  
21 the conclusion of such strike, signed by the party preferring them and shall contain a specific  
22 statement of the facts out of which the charges arose and the duty or obligation including the  
23 sections of this Constitution alleged to have been violated.

24 **SEC. 1(c).** The officer, representative or member preferring such charges, shall send a copy  
25 of the same by registered, certified or overnight mail to the accused, to the local union of which  
26 the accused is a member, and, if the misconduct occurred in the jurisdiction of another local union,  
27 to the local union in which a trial on the charges may properly be held.

28 **SEC. 1(d).** The General President may in his or her discretion order the accused tried by a  
29 trial board appointed by him. Such trial boards shall be composed of two (2) or more good standing  
30 members, International Representatives or General Officers who are not directly or indirectly  
31 involved in the matters which give rise to the charges upon which the accused is to be tried and  
32 who are not members of the local union of which the accused is a member or of the local union in  
33 whose jurisdiction the offense was alleged to have been committed.

34 Unless otherwise ordered by the General President, trials shall be held in local unions in  
35 the manner prescribed in Section 2 of this Article.

### 36 SECTION 2—TRIAL IN LOCAL UNIONS

37 **SEC. 2(a).** Unless otherwise provided in this Constitution, trials in local unions shall be  
38 conducted in the local union in whose jurisdiction the alleged offense was committed by the local  
39 union Executive Board or a trial committee consisting of three (3) or more members of such local  
40 union selected in accordance with the provisions of Section 2(b) of this Article.

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41           **SEC. 2(b).** Whether the trial is to be conducted by the local union's Executive Board, or  
42 by a trial committee elected by the local union, the determination shall be made at the first regular  
43 meeting held not less than fifteen (15) days after a copy of the charges has been mailed to the  
44 accused by registered, certified or overnight mail as provided in this Article or at a special meeting  
45 held after fifteen (15) days notice to the membership including the accused. No member of a local  
46 union shall be eligible to serve on a trial committee, whether it consists of a local union Executive  
47 Board or is otherwise elected, if he or she is directly or indirectly involved in the matters which  
48 gave rise to the charges upon which the accused is to be tried.

49           **SEC. 2(c).** The accused shall have the right to challenge two (2) members of the original  
50 trial committee immediately after the election of the entire committee, provided he or she is present  
51 at the meeting at which the trial committee is elected, and they shall be declared removed by the  
52 presiding officer. The local union shall thereupon, and at the same meeting, elect a member or  
53 members to fill any vacancies in the trial committee created by the exercise, by the accused, of the  
54 challenges provided for in this paragraph. The election to fill such vacancies shall be held in the  
55 same manner as the election of the original trial committee.

56           If the trial is to be conducted by the local union Executive Board, the accused, if present at  
57 the meeting at which the Executive Board is directed to conduct the trial, shall have the right to  
58 challenge two (2) members of such board at such meeting and such challenged members will not  
59 be permitted to participate in such trial. No other member will be elected to take the place of  
60 Executive Board members thus challenged. In the event a majority of the local union Executive  
61 Board is unable because of challenges, or is otherwise ineligible to participate in such trial, the  
62 local union shall elect a trial committee in the manner prescribed in this Section.

63           **SEC. 2(d).** The trial committee shall elect a presiding officer and secretary and fix the time  
64 and place of trial. The presiding officer shall notify the accused and those who preferred the  
65 charges, by registered, certified or overnight mail, of the time and place of trial and such trial shall  
66 be promptly held but shall not be held less than fifteen (15) days after the mailing of such notice.

67           **SEC. 2(e).** A trial may be conducted by a majority of the trial committee, provided the  
68 same members of such committee hear all of the evidence presented at such trial. No member of  
69 the trial committee who absents himself from any session of a trial may participate in the findings,  
70 decision or recommendations of the trial committee or file any concurring or dissenting opinion.

71           All parties shall be given full opportunity to present all relevant evidence and exhibits  
72 which they deem necessary to the proper presentation of their case and shall be entitled to cross-  
73 examine witnesses of the other party or parties. Each party shall have the privilege of selecting any  
74 good standing member of his or her or any other local union to act as his or her counsel in the trial  
75 proceedings, except a member of the trial committee or a member of the Executive Board when it  
76 is acting as the trial committee.

77           A verbatim transcript of the trial proceedings prepared by a qualified court reporter may  
78 be ordered at the local union's discretion and expense or it may be ordered as a matter of right by  
79 the charging party or the accused at his or her or their expense after prior notification to the local  
80 union in which event copies thereof must be furnished to the secretary of the trial committee and

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81 the opposing party; but the proceeding shall not be recorded by tape, wire, electronic or other  
82 similar device by any person other than the qualified court reporter.

83 In the event no stenographic transcript is made, the secretary of the trial committee shall  
84 reduce the minutes of the trial to writing and include therein the substance of the testimony and all  
85 exhibits submitted at the trial. Unless previously furnished he or she shall send to each party by  
86 registered, certified or overnight mail a copy of the transcript or minutes, as the case may be, and  
87 each party within ten (10) days after receiving same shall submit to the secretary of the trial  
88 committee, in writing, any objections thereto. The record thus made shall constitute the record of  
89 the trial for the purpose of appeal and in the event any party fails to file objections thereto within  
90 the time limit prescribed herein, the transcript or minutes furnished by the secretary of the trial  
91 committee, for purposes of appeal, shall be deemed to be a correct record of the trial procedure  
92 and of the evidence presented.

93 All matters relating to the procedure of the trial, not otherwise specified in this Section,  
94 shall be determined by the trial committee and all parties and their respective counsel shall comply  
95 with all orders and directions of the trial committee with respect to such matters.

96 **SEC. 2(f).** At the next regular meeting of the local union, following the conclusion of the  
97 trial, the trial committee shall submit to the local union in writing, its findings of the facts, decision  
98 and recommendations. The members of the local union there assembled shall vote, without debate,  
99 solely on the question of whether to accept or reject the decision and recommendations of the trial  
100 committee and a majority vote shall be final, subject only to appeal.

101 No further trial shall be had on the same charges unless directed by a decision on appeal.

102 **SEC. 2(g).** The accused and those who preferred the charges and the local union of which  
103 the accused is a member shall be notified by registered, certified or overnight mail of the action of  
104 the trial committee and of the local union in connection therewith. Such notice shall advise the  
105 parties of their right to appeal to the General President.

106 **SEC. 2(h).** Except as provided in Section 2(i) of this Article, should the accused fail, refuse  
107 or neglect to appear for trial after due notice or, after appearing, refuse to comply with orders or  
108 directions of the trial committee relating to the conduct of his or her trial or otherwise attempt to  
109 obstruct or thwart the trial committee in its conduct of his or her trial, the trial committee shall  
110 proceed with his or her trial in his or her absence, hear such evidence as may be presented by  
111 witnesses who respond to notice, and render its findings, decision, and recommendations. Nothing  
112 contained in this paragraph shall deprive the accused of the privilege of selecting a good standing  
113 member of his or her or any other local union to act as his or her counsel during proceedings unless  
114 such counsel should refuse to comply with orders or directions of the trial committee relating to  
115 the trial or should otherwise attempt to obstruct or thwart the trial committee in its conduct of the  
116 trial. Should those who preferred the charges fail to appear after due notice, the accused shall be  
117 found not guilty.

118 **SEC. 2(i).** In the event the accused is employed at a point one hundred (100) or more miles  
119 distant from the point at which such trial is to be held, such party may submit his or her evidence

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120 in written form and shall not be required to attend the trial in person.

121 **SEC. 2(j).** Either party shall be granted a postponement of a trial for a reasonable time by  
122 the chairman of the trial committee if valid reasons are presented, or the trial committee may  
123 postpone the trial, either on request or on its own motion, for no more than thirty (30) days.

124 **SEC. 2(k).** Either party to the proceedings, provided for in this Section, may appeal from  
125 the findings, decision, or recommendations of a trial committee or the action of any local union in  
126 connection therewith in the manner prescribed in Article Nineteen (19).

127 **SECTION 3—TRIAL BY INTERNATIONAL TRIAL BOARD**

128 **SEC. 3(a).** Should the General President order an accused member, officer, or representative of  
129 any local union or council to be tried by International Trial Board as provided in Section 1(d) of  
130 this Article, he shall, in the event the charges were filed with a local union, notify such local union,  
131 the accused and those preferring the charges in writing, by registered, certified or overnight mail,  
132 of the time and place of such trial. Should the General President order the trial held at a place other  
133 than within the jurisdiction of the local union in which the alleged offense occurred or of which  
134 the accused is a member, the parties and their counsel shall be reimbursed for travel expenses and  
135 earnings necessarily lost as a result of their attendance at the trial. Expenses and loss of earnings  
136 may be allowed to witnesses in the discretion of the General President, but in the event such  
137 allowance to witnesses is not made, the Trial Board shall admit in evidence all relevant testimony  
138 of witnesses which either party submitted in affidavit form.

139 If charges were initially filed with the General President as provided in Section 4 of this  
140 Article, he shall notify the accused and those preferring the charges, in writing, by registered or  
141 certified mail, of the time and place of such trial.

142 **SEC. 3(b).** Except as provided in Section 3(a), all parties shall be given the opportunity to  
143 present evidence and exhibits, to cross-examine witnesses and each other, and to be represented  
144 by any good standing member as counsel.

145 **SEC. 3(c).** The provisions of Sections 2(e), 2(h), 2(i), and 2(j) of this Article shall be  
146 applicable to the extent practicable in trials before an International Trial Board.

147 **SEC. 3(d).** The decision of the International Trial Board shall be final except for the right  
148 of appeal to the General Executive Council as provided in Article Nineteen (19) of this  
149 Constitution. Such decision shall be in writing and a copy thereof shall be furnished to the General  
150 President, the parties, and, if the charges were initially filed in a local union, to the local union.

151 **SEC. 3(e).** Should a member of the General Executive Council be a member of an  
152 International Trial Board he or she shall not participate in the decision of any appeal which may  
153 be taken from a decision of such International Trial Board.

ARTICLE EIGHTEEN (18) – ARTICLE NINETEEN (19)

154

**SECTION 4**

155           **SEC. 4.** Charges preferred against any member, officer or representative, which do not  
156 come within the trial jurisdiction of any local union, as provided in this Constitution, shall be filed  
157 with the General President in the form and in the manner prescribed in Section 1(b) of this Article.  
158 The General President shall order the accused tried in accordance with the provisions of Section 3  
159 of this Article, except that the accused may be represented by any good standing member, as  
160 counsel, other than a General Officer or a member of the General Executive Council.

**ARTICLE NINETEEN (19)**

**Appeals**

1

**SECTION 1—WHO MAY APPEAL**

2           **SEC. 1.** Any local union, council, committee, board, officer, representative of this  
3 Association or any officer, representative, or member of any local union, council, committee or  
4 board thereof whose constitutional rights are violated by any decision or order of a local union,  
5 council, committee, board or a legally constituted tribunal thereof or by any decision or order of  
6 any General Officer or Officers, Representative, or the General Executive Council of this  
7 Association shall have the right to appeal as provided in this Article.

8

**SECTION 2—APPEALS TO THE GENERAL PRESIDENT**

9           **SEC. 2(a).** Except as otherwise provided in this Constitution all original appeals shall be  
10 referred to the General President for consideration and decision. The General President, however,  
11 may, in his discretion, decline to rule and refer any appeal directly to the General Executive  
12 Council.

13           **SEC. 2(b).** All appeals to the General President shall be

- 14           • In written form and
- 15           • Addressed to and received by the General Secretary-Treasurer
- 16           • With copies mailed to the opposing party or parties, including a charging party where
- 17           applicable,
- 18           • Within thirty (30) days from the date of the action from which appeal is taken,
- 19           • Unless notice thereof is required by this Constitution, in which event, the appeal must be
- 20           received by the General Secretary-Treasurer within thirty (30) days of the date of the
- 21           mailing of such notice.

22           If the appeal is from a decision of a trial committee, the “notice” referred to herein shall date from

- 23           • The mailing to the parties of a copy of the transcript or the minutes of the trial or
- 24           • Action of the local union on the decision, whichever is later.

## ARTICLE NINETEEN (19)

25 If a fine in excess of Fifty Dollars (\$50.00) has been imposed, the member appealing must provide  
26 proof that the sum of Fifty Dollars (\$50.00), as required in Article Seventeen (17), Section 3, has  
27 been paid to the member's local union within the thirty (30) day period in order for the appeal to  
28 be considered timely.

29 The appeal shall be filed by the party or parties seeking the same,

- 30 • Signed by him, her or them and
- 31 • Shall be accompanied by all written evidence in affidavit form and
- 32 • Such exhibits and arguments as are deemed necessary by the appealing party or parties for  
33 the proper and complete consideration of his or her or their appeal.

34 Appeals from any decision or order directed to a local union or council shall be made by  
35 the involved local union or council and not by an individual member or members thereof and shall  
36 require authorization by the local union or council and be signed by the president thereof.

37 Parties other than the appellant, including local unions, shall have the right to file an answer  
38 to such appeal with the General President which must be received within thirty (30) days after the  
39 receipt thereof, which answer shall contain such written rebuttal evidence, exhibits and argument  
40 as they deem necessary for the proper and complete consideration of the appeal. Should the party  
41 other than the appellant fail to file an answer to an appeal within the above time limit, the General  
42 President shall consider the record to be closed, render his decision on the record thus made, and  
43 mail a copy to the parties to the appeal. His decision shall be final and binding unless changed on  
44 further appeal as provided in this Article.

45 Should the appeal be predicated upon any action of a local union or council, copies thereof  
46 shall be furnished to such local union or council which shall be entitled to file a response thereto  
47 in the same manner as an appellee.

48 All appeals and answers or responses thereto and all decisions on appeal shall be mailed  
49 by registered or certified mail, return receipt requested, overnight mail or by a courier delivery  
50 service that provides a return receipt.

### 51 SECTION 3—APPEALS TO THE GENERAL EXECUTIVE COUNCIL

52 **SEC. 3(a).** All appeals from decisions or orders of the General President and all original  
53 appeals submitted to the General Executive Council shall be in writing and addressed to and  
54 received by the General Secretary-Treasurer, and a copy mailed to opposing parties, within sixty  
55 (60) days from the date of the decision or action from which appeal is taken, unless notice hereof  
56 is required by this Constitution, in which event, the appeal must be received within sixty (60) days  
57 from the date of mailing of such notice. The appeal shall be signed by the party or parties seeking  
58 appeal and shall be accompanied by all additional testimony in affidavit form and such exhibits  
59 and argument as are deemed necessary by the appealing party or parties for the proper and  
60 complete consideration of his or her or their appeal. Parties other than the appellant shall have the  
61 right to file an answer to such appeal which must be received by the General Secretary-Treasurer  
62 within sixty (60) days after receipt thereof, which answer shall contain such written rebuttal

## ARTICLE NINETEEN (19)

63 evidence in affidavit form, exhibits and argument as they deem necessary for the proper and  
64 complete consideration of the appeal.

65 All appeals and answers thereto and all decisions on appeal shall be mailed by registered  
66 or certified mail, return receipt requested, overnight mail or by a courier delivery service that  
67 provides a return receipt.

68 **SEC. 3(b).** The General Executive Council shall base its decision only upon the evidence  
69 and argument submitted in accordance with Section 2(b) and Section 3(a) of this Article, unless  
70 one of the parties requests the right to appear personally before the General Executive Council  
71 during the consideration thereof. Should such request be made, the General Secretary-Treasurer  
72 shall notify all other parties to the proceedings of their right to appear before the General Executive  
73 Council in connection with the appeal. Parties who appear before the General Executive Council  
74 in connection with any appeal shall be permitted only to present argument on the written record  
75 made and shall not be permitted to introduce additional evidence.

76 **SEC. 3(c).** The decision of the General Executive Council shall be by majority vote of  
77 those participating and shall be final unless changed upon appeal to the General Convention.

78 **SEC. 3(d).** The General Executive Council may, in its discretion, delegate to a  
79 subcommittee composed of no fewer than three (3) members authority to decide any appeal from  
80 a decision of the General President or an International Trial Board. In such event, the hearing on  
81 the appeal shall be held at a location reasonably convenient to the parties having an interest in the  
82 appeal. The decision of the subcommittee shall have the same effect, force and authority as a  
83 decision of the full General Executive Council.

### 84 **SECTION 4—APPEALS TO THE GENERAL CONVENTION**

85 **SEC. 4.** Unless otherwise provided in this Constitution, all appeals from decisions of the  
86 General Executive Council shall be referred to the Grievances and Appeals Committee of a  
87 General Convention which shall render its decision upon the written record made before the  
88 General Executive Council. Any party to an appeal to the Grievances and Appeals Committee shall  
89 be permitted to appear before said Committee for the purpose of argument, but shall not be  
90 permitted to introduce additional evidence. The Grievances and Appeals Committee shall report  
91 to the General Convention such recommendations with respect to the disposition of the appeal as  
92 it deems fair and proper, which report shall be acted upon by the Convention in the same manner  
93 as reports of other Convention committees, except that debate in connection therewith shall not be  
94 permitted. The Convention delegates shall vote, without debate, solely on the question of whether  
95 to accept or reject the decision and recommendations of the Grievances and Appeals Committee,  
96 and a majority vote of the delegates to the Convention shall be final.

97 All such appeals shall be received by the General Secretary-Treasurer within sixty (60)  
98 days from the date of the mailing of the decision by the General Executive Council. The General  
99 Secretary-Treasurer shall mail notice of such appeal to the other parties involved.

100 If the fine is in excess of Fifty Dollars (\$50.00), the member appealing shall submit proof

ARTICLE NINETEEN (19)

101 of payment of the balance of the fine within sixty (60) days after notification of the decision of the  
102 General Executive Council, unless such payment shall have been waived by the General Executive  
103 Council pursuant to Section 5(b) of this Article. Otherwise the appeal shall be deemed untimely  
104 and denied.

105 All appeals and answers thereto and all decisions on appeal shall be mailed by registered  
106 or certified mail, return receipt requested, overnight mail or by a courier delivery service that  
107 provides a return receipt.

108 **SECTION 5—COMPLIANCE PENDING APPEAL**

109 **SEC. 5(a).** Except as provided in Section 3 of Article Seventeen (17) and Section 5(b) of  
110 this Article, no appeal shall be recognized or considered unless the local union, council, officer,  
111 representative, or member thereof filing the appeal has accepted and complied with the decision  
112 or order from which such appeal is taken, including the payment of all financial obligations in  
113 connection therewith.

114 **SEC. 5(b).** A member who files an appeal to the General President or the General  
115 Executive Council and believes compliance with Section 5(a) of this Article or with Section 3 of  
116 Article Seventeen (17) would constitute a substantial bar to the exercise of the right to appeal may  
117 request a waiver in writing at the time the appeal is filed. In the event the General President or the  
118 General Executive Council concludes that compliance would constitute a substantial bar to the  
119 right of appeal, compliance therewith may be waived or modified by the General President with  
120 respect to appeals submitted to him and by the General Executive Council with respect to appeals  
121 submitted to it or to the General Convention, provided, however, that in the event the decision or  
122 order appealed from directs suspension or expulsion from membership compliance with such  
123 portion thereof pursuant to Section 5(a) of this Article shall be waived automatically pending  
124 disposition of any appeal to the General President or the General Executive Council but not  
125 thereafter unless expressly waived by the General Executive Council.

126 **SECTION 6 – DEFERRED APPEALS**

127 **SEC. 6.** The General President, General Executive Council and General Convention are  
128 hereby authorized to refuse or defer consideration, or to refuse, defer or withhold decisions in any  
129 matter pending in any court of law as circumstances in their opinion and judgment may warrant  
130 and justify.

131 **SECTION 7**

132 **SEC. 7.** Appeals not filed within the time limits prescribed in this Article shall be dismissed  
133 by the General Secretary-Treasurer and notice of such dismissal sent to the appellant by registered,  
134 certified or overnight mail. Unless the appellant submits facts which if established by proof would  
135 show the appeal to be timely, the decision of the General Secretary-Treasurer shall be final and  
136 not subject to appeal.



ARTICLE NINETEEN (19) - ARTICLE TWENTY (20)

137

**SECTION 8**

138           **SEC. 8.** The General President, General Executive Council and General Convention shall  
139 have the right to affirm, amend, modify, or reverse any decision which has been submitted to him  
140 or to them on appeal, and increase or decrease the penalty, if any, in connection therewith, or order  
141 a new trial before the same or a different trial committee.

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**SECTION 9—APPEALS TO COURTS**

143           **SEC. 9.** Subject to applicable laws, no subordinate body or officer or member thereof, shall  
144 appeal to the civil courts for redress of any alleged grievance or wrong, or to secure any alleged  
145 rights until all of the internal remedies provided in this Constitution, including the right of appeal,  
146 have been exhausted; provided, that this Section shall not apply to filing of charges with the  
147 National Labor Relations Board or with any other state, provincial or federal agency where  
148 application of this Section would violate applicable federal or provincial law. Any officer,  
149 member, or subordinate body violating the provisions of this Section shall be subject to charges  
150 and trial as provided by this Constitution.

**ARTICLE TWENTY (20)**  
**Official Communications**

1

**SECTION 1**

2           **SEC. 1.** The official print publication of SMART, as well as all electronic media and web  
3 communications, shall be issued on a regular or as needed basis.

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**SECTION 2**

5           **SEC. 2.** The International Association's official publication shall be under the supervision  
6 of the General Secretary-Treasurer and issued at a subscription rate established by the General  
7 Executive Council which, in the case of members, shall be included in the monthly per capita dues  
8 paid to the General Office. The General Secretary-Treasurer may enter such free subscriptions to  
9 the official publication for non-member individuals or for such institutions or groups as he may  
10 deem desirable for the promotion of the interests of this Association.

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**SECTION 3**

12           **SEC. 3.** The General Secretary-Treasurer is hereby authorized to employ such assistance  
13 and to purchase such facilities as may be necessary to produce a modern and effective publication.

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ARTICLE TWENTY-ONE A (21A)

**ARTICLE TWENTY-ONE A (21A)**

**Railroad, Mechanical and Engineering Department**

**ABOUT THIS ARTICLE**

Locals which are covered by Article Twenty-One B (21B) shall be exempt from the provisions of this Article Twenty-One A (21A). Locals which are covered by this Article Twenty-One A (21A) are exempt from the provisions of Article Twenty-One B (21B).

1 **SECTION 1—JURISDICTION**

2 **SEC. 1.** The Railroad, Mechanical and Engineering Department will have jurisdiction over  
3 General Committees and local unions and the members thereof as may be determined by the  
4 General President. The Director shall have jurisdiction over all assignments of General Chairmen  
5 and International staff working within the SMART Railroad, Mechanical and Engineering  
6 Department.

7 **SECTION 2—GOVERNMENT**

8 **SEC. 2.** A General Committee shall enact by-laws for the government thereof subject to  
9 the approval of the General President provided such by-laws do not conflict with the provisions of  
10 this Constitution. A General Committee or Local that is under the jurisdiction of the Railroad,  
11 Mechanical and Engineering Department, its officers, representatives and members shall be bound  
12 by the provisions of this International Constitution and by all the policies and decisions properly  
13 rendered by the General President and the General Executive Council.

14 **SECTION 3—GENERAL COMMITTEE CONVENTION**

15 **SEC. 3(a). Time and Place.** A General Committee Convention shall be held at such time  
16 and place as may be provided in its by-laws provided, however, the General Committee  
17 Convention shall be held not less often than every four (4) years.

18 **SEC. 3(b). Number and Qualifications of Delegates.** Each local union shall be entitled  
19 to one (1) delegate to its General Committee Convention for the first fifty (50) good standing  
20 members or less and one (1) additional delegate for each additional fifty (50) members or majority  
21 fraction thereof. The number of delegates shall be based upon the number of members in such  
22 local union over whom each General Committee has jurisdiction. No member shall be eligible for  
23 nomination or election as a delegate unless he or she meets the qualifications described in Section  
24 3 of Article Twelve (12) of this Constitution.

25 **SEC. 3(c). Election of Delegates.** Delegates shall be elected by each local union in  
26 accordance with the provisions of this Constitution, Article Seven (7), Sec. 3 (b).

ARTICLE TWENTY-ONE A (21A)

27           **SEC. 3(d). Voting Strength.** Each delegate shall be entitled to one (1) vote on all matters  
28 coming before the General Committee Convention. In the election of General Committee officers  
29 each local union present shall be entitled to one (1) vote.

30   **SECTION 4—OFFICERS**

31           **SEC. 4(a). Number, Title and Term of Office.** Officers of a General Committee shall  
32 be general chairman and financial secretary-treasurer who shall serve for a period of four (4) years.  
33 The offices of general chairman and financial secretary-treasurer may be combined.

34           **SEC. 4(b). Qualifications for Office.** No member shall be eligible for nomination or election as  
35 an officer of a General Committee unless he or she meets the qualifications prescribed in the  
36 second paragraph of Section 3 of Article Twelve (12) of this Constitution.

37           **SEC. 4(c). Election of Officers.** The officers of each General Committee shall be  
38 nominated and elected by the delegates at the General Committee Convention in accordance with  
39 Article Twelve (12), Sec. 4 of this Constitution. Elections shall be held by secret ballot.

40           **SEC. 4(d). Vacancies.** Temporary and permanent vacancies, in any office of the General  
41 Committee, shall be filled by appointment from the Director or election in accordance with the  
42 provisions of the by-laws, provided same are not inconsistent with Article Twelve (12), Sec. 8 of  
43 this Constitution.

44   **SECTION 5—DUTIES OF GENERAL CHAIRMEN**

45           **SEC. 5.** The Director of Railroad, Mechanical and Engineering Department shall appoint  
46 the members of the various committees. A General Chairman shall represent the Railroad,  
47 Mechanical and Engineering Department members under his or her jurisdiction thereof in matters  
48 pertaining to organizing, collective bargaining agreements, wages, hours, conditions of  
49 employment and jurisdictional matters and supervise the conduct and activities of members in  
50 connection therewith to the end that the provisions of this Constitution and the policies of this  
51 Association are complied with. They shall not participate in negotiations seeking modification or  
52 changes in existing collective bargaining agreements without prior consultation with the General  
53 President or a representative designated by him. They shall assist and cooperate with the officers  
54 of local unions and the members thereof in carrying out the provisions of this Constitution; use  
55 their best efforts to adjust and settle such controversies as may arise in connection with the  
56 complaints of members consistent with the rights of those involved in accordance with the  
57 provisions of this Constitution and the policies of this Association

58           A General Chairman shall protect the work jurisdiction set forth in Section 5(aa) of Article  
59 One (1) of this Constitution and shall not enter into any agreement with representatives of other  
60 organizations involving work jurisdiction until such contemplated agreement is submitted to and  
61 approved by the General President.

62           By virtue of his or her office and as a part of his or her duties, the Director of the Railroad,  
63 Mechanical and Engineering Department shall serve as a delegate to the General Convention. A

ARTICLE TWENTY-ONE A (21A)

64 General Chairman by virtue of his or her office shall be the automatic delegate from his or her  
65 home local union to the General Convention.

66 **SECTION 6—FINANCIAL SECRETARY-TREASURER STATEMENTS**

67 **SEC. 6.** Unless otherwise approved by the General President, the International Association  
68 shall receive all monies from all sources paid to the Railroad, Mechanical & Engineering  
69 Department. The International Association shall pay all bills when presented with a voucher  
70 authorizing same and signed by the General Chairman and approved by the Director. The  
71 International Association shall furnish a biannual statement to all affiliated financial secretary  
72 treasurers of the Railroad, Mechanical and Engineering Department.

73 The International Association shall discharge, on behalf of the Railroad, Mechanical and  
74 Engineering Department the execution and filing of any reports to federal or state authorities and  
75 maintain such records as the law requires for the period or periods of time for which they are  
76 required to be kept.

77 **SECTION 7—POSITIONS**

78 **SEC. 7.** The Director shall determine how many positions are needed, full time and part  
79 time, to represent the members of the Railroad, Mechanical & Engineering Department, with the  
80 approval of the General President.

81 **SECTION 8—FINANCE COMMITTEE**

82 **SEC. 8(a). Members.** The Railroad, Mechanical & Engineering Department Finance  
83 Committee shall consist of at least three (3) local officers chosen by the Director. The Director  
84 shall be the chairman.

85 **SEC. 8(b). Duties of Finance Committee.** It shall be the duty of the Railroad, Mechanical  
86 & Engineering Department Finance Committee to review all expenses of each General Committee  
87 at least once a year.

88 **SECTION 9—REVENUE AND FUNDS**

89 **Sec. 9(a). Per Capita Dues.** The General Committees shall establish and maintain  
90 monthly per capita dues sufficient to carry on the business of the General Committees on a sound  
91 financial basis having in mind its current and prospective needs.

92 When the General Committees' per capita dues are increased by action of the local  
93 chairmen/delegates under the jurisdiction of that General Committee, then prevailing rate of dues  
94 of each local union shall be automatically increased in like amount on the effective date of the per  
95 capita dues increase.

ARTICLE TWENTY-ONE A (21A)

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**SECTION 10—COLLECTIVE BARGAINING**

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**SEC. 10.** This Association, acting through its duly authorized officers, committees, or representatives, has full and sole authority to represent all employees who are members of this Association or any local union affiliated therewith in the negotiating, interpreting and applying of agreements covering wages, hours, and other conditions of employment.

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No agreement covering wages, hours and other conditions of employment shall become effective unless and until the same shall be ratified by the members affected thereby who shall be afforded opportunity to vote thereon at special membership meetings in each of the respective affected local unions.

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**SECTION 11—COMPLIANCE**

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**SEC. 11.** All railroad local unions shall comply strictly with all provisions and requirements of this Constitution with reference to the payment of dues and other obligations and the proper acknowledgement and recording of same on official receipts in triplicate form. Upon written request from a railroad local union, the General Secretary-Treasurer may by giving written notice to the railroad local union financial secretary-treasurer or president, assume responsibility for receiving dues and issuing dues receipts, creating and processing financial reports reflecting the receipt of dues, and remitting the local portion of the dues to the local; and he or she shall remit the local portion of the dues to the local minus the amount owed to the General Committee having jurisdiction.

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The General Committees shall not adopt any rules, regulations, or policies which in any way conflict with the provisions and intent of this Constitution; nor shall any additional rules or regulations, not included in this Constitution, be adopted until they are first submitted to the General Secretary-Treasurer for consideration and approval by the General Executive Council and official notice of approval received from the General Secretary-Treasurer.

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All of the provisions and requirements of this Constitution governing the administration and operation of local unions and the duties and obligations of officers, representatives, and members thereof shall apply to General Committees of the Railroad, Mechanical and Engineering Department and the officers, representatives, and members thereof insofar as they are adaptable and insofar as they are not inconsistent with the provisions of this Article.

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**SECTION 12—INITIATION FEE, REINITIATION FEE & REINSTATEMENT FEES  
AND WITHDRAWAL CARDS**

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**SEC. 12(a).** All railroad local unions of this Association shall after ninety (90) days from date of issuance of charters, close said charters and charge an initiation fee of Two Hundred Dollars (\$200.00) for journeymen, helpers and apprentices. Each new employee shall be required to pay all dues and fees owed SMART from when the carrier notifies the labor organization of his or her hiring and the labor organization conveys this to the new employee by letter with a copy to the financial secretary-treasurer. It is the responsibility of the new employee to ensure that his or her dues are actually being paid. Forty-five percent (45%) of said fee shall be sent to the General Secretary-Treasurer as an International initiation fee. At least thirty-five percent (35%) of the fees

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ARTICLE TWENTY-ONE A (21A)

135 collected pursuant to this Section shall be paid to the General Fund, and up to five percent (5%)  
136 shall be apportioned on a basis determined by the General Executive Council between the SMART  
137 Local Unions and Councils Pension Fund (United States) and the SMART Local Unions and  
138 Councils Pension Fund (Canada) and/or the Canadian Dues Defense Fund, and up to five percent  
139 (5%) shall be paid the Sheet Metal Workers' International Staff Pension Fund.

140 Dues shall be paid in advance beginning with the month in which initiation is properly  
141 recorded, accepted, and acknowledged by the General Secretary-Treasurer. No local union is  
142 authorized or permitted to lower its initiation fee below the amount specified in this Section  
143 without permission from the General President.

144 **SEC. 12(b).** All railroad local unions shall charge a reinitiation fee of Four Hundred  
145 Dollars (\$400.00) for journeymen, helpers and apprentices. It is the responsibility of the member  
146 to notify the financial secretary-treasurer in writing of his or her return to work and to ensure that  
147 his dues are actually being paid. He or she shall be required to pay all dues and fees owed to  
148 SMART from the time he or she returned to work. Forty-five percent (45%) of said fee shall be  
149 sent to the General Secretary-Treasurer as an International reinitiation fee. At least thirty-five  
150 percent (35%) of the fees collected pursuant to this Section shall be paid to the General Fund, and  
151 up to five percent (5%) shall be apportioned on a basis determined by the General Executive  
152 Council between the SMART Local Unions and Councils Pension Fund (United States) and the  
153 SMART Local Unions and Councils Pension Fund (Canada) and/or the Canadian Dues Defense  
154 Fund, and up to five percent (5%) shall be paid to the Sheet Metal Workers' International Pension  
155 Fund.

156 Dues shall be paid in advance beginning with the month in which reinitiation is properly  
157 recorded by the General Secretary-Treasurer.

158 **SEC. 12(c).** All railroad local unions of this Association shall charge a reinstatement fee  
159 of Four Hundred Dollars (\$400.00) for a journeymen, helpers and apprentices. It is the  
160 responsibility of the member to notify the financial secretary-treasurer in writing of his or her  
161 return to work and to ensure that his or her dues are actually being paid. He or she shall be required  
162 to pay all dues and fees owed SMART from the time he or she returns to work. If the suspended  
163 member does not comply with the above requirements he or she shall be required to pay all dues  
164 and fees owed the SMART from the time he or she returned to work. Forty-five percent (45%) of  
165 said fee shall be sent to the General Secretary-Treasurer as International reinstatement fee. At  
166 least thirty-five percent (35%) of the fees collected pursuant to this Section shall be paid to the  
167 General Fund, and up to five percent (5%) shall be apportioned on a basis determined by the  
168 General Executive Council between the SMART Local Unions and Councils Pension Fund  
169 (United States) and the SMART Local Unions and Councils Pension Fund (Canada) and/or the  
170 Canadian Dues Defense Fund, and up to five percent (5%) shall be paid to the Sheet Metal  
171 Workers' International Staff Pension Fund. The reinstatement fee specified herein shall apply to  
172 suspended members who comply with the requirements of reinstatement within two (2) months  
173 from date of suspension.

174 In each case dues shall be paid in advance beginning with the month in which reinstatement  
175 is properly recorded by the General Secretary-Treasurer.

ARTICLE TWENTY-ONE A (21A)

176           **SEC. 12(d).** Any member in good standing who leaves the trade or accepts employment  
177 in another trade or is furloughed from the railroad, or goes on medical leave of absence exceeding  
178 sixty (60) days shall, subject to the provisions of this Constitution, immediately make application  
179 for and be issued a withdrawal card. No member in good standing against whom charges are  
180 pending are not disposed of shall be entitled to a withdrawal card. A withdrawal card shall become  
181 void immediately upon a member's acceptance of employment with an employer performing work  
182 covered by the claimed jurisdiction of this Association.

183           Application for withdrawal cards shall be made to the financial secretary-treasurer of the  
184 local union of which the applicant is a member. Such applications shall be accompanied by  
185 payment of all dues, fees, and other financial obligations due the local union and this Association  
186 to and for the month in which the withdrawal card is issued plus Five Dollars (\$5.00) withdrawal  
187 card fee. The General Secretary-Treasurer may establish rules limiting the validity of withdrawal  
188 card to ensure that the purposes of this Section are implemented.

189           A member on withdrawal card who is eligible for membership who wishes to return to  
190 employment and become reinstated to membership, shall have the right to deposit his or her  
191 withdrawal card with the local union which issued the same and apply for reinstatement. The  
192 minimum fee for reinstatement on a valid withdrawal cards shall be Fifteen Dollars (\$15.00). Six  
193 Dollars and Seventy-Five Cents (\$6.75) or forty-five percent (45%) of which shall be sent to the  
194 General Secretary-Treasurer as an International reinstatement fee, thirty-five percent (35%) of  
195 which shall be paid to the General Fund, and up to five percent (5%) shall be apportioned on a  
196 basis determined by the General Executive Council between the SMART Local Unions and  
197 Councils Pension Fund (United States) and the SMART Local Unions and Councils Pension Fund  
198 (Canada) and/or the Canadian Dues Defense Fund, and up to five percent (5%) shall be paid to the  
199 Sheet Metal Workers' International Staff Pension Fund. The General Secretary-Treasurer may  
200 reduce or waive the withdrawal reinstatement fee for a local union, upon request. Deposit of  
201 withdrawal card for purpose of reinstatement may be made by mail or by other method of delivery.

202   **SECTION 13—AFFILIATIONS**

203           **SEC. 13.** At points on a railroad where not enough members are employed to maintain a  
204 railroad local union affiliated with this Association, Section 2(g) of Article Three (3) shall apply.

205   **SECTION 14—LOCAL CHAIRMAN**

206           **SEC. 14(a).** Qualifications. Each local union may establish a position of local chairman  
207 who shall be a journeyman sheet metal worker. If such a position is established, the local chairman  
208 shall be elected by the local union in the same manner, at the same time and for the same term as  
209 local union officers, provided however, that in joint local unions a local chairman may be elected  
210 for each railroad or work location within the jurisdiction of such local union. Candidates for the  
211 position of local chairman shall possess the same qualifications as those prescribed in Section 4(b)  
212 of this Article.

213           **SEC. 14(b).** Duties. A local chairman shall represent the local union and the members  
214 thereof in matters pertaining to the application of the controlling agreement, conditions of

ARTICLE TWENTY-ONE A (21A)

215 employment, and shall supervise the conduct and activities of members in connection therewith;  
216 assist and cooperate with the officers of the local union and the members thereof in carrying out  
217 the provisions of this Constitution; use his or her best efforts at the local level to adjust and settle  
218 such controversies as may arise in connection with complaints of members, consistent with the  
219 rights of those involved, in accordance with the provisions of this Constitution and the policies of  
220 this Association. Subject to the provisions of Article Seven (7), Section 3(d), by virtue of his or  
221 her position and as part of his or her duties, a local chairman shall serve as a delegate of the local  
222 union to the General Convention of this Association except as provided by Article Twenty-One A  
223 (21A), Section 5, and the General Committee Convention provided that no local unions shall be  
224 entitled to more delegates than are provided for in Article Seven (7) of this Constitution or the  
225 District Council by-laws nor shall this Section be construed to require a local union to send more  
226 delegates than it desires to represent it. The local chairman shall protect the work jurisdiction set  
227 forth in Section 5(aa) of Article One (1) of this Constitution and shall not enter into any agreements  
228 with representatives or other organizations involving work jurisdiction.

229 **SEC 14(c).** Whenever a local chairman or officer retires, accepts an appointed job or is  
230 promoted to supervision or is furloughed for more than sixty (60) days, his or her position shall  
231 automatically become vacant and his or her successor shall be elected or appointed in accordance  
232 with the provisions of Section 8 of Article Twelve (12).

233 **SEC. 14(d).** Each local union shall exert every reasonable effort to encourage the  
234 participation of its local chairman in such training program as may be sponsored by this  
235 Association.

236 **SEC. 14(e).** Notwithstanding any other provision of Article Twenty-One A (21A) of this  
237 Constitution, in those instances where a General Committee consists of only one (1) local union,  
238 the position of the local chairman shall be eliminated and the duties and obligations of that position  
239 described in Section 14(b) hereof in addition to those described in Section 5 of this Article will be  
240 assumed by the General Chairman of the General Committee who shall be elected by the local  
241 union for the same term and in the same manner as other officers of the local union. In this instance,  
242 the General Chairman would be an automatic delegate.

243 **SECTION 15—LIMITATIONS**

244 **SEC. 15.** The special rules specified in this Article shall apply only to railroad local unions  
245 and General Committees composed of members employed in the railroad industry and shall not  
246 apply to any other local union or council or the members thereof, and shall only apply to railroad  
247 local unions and General Committees and members thereof to the extent specified herein.  
248



ARTICLE TWENTY-ONE B (21B) - SECTIONS 1-2

**ARTICLE TWENTY-ONE B (21B)**

**Transportation Division**

**ABOUT THIS ARTICLE**

Locals which are covered by Article Twenty-One A (21A) shall be exempt from the provisions of this Article Twenty-One B (21B). Locals which are covered by this Article Twenty-One B (21B) are exempt from the provisions of Article Twenty-One A (21A).

**SECTION 1 - NAME OF DIVISION**

1 This division shall be known as the Transportation Division of SMART and shall consist of the  
2 Transportation Division and a number of Transportation Division Locals.

**SECTION 2 - TRANSPORTATION DIVISION OFFICERS, BOARDS AND MEMBERS**

1 The Transportation Division shall consist of the following Officers, Boards and Members:  
2 (A) Officers:

3 President Transportation Division

4 National Legislative Director

5 Alternate National Legislative Director

6 Eight (8) Transportation Division Vice President-International Representatives, of  
7 which Two (2) Transportation Division Vice President-International  
8 Representatives shall be from the Bus Department

9 Six (6) Alternate Transportation Division Vice President-International  
10 Representatives of whom:

11 One (1) shall be from the Bus Department from the Western Territory (West of  
12 the Mississippi River) and

13 One (1) shall be from the Bus Department from the Eastern Territory (East of the  
14 Mississippi River)

15 Vacancies occurring in positions not subject to attrition shall be filled as follows:

16 President Transportation Division – By a 2/3 vote of the Board of Directors

17 National Legislative Director – By the Alternate Legislative Director

18 Vice Presidents – International Representatives:

19 The Senior Transportation Division Alternate Vice President-International Representative  
20 from the Bus Department shall fill a Bus Vice President-International Representative  
21 vacancy.

22 Other Transportation Division Vice President-International Representative vacancies by a  
23 2/3 vote of the Board of Directors from amongst the Alternate Vice Presidents other  
24 than those from the Bus Department.

25 Vacancies not otherwise provided for in this Article shall be filled by a 2/3 vote of the  
26 Board of Directors.

ARTICLE TWENTY-ONE B (21B) - SECTIONS 2-3

27 The President Transportation Division, National Legislative Director and the Four Senior  
28 Transportation Division Vice President-International Representatives shall also be General  
29 Vice Presidents.

30 (B) Boards:

31 Board of Directors –

32 The Board of Directors shall consist of the President Transportation Division,  
33 National Legislative Director, Eight (8) Transportation Division Vice President-  
34 International Representatives, two of whom are elected from the Bus  
35 Department.

36 Board of Appeals

37 (Members must hold seniority in one of the crafts under the jurisdiction of the  
38 Board.)

39 Six (6) Members

40 One (1) from Engine Service

41 One (1) from Road Service (Train Service)

42 One (1) from Yard Service (Train Service)

43 One (1) from Commuter Authorities

44 One (1) from Bus Department

45 One (1) from the Aviation Department

46 Executive Boards –

47 Five (5) members

48 One (1) Alternate to Executive Board

49 The Alternate to the Executive Board shall fill a vacancy occurring on  
50 the Board.

51 (C) Members:

52 One (1) Delegate from each Local for the Transportation Division Convention

53 (D) Attrition of Positions:

54 A position designated as attritable shall be eliminated when the present incumbent  
55 vacates the position for any reason.

56 (E) President Emeritus:

57 The Immediate Past President shall be President Emeritus.

58 (F) Members will vote for the following Officers and Boards:

59 President Transportation Division

60 National Legislative Director

61 Alternate National Legislative Director

62 Eight (8) Transportation Division Vice President-International Representatives,

63 Two (2) of whom shall be from the Bus Department.

64 Six (6) Alternate Vice Presidents –

65 Two (2) of whom shall be from the Bus Department

66 Board of Appeals

67 Executive Board

**SECTION 3 – REMOVED**

**See Article Three (3)**

ARTICLE TWENTY-ONE B (21B) - SECTIONS 4-5

**SECTION 4 - REMOVED**

**See Article Five (5)**

**SECTION 5 - DELEGATES**

1 Each Local shall elect a Delegate and an Alternate Delegate to the Transportation Division,  
2 during the year preceding the quinquennial convention, from the members of each Local who have  
3 not voluntarily elected to receive a rebate of dues from any department. The Delegate so elected  
4 shall also be a delegate to the SMART Convention. Additional Delegates to the SMART  
5 Convention shall be elected in accordance with Article Seven (7), Section 3.

6 In the event the Delegate is unable to attend the convention, it will be the duty of the  
7 Alternate Delegate to attend and represent the Local.

8 If the office of Delegate becomes vacant for any reason, the Alternate Delegate will succeed  
9 to that office and the Local will elect another Alternate Delegate.

10 The General Secretary-Treasurer shall furnish each Local in good standing with a credential  
11 form which shall be signed by the President and Secretary of the Local. The credential shall bear the  
12 seal of the Local and be furnished to the Delegate, which shall be authorization to represent the Local  
13 at the convention of the Transportation Division.

14 Delegates to the Transportation Division Convention shall receive Transportation Division  
15 Vice President-International Representative's daily rate of pay for their services and per diem at the  
16 maximum rate allowed by the Internal Revenue Service for the locality of the convention site,  
17 commencing on the travel day prior to the opening day of the convention, the session day(s), and a  
18 travel day following the convention.

19 Each Delegate shall receive a travel expense allowance at the maximum automobile mileage  
20 rate set by the Internal Revenue Service from the city in which his/her Local is located to the  
21 convention city and return by the most direct route, and based on official Rand McNally Road Atlas  
22 mileage tables.

23 If a Delegate is absent at roll call or when the yeas and nays are called on any subject, no  
24 pay shall be received for that day unless excused by the convention.

25 A Delegate who becomes ill while attending a convention will, provided evidence of illness  
26 is reported to the convention, receive pay as though present.

27 A full time General Chairperson, Legislative Director or Representative, or other committee  
28 member or officer, who serves as Delegate to the Transportation Division Convention or some other  
29 position of the Transportation Division, will be paid the salary and expense allowance established  
30 for the position he/she is filling or his/her regular salary and expense allowance, whichever is the  
31 greater. If, under this arrangement, he/she receives his/her regular salary and expense allowance,  
32 the salary and expense allowance he/she would otherwise have received as Delegate will be credited  
33 to the fund from which his/her regular salary and expense allowance is paid.

34 SMART delegate compensation shall be handled in accordance with Article Seven (7).

35 The President Transportation Division shall have printed in the Transportation Division  
36 directory the name, address, Local number and title, if any, of each Delegate and Alternate Delegate.  
37 Directories will be mailed to each Delegate and Alternate Delegate no later than one hundred and  
38 twenty (120) days prior to the Transportation Division Convention.

ARTICLE TWENTY-ONE B (21B) - SECTIONS 6-7

**SECTION 6 - TRANSPORTATION DIVISION CONVENTION**

1 The Transportation Division shall convene in regular session quinquennially after 2014,  
2 immediately preceding the SMART Convention and such regular session will not exceed four (4)  
3 consecutive calendar days – two (2) session days and two (2) travel days.

4 SMART has jurisdiction over all subordinate bodies and all subjects pertaining to the  
5 Transportation Division, except as provided in Section 80.

6 A majority of all Delegates in attendance at the Transportation Division Convention shall  
7 constitute a quorum for the transaction of business.

8 The President Transportation Division shall appoint a Committee on Officers' Reports  
9 (listed in Section 2(A)) consisting of not less than one (1) member from each craft represented by  
10 the Transportation Division and such other committees, guards, etc., as may be necessary, to assist  
11 during the Transportation Division Convention.

12 Officers and Delegates of the Transportation Division will be furnished official badges at  
13 the beginning of the Transportation Division Convention. Official badges for the SMART  
14 Convention will be handled in accordance with Article Seven (7).

**SECTION 7 - ELIGIBILITY FOR TRANSPORTATION DIVISION OFFICE**

1 Unless otherwise provided, any member paying full dues shall be eligible for election to any  
2 office in the Transportation Division, except a member who:

- 3 (a) Has attained age 65 or attains age 65 during the year of election;
- 4 (b) Holds membership in any other union representing transportation employees, other  
5 fields of employment, trades and industries, whether in public or private  
6 employment, except when a member is forced by agreement to belong to another  
7 union;
- 8 (c) Is serving in an official capacity with a transportation company, other fields of  
9 employment, trades and industries, whether in public or private employment, except  
10 as yardmaster where the Transportation Division hold the contract for yardmasters;
- 11 (d) Does not hold seniority rights in transportation service, other fields of employment,  
12 trades and industries, whether in public or private employment where the  
13 Transportation Division holds the contract, except this does not apply when a  
14 member is dismissed from service and his case is being appealed;
- 15 (e) Voluntarily elects to receive a rebate of dues from any department will not be eligible  
16 to hold office in that department and will not be eligible for the offices of Delegate  
17 or Alternate Delegate, Legislative Representative, or Alternate Legislative  
18 Representative;
- 19 (f) Is restricted from holding office by Labor-Management Reporting and Disclosure  
20 Act;

ARTICLE TWENTY-ONE B (21B) - SECTIONS 7-8-9-10-11-12

- 21 (g) Is an elected officer of the Transportation Division, listed in Section 2(A), shall not  
22 be eligible to the office of Transportation Division Delegate;
- 23 (h) Is serving as a Local Officer and/or Local Committee of Adjustment Officer who  
24 serves on a part-time basis need only be members in good standing to retain their  
25 office.

**SECTION 8 - ELECTION AND INSTALLATION OF TRANSPORTATION DIVISION OFFICERS**

- 1 Nominations for candidates shall be made from the floor by any Delegate. Seconds to  
2 nominations and nominating speeches will not be required.
- 3 Any candidate declining nomination shall do so before nominations are closed. The  
4 presiding officer shall twice call for withdrawals before accepting a motion to close nominations.
- 5 Officers shall be elected by a secret ballot during each regular convention of the  
6 Transportation Division.
- 7 Officers elected by a convention will be obligated and installed during the convention  
8 session. Officers who succeed to office under the provisions of this Constitution or are appointed  
9 by the Board of Directors between conventions will be installed, by the General President or his/her  
10 designated representative, before assuming office. The obligation shall be the same as that provided  
11 for officers of a Local.

**SECTION 9 - TERM OF OFFICE**

- 1 Officers elected at the Transportation Division Convention shall assume their offices on  
2 October 1 following their election and shall hold such office until October 1 following the  
3 adjournment of the next quinquennial convention, subject to the provisions of the Constitution.

**SECTION 10 - REPORTS OF OFFICERS**

- 1 Vice President-International Representatives and the National Legislative Director shall  
2 make a report to the President Transportation Division at the conclusion of each assignment.
- 3 All Officers and Boards of the Transportation Division shall submit a report to the President  
4 Transportation Division of their official acts and expenses incurred during each year. These reports  
5 will be mailed to the Delegates prior to the Convention.

**SECTION 11 – DELETED IN ITS ENTIRETY**

**SECTION 12 - TRANSPORTATION DIVISION DUES AND ASSESSMENTS**

- 1 The funds of the Transportation Division shall be acquired by assessments of dues in the  
2 amount of \$27.50 per month on all members employed in transportation service, other fields of  
3 employment, trades, and industries, whether public or private employers.

ARTICLE TWENTY-ONE B (21B) – SECTION – 12

4 Transportation Division dues will be apportioned to the various funds of the Transportation  
5 Division as follows:

6	Convention Fund	\$ 2.00
7	General Fund	22.50
8	Maintenance of Membership Fund	1.00
9	Public Relations Fund	.25
10	Strike Fund	.75
11	Education and Training Fund	1.00

12 Requests for reduced Transportation Division dues must be presented to the Board of  
13 Directors for consideration and subsequent referral to the General President with a recommendation.  
14 Upon the Board of Directors' recommendation, the General President, with the approval of the  
15 General Executive Council, may grant a reduction of monthly Transportation Division dues in  
16 situations where special circumstances exist. Approved reductions shall be subject to review on an  
17 annual basis.

18 All receipts for charter fees, Local supplies, official publications, and other sources not  
19 otherwise provided for shall be placed in the General Fund.

20 Except as otherwise provided in Article 21B, all disbursements for expenses incidental to  
21 conducting the business of the Transportation Division shall be paid from the General Fund.  
22 Expenses in connection with conventions, public relation activities, strikes and maintenance of  
23 membership shall be paid from the funds created for such purposes. There shall be no transfer of  
24 funds from one account to another except upon approval by a majority vote of the Board of Directors  
25 and General Executive Council.

26 The assessment of dues shall be increased by the following monthly amounts effective on  
27 the dates shown and allocated to the General Fund:

28	<u>\$2.00 effective January 1, 2015</u>
29	<u>\$0.00 effective January 1, 2016</u>
30	<u>\$1.00 effective January 1, 2017</u>
31	<u>\$1.00 effective January 1, 2018</u>
32	<u>\$0.00 effective January 1, 2019</u>

33 Notwithstanding any other language herein, the General President, upon the  
34 recommendation of the President Transportation Division and with the approval of the General  
35 Executive Council, may reduce, postpone or cancel the per capita dues or any increase for members  
36 in certain and specific work classifications of the entire industry, provided that such action is  
37 deemed advisable or necessary in the best interests of this Association and the members thereof.

38 Fund Trustees shall also be empowered to make agreements with vendors to provide  
39 members with disability insurance coverage or other benefits through the Transportation Division,  
40 at the members' cost, on an opt-out basis.

41 The President Transportation Division and General Secretary-Treasurer shall be equally  
42 responsible for the disbursements of funds.

**SECTION 13 – REMOVED**

**See Article Thirty-Three (33).**

**SECTION 14 – REMOVED**

**See Article Seven (7), Section 14.**

**SECTION 15 - BONDING OF TRANSPORTATION DIVISION OFFICERS AND EMPLOYEES**

1           **The President Transportation Division in concurrence with the General Secretary-**  
2 **Treasurer shall arrange for the bonding of Transportation Division officers and employees. The**  
3 **President Transportation Division shall be bonded for not less than Five-Hundred Thousand**  
4 **Dollars (\$500,000.00); all other officers and employees, if required, shall be bonded for not less**  
5 **than Twenty-Five Thousand Dollars (\$25,000.00) each, all payable to the International**  
6 **Association of Sheet Metal, Air, Rail and Transportation Workers.**

**SECTION 16 - PRESIDENT TRANSPORTATION DIVISION**

1           The President Transportation Division shall be the executive head of the Transportation  
2 Division, exercise general supervision over its affairs and interests, including all Transportation  
3 Division subordinate bodies and shall preside at all sessions of the Transportation Division  
4 conventions.

5           The President Transportation Division may employ sufficient personnel and such other  
6 assistance as necessary to properly conduct the business and affairs of the Transportation Division.  
7 The President Transportation Division would need approval of the General Executive Council  
8 whenever the General President needs approval, but the General Executive Council cannot  
9 withhold approval from the President Transportation Division request except upon grounds that it  
10 would apply equally to a request from the General President.

11           It is the responsibility of the President Transportation Division to interpret Article 21B,  
12 decide all questions arising therefrom, and decide all other controversies not provided for under  
13 this Article, subject to the General President’s evaluation to determine whether the questions  
14 involve only the interests of the Transportation Division and the members it serves and do not  
15 include any matters that affect other members of SMART, such as financial questions that  
16 implicate more than the interests of the Transportation Division and actions that pose legal risk to  
17 SMART.

18           The President Transportation Division shall, no later than January 31<sup>st</sup> of each calendar  
19 year, prepare an operational budget for the Transportation Division by department, which shall  
20 include an annual budget for each fund authorized by the delegates under the provisions of Section  
21 12. This budget, which shall be reviewed and approved by the Board of Directors, will be  
22 submitted to the SMART Finance Committee, with final approval by the General Executive  
23 Council.

ARTICLE TWENTY-ONE B (21B) – SECTIONS 16-17-18-19-20

24           Upon approval by the General Executive Council, the annual budget will be published in  
25 the SMART Transportation Division News not later than the May edition. In the event a budget  
26 has not been approved by May 1<sup>st</sup>, the President Transportation Division will proceed to authorize  
27 the printing of the budget as proposed.

28           The General Secretary-Treasurer shall furnish the General Chairperson, State and District  
29 Legislative Director a copy of the current billing of each Local under their jurisdiction once each  
30 quarter.

31           The President Transportation Division shall perform all duties and responsibilities assigned  
32 under Article 21B and such other duties and responsibilities as may be necessary for the proper  
33 conduct of the affairs of the Transportation Division and the accomplishment of its objectives.

**SECTION 17 - REMOVED**

**SECTION 18 - DUTIES OF THE VICE PRESIDENTS-INTERNATIONAL REPRESENTATIVES**

1           The Vice President-International Representatives shall perform such duties as may be  
2 assigned by the President Transportation Division or as may be required by Article 21B.

3           Vice President-International Representatives shall be assigned a location, and with the  
4 approval of the President Transportation Division, and General President and/or General Executive  
5 Council where required, may be permitted to provide office space and employ such assistance as  
6 may be necessary. The office rent, authorized assistance, telephone service, and supplies to be paid  
7 from the General Fund.

**SECTION 19 – REMOVED**

**See Article Three (3) and Article Twenty-One B (21B), Section 16.**

**SECTION 20 - ASSOCIATION OF STATE LEGISLATIVE DIRECTORS**

1           The Legislative Director of each State and the District of Columbia shall form the  
2 Association of State Legislative Directors for the purpose of coordinating concerted efforts for  
3 securing the enactment of laws and regulations, or the repeal or modification of laws and regulations  
4 to ensure the protection and welfare of the members SMART, to exchange information regarding  
5 political and legislative activities affecting Transportation Division members and to recommend a  
6 legislative agenda for the Transportation Division.

7           The President Transportation Division will convene the Association during the year 1996,  
8 and quadrennially thereafter. State Legislative Directors shall be members of the Association and  
9 shall represent their State Legislative Boards at each meeting with salaries and proper expenses to  
10 be paid from the General Fund.

11           The Officers of the Association shall be a Chairperson, Vice Chairperson, Secretary and  
12 Treasurer to be elected by secret ballot during the 1996 meeting and quadrennially thereafter.



ARTICLE TWENTY-ONE B (21B) – SECTIONS 20-21-22

13 Bylaws consistent with the provisions of this constitution will be adopted at the 1996  
14 meeting.  
15 A majority of Association Members shall constitute a quorum.

**SECTION 21 - DUTIES OF NATIONAL LEGISLATIVE DIRECTOR**

1 (a) The National Legislative Director shall devote his/her efforts to secure the enactment,  
2 modification, or repeal of laws in accordance with the legislative policy of the organization. He/she  
3 shall handle all legislative matters referred to him/her by the President Transportation Division.  
4 He/she shall collect and compile statistics on legislation affecting the organization, which shall be  
5 included in his/her report to the convention. Copies of this report shall be furnished to State  
6 Legislative Boards and to members on request.

7 The National Legislative Director shall handle with the proper agents of the Federal  
8 Government all alleged violations of Federal law, as brought to his/her attention, which involve the  
9 safety and welfare of our membership covered by such law. He/she will, when authorized by the  
10 President Transportation Division, represent the Transportation Division and/or the President  
11 Transportation Division before Federal agencies and Congressional Committees, and in such other  
12 capacities as the President Transportation Division may direct.

13 The National Legislative Director shall keep the President Transportation Division advised  
14 on all bills and hearings before the Congress and other Federal agencies, which affect the interest  
15 of the organization. He/she shall furnish the President Transportation Division copies of all bills  
16 introduced to the Congress which may affect the organization, and such information as will enable  
17 the President Transportation Division to determine legislative policy on such matters as may affect  
18 the Transportation Division in accordance with the law of the organization. He/she shall compile a  
19 voting record of the members of Congress on legislation affecting the interests of labor. Such voting  
20 record shall be furnished the President Transportation Division, State, and District of Columbia  
21 Legislative Boards, prior to each election and/or after the adjournment of Congress. He/she shall  
22 submit recommendations to the President Transportation Division for candidates for Congress,  
23 based on voting records and other information concerning each candidate. The General President  
24 and President Transportation Division shall then confer on the selection of candidates. If there are  
25 differences of opinion between the National Legislative Director and a State or District of Columbia  
26 Legislative Board, such differences shall be submitted to the President Transportation Division,  
27 whose decision shall be final.

28 Headquarters for the United States National Legislative Department shall be maintained in  
29 Washington, D.C. The Legislative Director shall remain at the Capitol during sessions of the  
30 Congress and such other times as may be necessary to discharge his/her duties, unless otherwise  
31 instructed by the President Transportation Division. The Legislative Director may employ sufficient  
32 personnel and such assistants as necessary to properly conduct the business of the department,  
33 subject to the approval of the President Transportation Division.

**SECTION 22 - REMOVED**

1 The duties formally enumerated in this Section are covered under Article Five (5) General  
2 Secretary-Treasurer, Article Six (6) General Executive Council, Section 3, Article Seventeen (17),

ARTICLE TWENTY-ONE B (21B) – SECTIONS 22-23-24-25

3 Article Eighteen (18) and Article Twenty-One B (21B), Section 15.

**SECTION 23 - DUTIES OF THE BOARD OF DIRECTORS**

1 The Board of Directors shall meet on the first Tuesday in the months of April and October,  
2 and on call of the President Transportation Division, to consider all matters coming before it.

3 In circumstances in which is temporarily unable to perform the duties of his/her office due  
4 to illness or absence, the Board of Directors may designate an officer who will assume the duties of  
5 the ill or absent officer until he/she is in position to resume the duties of his/her office.

6 A majority of the Board of Directors shall decide matters coming before the Board, except  
7 as otherwise provided in Article 21B. Members of the Board of Directors must attend and participate  
8 in all Board meetings, unless prevented by illness or emergency.

9 A member of the Board of Directors will not be permitted to participate in the Board's  
10 consideration of, or decision on, appeals taken from his/her actions or decisions. Board members  
11 will vote on all decisions and actions taken by the Board and will not be allowed to abstain from  
12 voting, except as stated herein above concerning his/her actions or decisions. The Annual Report  
13 shall show how each Member of the Board of Directors voted on all appeals brought pursuant to  
14 Section 75 II immediately following the decision.

15 Unification, affiliation, or merger with another labor union shall be governed by Article  
16 Three (3), Section 1.

**SECTION 24 - EXECUTIVE BOARD**

1 The Executive Board, immediately after its election, shall meet and elect a Chairperson and  
2 a Secretary. The Board shall promptly investigate charges preferred against Transportation Division  
3 officers listed in Section 2, other than General Officers who shall be covered under the provisions  
4 of Article Two (2), Section 14, as provided in Section 25.

5 The Board shall file with the General Secretary-Treasurer a copy of all evidence considered  
6 by it and shall present a report of all charges considered, together with its verdict to the quinquennial  
7 Transportation Division Convention.

8 The Board shall not consider any charge which is presently pending, has been previously  
9 considered, or can properly be made the basis of appeal to the Board of Directors, Board of Appeals,  
10 or the Transportation Division Convention.

11 When charges are preferred, said charges come under the purview of the Executive Board  
12 to determine the Board's jurisdiction. After the Executive Board has determined that a charge is  
13 under its jurisdiction, no other Board or Officer can interfere with the proceedings of the Executive  
14 Board.

**SECTION 25 - CHARGES AND TRIALS OF TRANSPORTATION DIVISION OFFICERS**

1 Charges may be preferred against Transportation Division officers listed in Section 2, other  
2 than General Officers who shall be covered under the provisions of Article Two (2), Section 14, for  
3 failure to perform their duties and fulfill their responsibilities in accordance with their obligation of

ARTICLE TWENTY-ONE B (21B) – SECTION 25

4 office and as required by this Constitution. Any officer against whom charges have been preferred  
5 shall receive a fair and impartial trial.

6 Charges must be submitted in writing and sent by certified mail to the Chairperson of the  
7 Executive Board and signed by the member preferring the charges. Said member shall forward a  
8 copy of the charges by certified mail to the accused.

9 Charges shall clearly specify the alleged offense(s) together with the article(s) of this  
10 Constitution and/or those obligations and responsibilities which it is alleged have been violated.

11 The Chairperson must forward a copy of the charges by certified mail to the accused and  
12 other members of the Board. The accused has ten (10) days from the date of said mailing to respond  
13 to the charges. The response must be in writing and forwarded by certified mail to the Chairperson  
14 and the member preferring the charges.

15 If a majority of the Board considers the evidence submitted sufficient to proceed, the  
16 Chairperson shall set a date and time for trial to be held and notify the parties. The Chairperson shall  
17 give the accused and the member preferring the charges not less than fifteen (15) days notice prior  
18 to the convening of the Executive Board to try the accused.

19 The Board shall convene at the Transportation Division location on the date appointed and  
20 proceed to try the accused. Each party to a trial shall have the privilege of designating any person,  
21 except a Board Member or a party involved in the charges or proceedings, to act as his/her counselor  
22 or representative in the trial proceedings.

23 Ten (10) days before trial, the member preferring the charges and the accused shall forward  
24 by certified mail to the Chairperson of the Board and to the opposing party a list of names of  
25 witnesses which they intend to call at the trial in support, or defense, of the charges. The member  
26 preferring charges, either in person or through his/her counsel or representative, shall act as  
27 prosecutor in the case.

28 Should the accused fail to appear for trial after notice as prescribed in the foregoing, should  
29 he/she appear but refuse to comply with the rules for the conduct of the trial prescribed by this  
30 Constitution or the Board, or should he/she engage in conduct designed to obstruct his/her trial, the  
31 Board shall proceed to conduct the trial in his/her absence. The accused, the member preferring  
32 charges, their counsel or representative(s), or any witnesses who are guilty of misconduct before  
33 the Board shall be excluded thereafter from the trial proceedings, and the trial shall continue in their  
34 absence.

35 The Board shall arrange for a transcript of the trial proceedings. A copy of the transcript  
36 shall be furnished to each party without cost.

37 In all trials, the testimony of witnesses shall be taken orally in front of the members of the  
38 Board. Both parties to the trial shall be given full opportunity to present any witnesses and all  
39 relevant evidence and exhibits which they deem necessary to a proper presentation of their case.  
40 They shall also be entitled to cross-examine witnesses of the other party. The Board may, on its  
41 own, request such witnesses and documents as it deems necessary.

42 Should a witness be unable to attend any trial session of the Board, because of age, sickness,  
43 infirmity or for other good cause shown, the evidence of such witnesses may be taken in deposition  
44 form before a notary public or other civil officer authorized to administer oaths. Said deposition  
45 shall be admissible evidence to the extent it would be at the trial proceedings, provided the adverse  
46 party, his/her counsel or representative is given the opportunity of being present and cross-  
47 examining the witness when the deposition is taken.

48 Before giving testimony, any witnesses who are members of SMART shall be required to  
49 make the following affirmation:

ARTICLE TWENTY-ONE B (21B) – SECTIONS 25-26-27

50 “Do you solemnly affirm upon your honor as a member of SMART that the  
51 evidence to be given by you in this case shall be the truth and nothing but the  
52 truth?”

53 Any witness who is not a member of SMART, shall take an oath or solemn affirmation to testify  
54 truthfully.

55 All persons shall be excluded from trial sessions except members of the Trial Board, parties  
56 to the trial and their counsel or representative, the witness who is testifying, and the reporter or  
57 person transcribing the testimony.

58 After all evidence has been presented and arguments made by all parties or their counsel,  
59 the Trial Board shall conclude the trial and, as soon as practicable, assemble in executive session  
60 for consideration of its decision.

61 The Board shall render its decision in writing within thirty (30) days following the date upon  
62 which the trial was concluded. If the accused is found not guilty, he/she shall be exonerated.

63 If the accused is found guilty, the Board shall fix the penalty to be assessed which shall be  
64 censure, suspension, or removal from office. Such decision shall contain a statement of the pertinent  
65 facts involved, the violations charged, and the penalty to be imposed. Such decision and penalty  
66 shall be final and binding unless reversed upon appeal as provided in Section 26.

67 The Board shall forward copies of its decision by certified mail to the accused and the party  
68 preferring charges. Copies shall also be mailed to the General President, President Transportation  
69 Division, General Secretary-Treasurer and all Transportation Division Locals.

**SECTION 26 - APPEALS FROM DECISIONS OF THE EXECUTIVE BOARD**

1 An officer censured, suspended, or removed by the Executive Board may appeal to the  
2 Transportation Division Convention by submitting his/her appeal in writing to the Chairperson of  
3 the Executive Board, with a copy to the General Secretary-Treasurer, at least thirty (30) days prior  
4 to the opening of the convention. If the decision being appealed is rendered less than thirty (30)  
5 days prior to the opening of the convention, the appellant may appeal his/her case to the convention  
6 provided he/she notifies the Board and the General Secretary-Treasurer of his/her intention to appeal  
7 within twenty-four (24) hours after having been notified of the Board’s decision.

8 The appeal shall be presented to the Transportation Division Convention by the appellant,  
9 or his/her counsel, together with any new evidence developed. The appellant, or his/her counsel,  
10 and the Board shall submit their arguments. The questions shall then be put, “Shall the decision of  
11 the Board be sustained?” The vote shall be taken on this question without debate. A majority vote  
12 in favor of the question shall sustain the decision of the Executive Board. A majority vote against  
13 the question shall reverse the decision of the Executive Board.

14 An officer who is removed from office may not again serve in any office of the SMART  
15 Transportation Division except upon the approval of the Board of Directors.

**SECTION 27 - BOARD OF APPEALS**

1 Immediately after their election, the members of the Board of Appeals shall meet and elect  
2 a Chairperson and Secretary. The Secretary shall keep a correct record of the proceedings of the

ARTICLE TWENTY-ONE B (21B) – SECTIONS 27-28-29

3 Board. A record shall be taken of all oral testimony for the use of the Board in making its final  
4 decisions.

5 The Board of Appeals shall meet semiannually, on the second Monday of January and July,  
6 and at such other times as may be necessary, at the Transportation Division locations, to consider  
7 and determine all appeals submitted under the provisions of Article 21B. A majority of a Board  
8 shall decide all appeals coming before that Board. It shall have no authority to consider and  
9 determine any other matter, nor to refer any case to any other tribunal of the organization for a  
10 decision except questions arising as to the application of organization law under Article 21B shall  
11 be referred to the President Transportation Division.

12 The Board shall give a clear and concise report of each appeal properly submitted to it. Such  
13 report shall consist of a statement of all material facts involved in the appeal, the contentions of the  
14 parties and the decision of the Board, stating the reasons upon which the decision is based. All  
15 decisions shall be released by the Board without delay.

16 In an appeal involving a Board member's Local, such Board member must disqualify  
17 himself/herself and be excused by the Chairperson of the Board. The original decision shall be  
18 signed by each member of the Board participating and, following each signature, the word "for" or  
19 "against" shall be written indicating his/her vote on the matter. Copies of all decisions shall contain  
20 the names of the Board members participating. Decisions of the Board of Appeals shall be final and  
21 binding and shall not be appealable to the convention.

22 The Board shall, at the conclusion of each meeting, submit a report properly authenticated  
23 to all interested subordinate bodies and Transportation Division Officers.

24 A member of the Board of Appeals shall not represent the Transportation Division in any  
25 other capacity while serving as a member of the Board.

**SECTION 28 - OFFICERS, MEMBERS, OR SUBORDINATE BODIES SHALL NOT  
RESORT TO CIVIL COURTS UNTIL ALL APPEALS HAVE BEEN MADE IN  
ACCORDANCE WITH THIS CONSTITUTION**

1 No officer, member, or subordinate body of the Transportation Division shall resort to the  
2 civil courts to correct or redress any alleged grievance or wrong, or to secure any alleged rights  
3 from or against any officer, member, subordinate body, or the Transportation Division until such  
4 officer, member, or subordinate body shall have first exhausted all remedy by appeal provided in  
5 this Constitution for the settlement and disposition of any such rights, grievances, or wrongs.

6 Any officer, member, or subordinate body of the Transportation Division violating the  
7 provisions of this Section shall be subject to charges and trials as provided by Article 21B.

**SECTION 29 - COMPENSATION AND VACATION BENEFITS OF  
TRANSPORTATION DIVISION OFFICERS, BOARD MEMBERS AND STAFF  
MEMBERS WHO HOLD SENIORITY IN A CRAFT ON A PROPERTY WHERE SMART  
HOLDS REPRESENTATION RIGHTS**

1 Adjustments in salaries of Transportation Division officers, Board members and Staff  
2 members will be made in the same proportion as increases or decreases in wages received by  
3 employees represented by the Transportation Division, subject to final budget approval.

ARTICLE TWENTY-ONE B (21B) – SECTIONS 29-30-31-32-33

4 All officers, Board members and Staff members, devoting full time to the service of the  
5 Transportation Division, shall receive their salary in equal payments bi-weekly.

6 Members of the Board of Appeals, Executive Board, and other appointed committees shall  
7 receive their salary not less frequently than bi-weekly while in session, or when the work for which  
8 they have been assembled is completed.

9 Transportation Division officers, Board members, and Staff members, and representatives  
10 devoting full time to the service of the Transportation Division will be entitled to the same vacation  
11 benefits for which they would have qualified with their carrier under the National Vacation  
12 Agreement. The method of handling vacations shall be determined by the President Transportation  
13 Division.

14 When a member serving the Transportation Division on a part-time basis suffers a loss of  
15 earnings from his/her carrier resulting in a reduction or loss of his/her vacation pay from the carrier,  
16 he/she shall receive from the department of the Transportation Division in which he served the  
17 amount of vacation pay lost as result of his/her services with the Transportation Division.

**SECTION 30 - FISCAL YEAR**

1 The fiscal year of the Transportation Division and all its subordinate bodies shall begin on  
2 the 1<sup>st</sup> day of January and end on the 31<sup>st</sup> day of December of the same year.

**SECTION 31 - RETIREMENT OF OFFICERS AND EMPLOYEES**

1 All officers and employees of the Transportation Division shall be retired from the service  
2 of the Transportation Division on the last day of the year in which they attain age seventy (70).

**SECTION 32 - PRINTING AND SUPPLIES**

1 The President Transportation Division and the General Secretary-Treasurer shall jointly  
2 receive bids and award contracts for printing International and Local supplies, and other necessary  
3 printing. All forms provided by such Locals must be submitted for approval before being printed.

4 All supplies shall be furnished Locals at cost and must bear the imprint of the SMART  
5 seal.

6 All printed matter purchased by the Transportation Division shall bear the union label.

**SECTION 33 - OFFICIAL PUBLICATIONS**

1 Official print publications and all electronic media, web, communications shall be issued  
2 regularly by the Transportation Division which shall be under the business management of the  
3 President Transportation Division. The President Transportation Division shall be Editor-in-Chief  
4 and employ such editorial and other assistance as necessary. The publications shall be furnished to

ARTICLE TWENTY-ONE B (21B) – SECTIONS 33-34-35-36

5 all active members of the Transportation Division and to widows and retired members who make  
6 requests for the print publications, and or electronic media, web, communications, provided they  
7 keep the Transportation Division advised as to their correct address. All money for subscriptions  
8 shall be paid and credited to the General Fund of the International.

9 The expense of maintaining the publications shall be paid from the General Fund of the  
10 International and the amount paid pro-rated quarterly against the various funds of the International  
11 on a percentage basis established by the President Transportation Division. All of the above is in  
12 coordination with the General Secretary-Treasurer.

**SECTION 34 - ENDORSEMENT OF SOUVENIRS, ETC.**

1 The Transportation Division or Locals shall not endorse articles of merchandise. Locals shall  
2 not sell or grant to any person the right to solicit advertisements or issue souvenirs or like objects  
3 in the name of SMART and/or the SMART Transportation Division.

4 Locals, subject to prior approval of the President Transportation Division, may issue  
5 advertising, programs, time books, or other publications of general interest in the name of the  
6 Transportation Division for Local purposes, when properly authorized by the Local(s) interested,  
7 providing the net proceeds therefrom go to the Locals making such authorization.

8 Where two (2) or more Locals are located in the same city or sub-section in which such  
9 publications are to be issued, all Locals will be given an opportunity to participate in the project.

10 All of the above is in coordination with the General Secretary-Treasurer.

**SECTION 35 - ORDER OF BUSINESS OF THE TRANSPORTATION DIVISION  
CONVENTION**

- 1 1. Call to order
- 2 2. Invocation
- 3 3. Roll call of officers
- 4 4. Report of Credentials Committee
- 5 5. Action on previous day's minutes
- 6 6. Communications
- 7 7. Reports of officers
- 8 8. Reports of committees
- 9 9. Unfinished business
- 10 10. New business
- 11 11. Nominations and elections of officers
- 12 12. Installation of officers
13. Closing

**SECTION 36 - RULES OF ORDER, TRANSPORTATION DIVISION CONVENTION**

1 The rules of order for conventions of the Transportation Division shall be Robert's Rules of  
2 Order, Revised, except as otherwise provided in the following rules:

ARTICLE TWENTY-ONE B (21B) – SECTIONS 36

3 1. These rules may be amended at any regular meeting of the Transportation Division by a  
4 majority vote of the Delegates present.

5 2. The daily sessions of the convention shall begin at 9:00 a.m. and adjourn at 2:00 p.m.  
6 Evening sessions may be called by a majority vote of the Delegates to begin at 8:00 p.m. Instead of  
7 a roll call, appropriate checks shall be collected from the Delegates as a means of recording  
8 attendance.

9 3. The convention shall meet daily excepting Saturdays, Sundays, and legal holidays and,  
10 for parliamentary purposes, shall be considered to be in continuous session until adjourned on the  
11 last day.

12 4. The President Transportation Division shall supply each Officer and Delegate with a list  
13 of Delegates and standing committees. Proceedings of each day's meeting shall be printed and shall  
14 be distributed the following morning.

15 5. Officers and Delegates shall be admitted upon display of their identification badge and  
16 will take their seats without ceremony.

17 6. No person except Officers and Delegates of the International shall be admitted to the floor  
18 reserved for Delegates. Other officers and members of SMART may attend the convention as  
19 visitors on presentation of a receipt for current dues or membership card.

20 7. The President Transportation Division, or in his/her absence, a Vice President-  
21 International Representative designated by the President Transportation Division, shall preside.  
22 He/she may speak to points of order in preference to other Officers and Delegates. He/she shall  
23 decide points of order without debate, subject to appeal by five (5) or more Delegates. No Delegate  
24 may speak more than once on such appeal.

25 8. No main motion shall be debated until it has been scheduled and stated by the presiding  
26 officer who may require the motion to be put in writing before it is stated.

27 9. While in the Committee of the Whole, a Delegate may speak but once on any subject or  
28 motion. The maker of a motion may close debate but will not be permitted to speak in excess of five  
29 (5) minutes in the exercise of this right.

30 10. After a question has been decided, any two (2) Delegates who voted with the majority  
31 may, at any time during the session, move to reconsider the question. No debate will be permitted  
32 on such motions. Should the motion to reconsider be carried, the question at issue may then be  
33 debated in the same manner as a new motion.

34 11. When a question is put, every Delegate in the assembly must vote on it unless excused  
35 by a majority vote of the Delegates.

36 12. Except as provided in Section 6, members of each committee will be appointed by the  
37 President Transportation Division. The person named first on a committee shall be the chairperson.

38 13. A yea and nay vote will be taken on any question when called for by one-third of the  
39 Delegates present.

40 14. The convention is prohibited from considering proposed constitutional amendments not  
41 previously presented to the Constitution Committee.

42 15. All constitutional changes recommended by the Constitution Committee and all such  
43 proposals printed and distributed to Officers and Delegates will show the current constitutional  
44 provision and the proposed change printed on the same sheet in a manner which will permit easy  
45 and accurate comparison. Portions of the Constitution which are not involved in amendment  
46 proposals will not be read during sessions of the Committee of the Whole and such portions will,  
47 therefore, be identified and passed upon by making appropriate references to their number and/or  
48 title.



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49 16. Affirmative action by the Committee of the Whole in rescinding a former action is not  
50 subject to a motion to reconsider. If the motion to rescind in a case of this kind fails to carry, a  
51 motion to reconsider would be proper but the matter can be acted upon but once.

52 17. During debate, the presiding officer will recognize the Delegate first in line before each  
53 microphone in series beginning with microphone No. 1, and continuing through the number of  
54 microphones on the floor before again recognizing microphone No. 1. Recognition shall be  
55 alternated between proponents and opponents on all questions, odd number microphones for  
56 proponents, and even numbers for opponents. When a Delegate wishes to speak he/she shall proceed  
57 to one of the microphones. When recognized by the presiding officer, the Delegate shall give his/her  
58 name and Local number. He/she shall confine remarks to the pending question.

59 18. If the report of a committee is adopted, the report shall be recorded as concurrence by  
60 the convention. If the report fails of adoption, it shall be recorded as non-concurrence. The full  
61 report of the committee showing concurrence or non-concurrence on each amendment shall be  
62 forwarded to the SMART Constitution Committee for their consideration.

63 19. The report of the Committee on Transportation Division Officers' Reports will be  
64 distributed to Delegates on the first day of the convention. The report will be considered, without  
65 reading, as a special order of business on the final day of the convention.

66 20. Documents of interest to the convention shall be printed in the minutes without being  
67 read to the convention. This includes resolutions and other matters which direct themselves to  
68 appropriate committees for consideration prior to being brought to the floor of the convention.

69 21. Reports of the Sick Committee will be printed in each day's minutes.

70 22. The daily sessions of the convention may be opened with a prayer by a member of the  
71 clergy or, in the absence of same, by a Delegate.

72 23. The General Secretary-Treasurer may advance travel allowances and per diem payments  
73 to Delegates upon request without approval of the convention.

74 24. While in the Committee of the Whole, a motion to stop debate shall apply only to the  
75 specific subject then under debate.

76 25. Election of Transportation Division officers will commence not later than the first order  
77 of business on the second day of the convention.

78 When electing Transportation Division officers, the following rules will apply: Where an  
79 individual officer or position is involved, and no candidate receives a majority of legal votes cast  
80 on the first ballot, and there are more than three (3) candidates on the ballot, all candidates except  
81 the top three (3) will be dropped. Thereafter, the candidates receiving the lowest number of votes  
82 will be dropped on each ballot, until one of the candidates receives a majority of legal votes cast. In  
83 placing the names of candidates on ballots or voting machines, the names of incumbent officers  
84 shall appear first, with the names of other candidates following in alphabetical order. When elections  
85 are run simultaneously no member may be a candidate for more than one office or position.

86 In addition to the foregoing, the following procedure will govern the election of  
87 Transportation Division officers:

88 After the election of the President Transportation Division, the National Legislative Director  
89 will be elected. Nominations will be accepted for Vice Presidential-International Representative  
90 positions 1 through 8, until there are four contested positions after which an election shall be  
91 conducted. In other words, it is contemplated that contested Vice President-International  
92 Representative positions will be elected simultaneously in groups of four (4), until all Vice  
93 President- International Representative positions are filled. From among the elected Vice President-

ARTICLE TWENTY-ONE B (21B) – SECTIONS 36-37-38-39

94 International Representatives, an election will be held to select the successor to the President  
95 Transportation Division in the event a vacancy occurs between Conventions.

96 The Alternate National Legislative Director shall be elected next. Alternate Vice President-  
97 International Representative shall be elected as follows – the Alternate Bus Vice President-  
98 International Representative in the Eastern Territory and the Alternate Vice President-International  
99 Representative in the Western Territory shall be elected simultaneously. The remaining six (6)  
100 Alternate Vice Presidents, positions 1 through 6, shall be elected simultaneously.

101 The Board of Appeals will be elected next – (six members (6)). One (1) member from engine  
102 service, position one; one (1) member from road train service, position two; one (1) member from  
103 yard train service, position three; one (1) member from Commuter Authorities, position four; one  
104 (1) member from the Bus Department, position five; and one (1) member from the Aviation  
105 Department.

106 Executive Board – (Five members). Members will be elected simultaneously.

107 Alternate to the Executive Board – One (1) Alternate to the Executive Board to be elected.

108 26. When an election for a particular office or board is commenced, the same must be  
109 completed before the convention adjourns for the day.

110 27. Transportation Division officers listed in Section 2 (A) may speak but shall have no vote  
111 in Transportation Division Convention.

**SECTION 37 - PRINTING AND DISTRIBUTION OF CONSTITUTION**

1 Copies of the SMART Constitution shall be furnished to all members of the Transportation  
2 Division. The most current SMART Constitution shall be made available to all members via  
3 electronic media, the SMART web page.

**SECTION 38 - SAVING CLAUSE**

1 The President Transportation Division, with the approval of the Board of Directors and  
2 jointly with the General President, may take such action as may be deemed necessary to meet  
3 situations not covered in Article 21B in order to protect the interest of the membership and the  
4 Transportation Division.

5 See Article Thirty-Four (34), Section 1 as though contained herein.

**SECTION 39 - LOCALS**

1 Employees in transportation service, other fields of employment, trades and industries,  
2 whether public or private employees, desiring to organize a Local shall request an official  
3 application from the General Secretary-Treasurer. The application must be accompanied by a fee of  
4 Fifty Dollars (\$50.00) to cover the cost of necessary supplies for the Local. Upon receipt, the  
5 General Secretary-Treasurer will forward the application to the President Transportation Division  
6 for his review and recommendation to the General President.

7 Should the application be favorably considered by the General President, the General  
8 Secretary-Treasurer shall issue a charter, properly signed under official seal, and forward to the

ARTICLE TWENTY-ONE B (21B) – SECTIONS 39-40-41

9 person designated. Upon notification by the General President, the President Transportation  
10 Division will direct an officer of the Transportation Division to organize the Local and install the  
11 elected officers in accordance with this Constitution.

12 Bylaws for their special government, which do not conflict with this Constitution, shall be  
13 adopted, subject to the approval of the General Secretary-Treasurer.

14 The General President shall assign each Local a number and thereafter it shall be known  
15 as “International Association of Sheet Metal, Air, Rail and Transportation Workers (SMART)  
16 Local Union No.\_\_\_\_\_.”

**SECTION 40 - JURISDICTION AND AUTHORITY**

1 The jurisdiction of Locals shall be that which existed on the date of unification. Changes in  
2 jurisdiction may be recommended by the President Transportation Division after giving the  
3 interested General Chairperson an opportunity to file recommendations regarding the matter.  
4 Changes in jurisdiction are made by the General President subject to the above. The Local shall  
5 have jurisdiction over all members of the Transportation Division employed under its jurisdiction.

6 The decision of a Local on all matters within its authority shall be final, unless appealed and  
7 reversed.

8 Jurisdiction and authority shall not extend to the transfer of members from one Local to  
9 another Local to result in any Local of twenty-five (25) or more members being closed. Henceforth  
10 the **General** President shall not make changes in jurisdiction of Locals which would result in closing  
11 a Local whose membership is twenty-five (25) or more members.

12 A Local may discipline its members for misconduct or violation of their obligation.

**SECTION 41 - MEMBERSHIP**

1 Any person of good moral character who is employed in a craft or vocation, whether public  
2 or private employment, represented by the Transportation Division is eligible to membership.

3 To gain admission or readmission, an applicant must execute and file with the Local  
4 Treasurer an official application for membership which must be accompanied by cash, check, or  
5 money order to cover one month’s dues and assessments.

6 No application for admission or readmission shall be accepted by the Treasurer or  
7 considered in any manner until three (3) members of the Local have signed the same certifying that  
8 to the best of their belief the applicant is of good moral character and if admitted to membership in  
9 SMART will be a worthy member. Upon receipt of a properly executed application accompanied  
10 by the required dues and assessment, the Local Treasurer will issue to the applicant an official  
11 receipt and will promptly forward to the General Secretary-Treasurer the completed application,  
12 together with the required dues and assessments. The Local Treasurer will report at each meeting  
13 all admissions and readmissions occurring subsequent to the last meeting of the Local.

14 The official membership application form will include the following statement which will  
15 be subscribed to, and signed by, the applicant in the presence of an officer or member of the Local  
16 who shall witness the applicant’s signature and certify by signature that he/she has done so:

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17 “I pledge my honor to faithfully observe the Constitution and Laws of the International  
18 Association of Sheet Metal, Air, Rail and Transportation Workers, including the bylaws of  
19 my Local; to comply with the rules and regulations for the government of the International  
20 Association of Sheet Metal, Air, Rail and Transportation Workers; not to make known to  
21 outsiders any private proceedings of the International Association of Sheet Metal, Air, Rail  
22 and Transportation Workers; to faithfully perform all the duties assigned to me to the best  
23 of my ability and skill; to so conduct myself at all times as not to bring reproach upon my  
24 union and at all times bear true and faithful allegiance to the International Association of  
25 Sheet Metal, Air, Rail and Transportation Workers.”

**SECTION 42 - CONTINUOUS MEMBERSHIP**

1 Continuous membership in the former Order of Railroad Conductors and Brakemen,  
2 Brotherhood of Locomotive Firemen and Enginemen, Brotherhood of Railroad Trainmen,  
3 Switchmen’s Union of North America, or Railroad Yardmasters of America in addition to service  
4 in the Merchant Marine during a national emergency and any military service together with  
5 continuous membership in the United Transportation Union will be combined to compute total  
6 continuous membership in SMART.

**SECTION 43 - MEMBERSHIP CARDS**

1 Members of the Transportation Division, upon written request to the Treasurer of their Local  
2 during the month of December, will be furnished a membership traveling card for the following  
3 year. Such cards shall bear the number and seal of the Local, and the signature of the President and  
4 Treasurer of the Local. The title, if any, and the continuous membership record of the member shall  
5 also be shown thereon.

6 Members totally disabled or having twenty (20) years’ continuous membership as provided  
7 in Section 42 and retired from transportation service will be given a gold embossed card indicating  
8 life membership in the United Transportation Union, now SMART. Such members shall be entitled  
9 to attend Local meetings.

**SECTION 44 - AUTHORITY TO REPRESENT**

1 Every member of SMART grants complete authority to SMART and any of its constituted  
2 representatives to act in said member’s behalf for the purpose of disposing, in any manner, of any  
3 and all of said member’s claims, complaints, or grievances against their employer; and to submit  
4 such claims, complaints, or grievances for determination to any person, board, or other tribunal  
5 provided by law or otherwise as may be deemed to be necessary. The Organization shall have  
6 authority to receive notice of hearings, or to waive hearing, and to appear for, represent, and act for  
7 its members before any person, board, or other tribunal in connection with consideration and  
8 determination of claims, complaints, or grievances, subject to the right of appeal in accordance with  
9 the provisions of this Constitution, except where the member involved serves reasonable written  
10 notice on the Organization to the contrary.

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11 Decisions reached disposing of or settling claims, complaints, and grievances referred to  
12 herein shall be furnished in writing, within thirty (30) days after such decision, to the Local  
13 Chairperson and Secretary of the Local submitting such claims, complaints, and grievances.

**SECTION 45 - DUTIES OF MEMBERS**

1 Members of the SMART Transportation Division are obligated to pay all dues and  
2 assessments promptly, to attend all meetings of their Local where reasonably possible to do so, to  
3 faithfully observe the provisions of the Constitution of the International and the bylaws of the Local,  
4 to keep from outsiders the private proceedings of SMART, to faithfully perform all the duties  
5 assigned to them to the best of their ability and skill, and to so conduct themselves at all times as  
6 not to bring reproach upon SMART. Members who are found to be in violation of these duties are  
7 subject to reprimand, suspension, or expulsion, as their Local may determine, following a trial  
8 conducted in strict compliance with Section 74 of Article 21B.

**SECTION 46 - VISITING MEMBERS**

1 Visiting members of SMART shall be admitted to Local meetings upon presentation of an  
2 official receipt for the current month's dues or life membership card. In case the identity of the  
3 visiting member is not known, further proof of membership may be required.

**SECTION 47 - TRANSFER OF MEMBERS**

1 (a) In the event the charter of a Local is revoked or surrendered, the members shall be  
2 transferred to a Local having jurisdiction over their current employment. The Local having  
3 jurisdiction will be designated by the President Transportation Division, subject to review by the  
4 General President, and such members will be transferred on the date such revocation or surrender  
5 is effective.

6 (b) Following the date of unification, members in active service must become members and  
7 maintain membership in the Local having jurisdiction over the craft in which assigned on the  
8 seniority territory on which employed. Thereafter, if a member is assigned to another craft under  
9 the jurisdiction of another Local for a period in excess of ninety (90) days, the Treasurer of the  
10 Local with which the member is affiliated shall, upon receipt of written request from the Treasurer  
11 of the Local under whose jurisdiction the member is working, issue a transfer certificate for the  
12 member.

13 Nothing in this section shall prohibit a member from voluntarily transferring to another  
14 Local in less than (90) days provided he/she is working under the jurisdiction of that Local.

15 (c) Notwithstanding the foregoing, and in circumstances in which two (2) or more Locals  
16 have identical jurisdiction, a member may, upon written request, transfer his/her membership from  
17 one such Local to the other.

18 (d) General Chairpersons, Local Presidents, Local Vice Presidents, Local Chairpersons, First  
19 Vice Local Chairpersons, Secretary and Treasurers, and Legislative Representative, shall not be  
20 subject to the aforementioned transfer requirements.

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21 (e) Transfer certificates will be in the form prescribed by the General Secretary-Treasurer  
22 and completed in quadruplicate by the Local Treasurer, forwarding the original to the Local  
23 requesting the transfer, the second copy to the General Secretary-Treasurer, the third copy to the  
24 member being transferred, and retaining the fourth copy for his/her records. Upon the completion  
25 of this transaction, the member will be obliged to pay dues and assessments, effective on the first  
26 day of the following month, to the Local to which transferred.

27 (f) Members required to transfer from one Local to another Local in the application of this  
28 Section may continue to participate in any benefit program in which they were participating at the  
29 time of said transfer, provided such member continues to remit the necessary payment for said  
30 benefits.

**SECTION 48 - LOCAL FUNDS**

1 Each Local shall maintain a Local fund to pay the expenses of the Local, by levying Local  
2 dues on all in-service members. The amount of Local dues shall be established by the members  
3 present, voting by secret ballot, when the Local is organized.

4 No change in Local dues, the daily rate or salary established for Local officers or Legislative  
5 Representatives, or the levying of a special assessment, may be considered by a Local until notice  
6 of such proposition has been read at one (1) regular or special meeting and all members have been  
7 notified of the proposition and date on which the proposition will be considered. Any proposition  
8 to change Local dues, the daily rate, or salary established for Local officers or Legislative  
9 Representatives, or the levying of a special assessment, must be approved by a majority vote of the  
10 members, voting by secret ballot, in attendance when the proposition is considered.

11 Each Local shall maintain a Local Committee fund to pay the cost of representation by the  
12 Local Committee of Adjustment by levying Local Committee dues, as established by the members  
13 present under its jurisdiction, voting by secret ballot, when the committee is established.

14 No change in Local Committee dues, the daily rate or salary established for Local  
15 Committeepersons, or the levying of a special assessment may be considered until such proposition  
16 has been read at one (1) regular or special meeting and all members working under the jurisdiction  
17 of the Local Committee have been notified of the proposition and date on which the proposition  
18 will be considered. Any proposition to change Local Committee dues, daily rate or salary of Local  
19 Committeepersons, or to levy a special assessment must be approved by a majority vote of the  
20 members working under the jurisdiction of the Local Committee involved, voting by secret ballot,  
21 who are in attendance when the proposition is considered.

22 The effective date of any increase in Local, Local Committee of Adjustment dues, or special  
23 assessments must coincide with the requirements of any checkoff of Union Dues Agreement in  
24 effect.

**SECTION 49 - PAYMENT OF DUES AND ASSESSMENTS**

1 The dues and assessments of members shall be paid in advance, before the first day of the  
2 month in which they are due. Any member who fails to pay his/her dues and assessments within the  
3 time provided shall be suspended without notice or further action.

ARTICLE TWENTY-ONE B (21B) – SECTIONS 49-50

4 No member shall be considered in arrears for dues and assessments when his/her employer  
5 has withheld from their pay money for such dues and assessments, pursuant to a dues check-off  
6 agreement, and the employer has delayed or defaulted payment to the Local.

7 A member who for any reasons, including sickness and disability, is not engaged in  
8 transportation service, other fields of employment, trades, and industries, whether in public or  
9 private employment where the United Transportation Union, now SMART, holds the contract, or  
10 in the service of the Transportation Division for a full calendar month (excluding his/her vacation)  
11 shall, upon submitting to the General Secretary-Treasurer and the Local Treasurer written request  
12 on the prescribed form, be relieved from the payment of all dues and assessments for subsequent  
13 calendar months until he/she again returns to transportation service, other fields of employment,  
14 trades, and industries, whether in public or private employment where the United Transportation  
15 Union, now SMART, hold the contract, or service with the Transportation Division. Such member  
16 will promptly report to the Local Treasurer his/her date of return to active service with the employer  
17 and will be obligated to pay full dues and assessments beginning with the first month thereafter.

18 During the period in which members request relief and are relieved from the payment of  
19 dues and assessments in accordance with this Section, they shall continue to enjoy all privileges of  
20 membership, except they shall not be permitted to vote in elections or on any other subject involving  
21 grievances, hours or mileage limitation, or other methods of work distribution, unless allowed to  
22 vote by virtue of Local bylaws in Local matters only.

23 The Local Treasurer, in cooperation with the Local President and the Local Chairperson  
24 involved, will maintain a close check of the roster of members who are relieved from the payment  
25 of full dues and assessments under the provisions of this Section with a view towards  
26 avoiding the abuse of this privilege. In addition, the Local Treasurer will, at each regular meeting  
27 of the Local, read for the benefit of members present the roster of members who have been excused  
28 from the payment of full dues and assessments.

29 Where the reason for a member not being engaged in transportation service, other fields of  
30 employment, trades, and industries, whether in public or private employment where the United  
31 Transportation Union, now SMART, holds the contract, or in the service of the Transportation  
32 Division is sickness or disability, the Local, upon receipt of written request from the member, may  
33 by majority vote of the members present at any regular meeting, authorize the Local Treasurer to  
34 pay the member's remaining dues and assessments for such period as the Local might determine.  
35 The written request shall be a condition precedent to the member's rights under this paragraph.

36 Dues and assessments advanced for the benefit of sick or disabled members under the  
37 foregoing paragraph represent a loan to the member. The Local shall designate a date on or before  
38 which the amount advanced should be repaid. If repayment is not made within the time specified,  
39 the member shall be suspended for non-payment of dues.

40 It shall be the duty of the members to keep the Local Secretary and Treasurer advised of  
41 their current home address.

**SECTION 50 - SUSPENSIONS**

1 A member suspended for improper conduct shall, at the expiration of the time for which the  
2 member was suspended, be reinstated but shall not be required to pay dues and assessments accrued  
3 during the suspension. Should the member be accused of improper conduct during the suspension,  
4 the member shall be liable to charges.

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**SECTION 51 - READMISSION**

1 A member, as defined under Section 41, who has been suspended for non-payment of dues  
2 or assessments may be readmitted upon application on proper form and the payment of all money  
3 due up to the date of his/her suspension, plus dues and assessments for the current month and a  
4 reinstatement fee of \$1.00. Where less than one calendar month has elapsed, no reinstatement fee  
5 will be required. A member expelled for causes other than non-payment of dues or assessments  
6 shall not be readmitted in less than six (6) months. A member expelled upon charges ordered by a  
7 convention, or one who was expelled for defrauding a Local, shall secure a dispensation from the  
8 President Transportation Division before presenting application for readmission.

**SECTION 52 - REGISTERS**

1 Locals shall maintain a register showing the name, address, and employment of their  
2 members.  
3 Locals shall also maintain an attendance register and require that every member who attends  
4 Local meetings personally register his/her name and Local number therein.  
5 Local Secretaries shall be responsible for the maintenance of accurate registers by their  
6 Local.

**SECTION 53 - RIGHTS AND BENEFITS**

1 Except as otherwise provided in this Constitution, no member shall be entitled to any of the  
2 rights or benefits of SMART, unless dues and assessments are paid within the time specified herein.

**SECTION 54 - LOCAL MAINTENANCE OF MEMBERSHIP FUND**

1 When authorized by a majority vote of its membership, a Local may establish a Maintenance  
2 of Membership fund by levying an assessment of \$1.00 per member for one month or transferring  
3 an equivalent amount from the Local fund.  
4 The purpose of the Maintenance of Membership fund is to provide a fund from which the  
5 Local Treasurer may, without written request from the member or advance approval of the Local,  
6 advance the dues and assessments of members who do not pay the same in advance before the first  
7 day of the month. The Treasurer will not advance the dues and assessments of a member who  
8 submits, before the first day of the month, written request for a termination of membership.  
9 When dues and assessments are advanced from the Maintenance of Membership fund, the  
10 member involved must reimburse the fund for the amount of the dues and assessments plus a  
11 service charge of \$1.00. Should the member fail to repay this amount during the month for which  
12 the advance was made, the Treasurer will make no further advances for benefits until the member  
13 has paid the indebtedness. If the member is subsequently suspended for non-payment of dues or  
14 discontinues membership in any other manner, the amount of indebtedness to the Maintenance of  
15 Membership fund will be deducted from any payment that may be due said member from the



ARTICLE TWENTY-ONE B (21B) – SECTIONS 54-55-56-57

16 International or the Local. If recovery of the amount due the Maintenance of Membership fund is  
17 not accomplished in this manner, the suspended member will not be readmitted to membership until  
18 the amount due has been paid.

**SECTION 55 - TIME AND PLACE OF MEETING**

1 A Local shall hold at least one regular meeting each month at the time and place specified  
2 in its bylaws. Upon reasonable notice to the members and the President Transportation Division, a  
3 Local may take action to change the place and time of meeting in the same town or city in  
4 accordance with its bylaws.

5 Special meetings may be called by the President of the Local and the purpose thereof must  
6 be stated. The President shall call a special meeting, upon receipt of written request of five (5)  
7 members in good standing, stating the purpose for which the meeting is requested. In the absence  
8 of the President, the meeting shall be called by the Vice President or Secretary. Reasonable notice  
9 of special meetings shall be given to all members and no business shall be transacted except that for  
10 which a special meeting is called.

11 Five (5) members in good standing shall constitute a quorum for the transaction of business.

**SECTION 56 - OFFICERS AND LOCALS**

1 The elective officers of a Local shall consist of a President, Vice President, Secretary and  
2 Treasurer, and a Board of Trustees consisting of three (3) members. By action of a Local, the office  
3 of Secretary and Treasurer may be separated and elections held to fill each office. A Local having  
4 fifty (50) or more members may create the office of Collector.

5 The President of the Local may appoint officers consisting of guards, committees, and  
6 stewards as necessary to conduct the functions of the Local.

7 Stewards will be responsible for the interchange of information and communication between  
8 Local officers and the membership. They shall not be vested to act with any authority reserved to  
9 elected officers.

10 The elective and appointed officers shall serve for a period of three (3) years or until their  
11 successors assume office. No member may fill more than one (1) of these elective offices at the  
12 same time.

**SECTION 57 - ELECTIONS IN LOCALS**

1 The election for officers of a local shall be held in November, 1969, and each three (3) years  
2 thereafter.

3 An election to fill the offices of Local Committees of Adjustment shall be held in November  
4 1970, and quadrennially thereafter.

5 An election for Legislative Representatives and Alternate Legislative Representatives shall  
6 be held in November 1971, and quadrennially thereafter. Candidates for these offices must be  
7 qualified voters.

ARTICLE TWENTY-ONE B (21B) – SECTION 57

8 Local Committeepersons and Legislative Representatives shall assume their office on  
9 January 1, following the year of the Quadrennial election.

10 An election for Delegate and Alternate Delegate to the Transportation Division Convention  
11 shall be held in November 2018, and quinquennially thereafter. In Locals having jurisdiction over  
12 more than one craft, the Delegate and Alternate Delegate must be elected from different crafts. The  
13 Delegate so elected shall also be a delegate to the SMART Convention. Additional Delegates to the  
14 SMART Convention shall be elected in accordance with Article Seven (7), Section 3.

15 Officers stipulated in this Section shall be elected by secret ballot at a November meeting of  
16 the Local, or by referendum vote, as provided by existing bylaws or procedures of each Local. An  
17 electronic voting method may be used if it meets the standards of the Department of Labor for  
18 guaranteeing secrecy of the ballot. Nominations must be filed with the Secretary not later than the  
19 last regular meeting in October in the year of election. Where nominations are made by nominating  
20 petition, at least five (5) members eligible to vote shall sign the petition. The Secretary shall  
21 promptly acknowledge receipt of all petitions and read them at the last regular meeting in October.

22 The members present at the last regular meeting in October shall set the date on which the  
23 ballots shall be counted and the election held.

24 The Secretary shall prepare ballots showing the names of all candidates and the offices for  
25 which they are nominated.

26 Incumbent officers shall appear first with names of other candidates following in  
27 alphabetical order.

28 The ballots shall be prepared so as to provide a square opposite each candidate's name in  
29 which the voter can mark his/her preference of candidates.

30 In Locals having more than one Local Committee of Adjustment for different crafts, the  
31 Secretary will provide a separate ballot for all eligible voters of each craft working under the  
32 jurisdiction of the committee involved.

33 When voting by mail referendum, the ballot shall be mailed by government first-class mail  
34 to each member eligible to vote in envelopes bearing a return address the same as the Post Office  
35 address on the "Ballot" envelopes. Ballots shall be mailed at least fifteen (15) days prior to the date  
36 set to tabulate the ballots, together with a leaflet containing voting instructions, an enveloped  
37 marked "A", and a stamped envelope marked "Ballot" addressed to the Secretary in care of the  
38 postmaster for mailing by the voter.

39 The leaflet containing voting instructions shall contain the following:  
40 "Instructions for voting by mail: The voter will make a mark in the square of his/her choice,  
41 fold, and place the ballot in the enveloped marked 'A' and seal. Place sealed envelope 'A'  
42 in envelope marked 'Ballot' and seal. Place name and address in upper left-hand corner of  
43 envelope marked 'Ballot' and mail. Do not place any mark of identification on the ballot  
44 or the envelope marked 'A' that would destroy the secrecy of the ballot."

45 The Secretary shall arrange with the postmaster for a post office box. The key or  
46 combination of such box shall remain in possession of the postmaster. Such arrangement shall be  
47 confirmed by letter.

48 On the day set for the tabulation of the ballots and election, the President will appoint three  
49 (3) Tellers. A copy of the letter confirming the arrangement with the postmaster will be furnished  
50 the Tellers which will authorize the postmaster to deliver the contents of the box to the Tellers at a  
51 given hour.

52 The Tellers shall return to the Local and canvass the ballots. They will check the names on  
53 the envelopes marked "Ballot" against the list of eligible voters furnished by the Secretary, open

ARTICLE TWENTY-ONE B (21B) – SECTION 57

54 the envelopes marked “Ballot”, and remove the envelopes marked “A”. After all envelopes marked  
55 “Ballot” have been opened, and emptied, the envelopes marked “A” shall be opened, ballots  
56 removed, and canvassed by the Tellers. The results shall be reported to the President of the Local  
57 in writing.

58 The candidate receiving a majority of the votes cast for a given office shall be declared  
59 elected. If no one (1) of the candidates for a given office receives a majority of the votes cast, another  
60 ballot shall be submitted to all eligible voters upon which shall appear only the names of the two  
61 (2) candidates receiving the highest numbers of votes cast for that office. If any number of the  
62 candidates for a given office are tied for the highest number of the votes cast, another ballot shall  
63 be submitted to all eligible voters upon which shall appear only the names of the candidates  
64 receiving the highest number of votes cast for that office. If one (1) candidate receives the highest  
65 number but that number does not constitute a majority of the votes cast for a given office and any  
66 number of candidates are tied for the second highest number of votes cast, another ballot shall be  
67 submitted to all eligible voters upon which shall appear only the name of the candidate receiving  
68 the highest number and the names of the candidates receiving the second highest number of the  
69 votes cast for that office.

70 The Board of Trustees shall be elected by a majority of the ballots cast.

71 The Secretary will keep all election records for one (1) year, including used, unused and  
72 void ballots, eligibility list, tally sheets, and “ballot” envelopes used to mail in marked ballots.

73 When only one (1) nomination has been received for an office, the member so nominated  
74 will be declared elected on the day set for the tabulation of ballots and election.

75 In the event of a permanent vacancy in any office, the Local shall proceed to fill the vacancy  
76 in accordance with the bylaws of the Local or as provided in this Section; except the Vice President  
77 shall succeed to the office of President, the Alternate Legislative Representative shall succeed to  
78 the office of Legislative Representative, and the Alternate Delegate shall succeed to the office of  
79 Delegate.

80 In elections of Local Committees of Adjustment, only members in service under the  
81 jurisdiction of such committee will be notified of such election and permitted to file or sign  
82 nominating petitions and vote.

83 Locals failing to complete their regular elections during the month of November must notify  
84 the President Transportation Division the reason therefor and the date set for the completion of the  
85 election.

86 Locals must, following each election of officers or succession to office, promptly notify the  
87 President Transportation Division, General Secretary-Treasurer, interested General Chairpersons,  
88 State and District Legislative Boards of the names and addresses of the new officers.

89 Local Officers, Committeepersons, Legislative Representatives and Delegates upon leaving  
90 office must promptly transfer all property, funds, securities, equipment and other effects of their  
91 office to their successor. Any member failing to comply with the provisions of this paragraph shall  
92 be suspended from membership in SMART.

93 Candidates may have observers present during the counting and tallying process, including  
94 the tallying of the ballots, totaling, recording, and reporting of tally sheets. In a mail ballot election,  
95 candidates may have observers present at the preparation and mailing of the ballots, their receipt,  
96 opening, and counting.

**SECTION 58 - INSTALLATION OF LOCAL OFFICERS**

1           The elective and appointive officers enumerated in Section 56 shall be installed as  
2 soon as possible following their election and shall assume their duties on January 1 or as soon  
3 thereafter as they are installed.

4           They must present themselves at a regular or special meeting for installation within sixty  
5 (60) days following their election or appointment and failing to do so, their office will be declared  
6 vacant.

7           Where a vacancy is filled in an interim election, the successful candidate will assume the  
8 duties of such office immediately upon installation.

9           The installation ceremony shall be performed by the ranking or the most recent Past  
10 President, or if no Past President is available, by a member named by the officer presiding at the  
11 meeting. The officers to be installed will be called before the installing officer who will read the  
12 following obligation:

13           “Do you hereby pledge on your honor to perform the duties of your respective offices  
14 as required by the International Association of Sheet Metal, Air, Rail and  
15 Transportation Workers Constitution; to bear true and faithful allegiance to the  
16 International Association of Sheet Metal, Air, Rail and Transportation Workers and  
17 with complete good faith to support, advance, and carry out all official policies of the  
18 International Association of Sheet Metal, Air, Rail and Transportation Workers; to  
19 deliver to your successor all books, papers, and other property of the International  
20 Association of Sheet Metal, Air, Rail and Transportation Workers that may be in your  
21 possession at the end of your term of office; and at all times conduct yourself as  
22 becomes a member of the International Association of Sheet Metal, Air, Rail and  
23 Transportation Workers?”

24 The officers being installed shall respond:

25           “I do.”

26 The installing officer shall then say:

27           “Your duties are defined in the Constitution of the International Association of Sheet  
28 Metal, Air, Rail and Transportation Workers and in the bylaws of this Local. Should  
29 an emergency arise which is not covered by these laws, you are expected to exercise  
30 good judgment and common sense in order to advance the best interest of the  
31 International Association of Sheet Metal, Air, Rail and Transportation Workers.

32           “You will now assume your respective stations.”

**SECTION 59 - DUTIES OF THE LOCAL PRESIDENT**

1           The President shall preside at all meetings of the Local, enforce the provisions of this  
2 Constitution and the bylaws of the Local, and exercise general supervision over its affairs. The  
3 President shall decide all questions of law and order, subject to appeal to the Local by any two (2)  
4 members. He/she shall appoint a majority of all committees and shall sign all documents that require  
5 authentication.

ARTICLE TWENTY-ONE B (21B) – SECTIONS 59-60-61-62-63

6 The President shall see that the Local officers respond to inquiries from the International  
7 and shall, with the Secretary and/or Treasurer, file all reports required of Locals by Federal, State,  
8 or local laws, and countersign all disbursements issued by check or draft.

9 The President may speak on any subject before the Local but he/she may not vote except, in  
10 case of a tie vote, on a matter upon which he/she is otherwise eligible to vote shall cast the deciding  
11 ballot.

**SECTION 60 - DUTIES OF THE LOCAL VICE PRESIDENT**

1 The Vice President shall assist the President in the discharge of his/her duties and preside at  
2 meetings in the absence of the President. He/she shall appoint a minority of all committees and, if  
3 the President's office becomes vacant, shall discharge the duties and assume the responsibilities of  
4 the President for the remainder of the term.

**SECTION 61 - THE LOCAL PAST PRESIDENT**

1 When a Local President has completed his/her term of office and a successor has been  
2 installed, he/she shall become the ranking Past President of the Local and shall serve as such until  
3 succeeded. He/she shall thereafter be a Past President according to the regular order of succession.  
1

**SECTION 62 - DUTIES OF THE LOCAL PAST PRESIDENT**

1 The Past President shall install the officers of the Local and, in the absence of the President  
2 and Vice President, shall preside at Local meetings.

**SECTION 63 - DUTIES OF THE LOCAL SECRETARY**

1 The Secretary shall keep an accurate record of all proceedings, receive all communications,  
2 conduct the correspondence, and shall have charge of the seal and records of the Local. He/she shall  
3 notify all officers of their election or appointment and shall notify other Locals of action taken by  
4 his/her Local which might affect, interest, or concern them.

5 The Secretary shall notify the General Secretary-Treasurer of all changes in the time and  
6 place of meetings and prepare, sign, and affix the seal to all documents requiring his/her official  
7 signature as provided by the Constitution and bylaws of the Local.

8 He/she shall see that all notices required regarding elections and levying of assessments are  
9 sent in accordance with Article 21B. The Secretary shall perform the duties of the Treasurer in  
10 Locals that do not provide for the separation of the offices of Secretary and Treasurer and shall,  
11 with the President and Treasurer, file all reports required by Federal, State, or local laws.

ARTICLE TWENTY-ONE B (21B) – SECTIONS 64-65

**SECTION 64 - DUTIES OF THE LOCAL TREASURER**

1           The Treasurer shall receive all money due to be collected by the Local and give his/her  
2 receipt for the same. Where a Local maintains the office of Collector, the provisions of Section 65  
3 will apply. The Treasurer shall hold and keep secure all Local funds and shall be bonded as provided  
4 in Section 71 of Article 21B. He/she shall sign all papers requiring his/her signature and perform  
5 other duties required by Article 21B and the bylaws of the Local. He/she shall keep an accurate  
6 account for all receipts and expenditures of the Local on forms provided for that purpose. These  
7 records shall be open at all times for inspection and audit by officers of the International or their  
8 representatives.

9           The Treasurer shall promptly, but not later than the 20<sup>th</sup> day of each month, remit to the  
10 General Secretary-Treasurer all monies due the International. All disbursements issued by check or  
11 draft must be countersigned by the President of the Local. Each disbursement shall be reported by  
12 the Treasurer at the first meeting of the Local following the disbursement.

13           During the month of January of each year, the Treasurer shall submit to the Board of  
14 Trustees a report in duplicate, on the form prescribed for that purpose, showing all receipts and  
15 disbursements of the Local for the preceding year. The Board of Trustees will promptly audit the  
16 books and, if the Treasurer's report is found to be correct and the cash on hand or its equivalent has  
17 been verified, the Board members shall sign and submit the report to the first regular meeting of the  
18 Local following the audit. A copy of the signed report shall then be sent to the General Secretary-  
19 Treasurer by the Board of Trustees.

20           The Treasurer shall be a member of all Local Committees which receive or disburse money.  
21 When Local action is taken approving the disbursement of funds which in the opinion of the  
22 Treasurer is in violation of provisions of this Constitution or the Local's bylaws, he/she shall  
23 withhold payment for a period not to exceed thirty (30) days and report the matter at once to the  
24 President Transportation Division. The Treasurer will then be governed by the President  
25 Transportation Division's instructions regarding the expenditure involved, subject to appeal.

26           The Treasurer shall notify the Treasurer of another Local when he/she has knowledge that a  
27 member of his/her Local is employed under the jurisdiction of the other Local.

28           It shall be the responsibility of the Treasurer to credit dues and assessments paid to the  
29 appropriate Local Committee of Adjustment and General Committee of Adjustment accounts of  
30 his/her Local in accordance with the provisions of Article 21B.

31           The Treasurer shall, with the President and Secretary, file all reports required by Federal,  
32 State, and local laws.

**SECTION 65 - DUTIES OF THE LOCAL COLLECTOR**

1           The Collector shall receive all money due the Local and will give receipt therefore. He/she  
2 shall, prior to the first day of each month, report to the Local Treasurer on the required forms all  
3 money received during the current month and shall pay to the Local Treasurer the amount so  
4 collected. His/her records shall be open at all times for inspection and audit by officers of the  
5 International or their representatives. He/she shall be bonded as provided in Section 71 of Article  
6 21B.

ARTICLE TWENTY-ONE B (21B) – SECTIONS 66-67-68-69

**SECTION 66 - DUTIES OF LOCAL LEGISLATIVE REPRESENTATIVES**

7 (a) Local Legislative Representatives shall attend all meetings of their State or District  
8 Legislative Board. They shall report to their Locals regarding the handling of all alleged unsafe or  
9 unsanitary working conditions found to exist, or reported to them, within their jurisdiction. They  
10 shall undertake to correct such conditions through appropriate measures consistent with the local  
11 and national policies of the Transportation Division. If they are unable to correct the alleged unsafe  
12 or unsanitary working conditions, they will so report to the President Transportation Division and  
13 the National Legislative Director regarding Federal matters and to the State or District Legislative  
14 Director regarding State or District matters. They shall urge all members of the Transportation  
15 Division to qualify and vote in all elections. When called upon, they shall give all possible assistance  
16 to the President Transportation Division, National Legislative Director, State or District Legislative  
17 Director, and the officers of the State or District Legislative Boards, subject to the supervision of  
18 the Local.

**SECTION 67 - DUTIES OF THE LOCAL BOARD OF TRUSTEES**

1 The Local Board of Trustees shall supervise the financial affairs of the Local. Upon approval  
2 by the Local, the Board shall also have the authority to rent, lease, or purchase property, office  
3 equipment, or necessary supplies. Additionally, the Board shall assure that the Treasurer and other  
4 Local officers are bonded as required by Section 71.

5 The Board shall meet in the month of January of each year for the purpose of auditing the  
6 annual report of the Treasurer and verifying bank balances and cash on hand. If the Treasurer's  
7 annual report is found to be correct, the Board members shall endorse the report with their  
8 signatures, furnishing copies to the Local and the General Secretary-Treasurer.

**SECTION 68 - LOCAL ELECTIVE OFFICE OR POSITION DECLARED VACANT**

1 If any elected officer, Legislative Representative or Committeeperson of a Local becomes  
2 negligent in the performance of his/her duties and responsibilities as a Local representative, the  
3 Local may, after due deliberation, take action to notify him/her to appear at a designated meeting  
4 and show cause why his/her office or position should not be declared vacant. The notice must be in  
5 writing and will fully specify the complaints he/she will be required to answer. If he/she fails to  
6 respond to the notice or if the explanations offered for his/her negligence are unsatisfactory, the  
7 Local may, by majority vote of the members involved, present at the meeting, declare his/her office  
8 or position vacant, unless he/she invokes the trial procedure as set forth in Section 74 within fifteen  
9 (15) days from the date of the aforementioned notice.

**SECTION 69 - LOCAL APPOINTIVE OFFICE DECLARED VACANT**

1 If any appointed officer or committeeperson is negligent in performing his/her duties as a  
2 Local representative, the President of the Local may declare the office vacant and appoint a

3 successor at any regular meeting.

**SECTION 70 - VACATIONS – LOCAL OFFICERS AND COMMITTEEPERSONS**

1 Officers and Committeepersons employed by their Locals on a full-time basis shall be  
2 granted vacation with pay, consistent with the terms of the National Vacation Agreement, based  
3 upon earnings from their Local. Such vacations may be split but will not be carried over from one  
4 year to the next.

5 Officers and Committeepersons employed by their Locals on a part-time basis shall be paid  
6 the difference between the amount of vacation pay allowed by their carrier and the amount of  
7 vacation pay they would have received had their wages with the Local been earned with the carrier.  
8 However, if they do not work a sufficient amount of time with their carrier to qualify for a vacation,  
9 they shall be allowed a vacation with pay, consistent with the terms of the National Vacation  
10 Agreement, based upon their total earnings with the carrier and the Local.

11 Vacation allowances provided herein shall be paid by the Treasurer from the appropriate  
12 Local funds within ten (10) days after receipt of the vacation claim. This Section is intended to  
13 prevent any loss in vacation time and pay as a result of serving the Local.

**SECTION 71 - BONDING OF LOCAL OFFICERS**

1 For bonding see Article 5, Section 1 (c).

2 If a shortage in Local funds is found to exist or there is evidence that a shortage may exist,  
3 immediate notice with details and a statement of the evidence must be sent to the General Secretary-  
4 Treasurer by the President, Secretary, or other officers of the Local having such knowledge.

5 Auditors of the International will audit local records in such cases and attempt to collect any  
6 shortage that may exist.

**SECTION 72 - SURPLUS ASSETS OF LOCALS**

1 A Local may deposit surplus assets with the International. Locals making such deposits shall  
2 be responsible for the pro rata share of expenses incidental thereto.

3 The General Secretary-Treasurer shall be the custodian of such assets deposited. The  
4 deposits shall be placed in a suitable safety deposit box. At least two (2) members of the General  
5 Executive Council shall be present when assets are deposited or the deposit box is opened.

6 The General Secretary-Treasurer shall maintain a record of the deposits and attend to the  
7 collection of any income due thereon and remit same to the Local involved.

8 Assets deposited with the International must be shown by the Treasurer as part of the balance  
9 on hand to the credit of the Local. Deposits may be withdrawn at any time upon submitting to the  
10 General Secretary-Treasurer a resolution adopted by Local action, signed by the President and  
11 Treasurer, and bearing the Local seal.



**SECTION 73 - REVOKING OR SURRENDERING LOCAL CHARTERS**

1 The charter of a Local may be revoked by recommendation of the President Transportation  
2 Division, with approval of the General President in accordance with Article 3, Section 2(d), for  
3 any of the following reasons:

- 4 1. Improper conduct.
- 5 2. Neglecting or refusing to conform to the provisions of this Constitution or the  
6 Local's bylaws.
- 7 3. Neglecting or refusing to make required returns and reports.
- 8 4. Neglecting or refusing to hold at least one (1) regular meeting each month.
- 9 5. Neglecting or refusing to elect and install a successor to an officer who was  
10 removed from office.
- 11 6. Neglecting or refusing to bring an officer or member to trial when directed to do  
12 so by the General President and President Transportation Division.

13 No charter can be revoked until the President Transportation Division has given at least  
14 thirty (30) days written notice to the President and Secretary of the Local and the interested General  
15 Chairpersons of his intention to revoke the charter and an Transportation Division officer, or  
16 International Representative, has attended a designated regular meeting of the Local for the purpose  
17 of investigating the matter and giving the officers and members of the Local an opportunity to be  
18 heard.

19 A Local whose charter has been revoked shall be known as a defunct Local. All property,  
20 funds, and securities of a defunct Local shall automatically be vested in the Local(s) into which its  
21 members are transferred, on a pro rata basis, as of the date the charter is revoked. The President  
22 Transportation Division, subject to review by the General President and after consultation with the  
23 interested General Chairpersons, State or District Chairpersons, shall designate the Local(s) into  
24 which the defunct Local's members will be transferred consistent with such changes in jurisdiction  
25 as might become necessary as a result of closing the Local.

26 The officers of a defunct Local shall deliver to the General Secretary-Treasurer, within thirty  
27 (30) days after its charter is revoked, the charter, seal, and other supplies furnished by the  
28 International together with all funds, securities, and other effects of the Local. Local officers who  
29 fail to comply with the provisions of this paragraph shall be suspended from membership in  
30 SMART.

31 An expelled or suspended member whose Local is defunct may file application for  
32 admission into the Local then holding jurisdiction over his/her employment. Such application shall  
33 be treated and progressed as if it were an application for readmission under the provisions of Section  
34 51 of Article 21B.

35 Any Local wishing to surrender its charter may do so by majority vote of the members and  
36 shall notify the President Transportation Division, who will with approval of the General President,  
37 appoint a responsible representative to take full charge of the charter and all property of the Local  
38 for disposition in accordance with this Section.

ARTICLE TWENTY-ONE B (21B) – SECTION 74

**SECTION 74 - CHARGES AND TRIALS – OFFICERS, COMMITTEEPERSONS, AND MEMBERS OF LOCALS, GENERAL COMMITTEES OF ADJUSTMENT AND LEGISLATIVE BOARDS**

1 (a) Charges may be preferred against a Local officer, Committeeperson, or member for  
2 failure to fulfill the obligations and responsibilities imposed upon them by Article 21B and/or the  
3 bylaws of the Local. Charges not covered under Article 21B will be processed under the provisions  
4 of Article 17 and 18 of the SMART Constitution.

5 Charges must be made in writing and shall clearly specify the alleged offense(s) together  
6 with the section(s) of Article 21B and/or those portions of the Local bylaws, which it is alleged have  
7 been violated.

8 Charges must be signed by the party preferring them. He/she shall then forward the charges  
9 by certified mail to the Secretary of the Local in which the accused holds membership unless the  
10 alleged offense was committed under the jurisdiction of another Local, in which case the charges  
11 will be sent to the Secretary of that Local.

12 A Local officer or Committeeperson against whom charges have been preferred shall  
13 continue in office while under charges unless otherwise voted by the Local.

14 A member shall not be suspended for non-payment of dues while under charges. The Local  
15 Treasurer is authorized to pay such membership dues from the Local fund until the charges have  
16 been tried and determined. The money involved will be considered as a loan to the member, and  
17 unless repaid on or before a day designated by the Local, the member will be suspended for non-  
18 payment of dues.

19 The Local will consider the charges at its first regular meeting following their receipt by the  
20 Secretary of the Local, and unless charges are found to be completely lacking in substance or merit,  
21 the Local will accept the charges and authorize a trial.

22 A Trial Board consisting of five (5) members of the Local working in the craft of the accused  
23 shall be elected by the Local and the Trial Board shall elect from its members a Chairperson and a  
24 Secretary and proceed to try the case. Within three (3) days of their first meeting, the Secretary of  
25 the Trial Board shall send to the accused by certified mail a copy of the charges and notice of the  
26 date, time, and place of trial. The date selected for the trial must permit not less than fifteen (15)  
27 days' advance notice to both parties involved in the trial. The trial shall be held within thirty (30)  
28 days from the meeting at which the charges were presented.

29 The Secretary of the Trial Board shall send by certified mail the same information relative  
30 to the trial to the party preferring the charges along with instructions to attend the trial for the  
31 purpose of submitting evidence and testimony in support of the charges and to participate in cross-  
32 examination by or on behalf of the accused.

33 The majority of the Trial Board shall constitute a quorum and, in the absence of a quorum,  
34 no trial shall be held and the Trial Board will report the circumstances to the Local at its next  
35 meeting. If the Local elects to continue the trial, the Chairperson of the Trial Board will then set  
36 another date for the trial and notify all parties involved of the time, place, and date of the rescheduled  
37 trial, which shall be held within thirty (30) days.

38 No member of a Trial Board shall be directly or indirectly involved as a party, witness, or  
39 otherwise in the conduct giving rise to the charges preferred against the accused. In the event any  
40 of the members of a Trial Board are so involved, they shall be disqualified to sit and the Local shall  
41 elect a substitute member.

ARTICLE TWENTY-ONE B (21B) – SECTION 74

42 Each party to a trial shall have the privilege of designating any party, except a party  
43 involved in the charges or proceedings, to act as his/her representative or counsel in the trial  
44 proceedings.

45 The party preferring the charges shall deliver in writing to the Chairperson of the Trial Board  
46 a list of the names of witnesses which he/she intends to call in support of the charges. He/she shall  
47 furnish a copy of such list to the accused and shall also act as prosecutor in the case either in person  
48 or through his/her counsel or representative.

49 For good cause any party may request a postponement of the date set for trial. Such request  
50 shall be addressed to the Chairperson of the Trial Board and shall be subject to approval or rejection  
51 within the discretion of the members of the Trial Board. Such postponements shall not exceed ninety  
52 (90) days.

53 Should the accused fail to appear for trial after being notified as prescribed in the foregoing,  
54 should he/she appear but refuse to comply with the rules for the conduct of the trial prescribed by  
55 Article 21B, the Local bylaws, or the Trial Board, or should he/she engage in conduct designed to  
56 obstruct the trial, the Trial Board shall proceed to conduct the trial in his/her absence. The accused,  
57 the party preferring charges, counsel or other representative for either party, or any witnesses who  
58 are guilty of misconduct before the Trial Board shall be excluded thereafter from the trial  
59 proceedings and the trial shall continue in their absence.

60 The Trial Board shall arrange for a transcript of the trial proceedings. A copy of the transcript  
61 shall be furnished to each party without cost.

62 Both parties to the trial shall be given full opportunity to present any witnesses and all  
63 relevant evidence and exhibits which they deem necessary to a proper presentation of their case and  
64 shall be entitled to cross-examine witnesses of the other party. Should a witness be unable to attend  
65 any trial session of the Trial Board, the evidence of such witness may be taken in deposition form  
66 before a notary public or other civil officer authorized to administer oaths. Said deposition shall be  
67 admissible evidence at the trial proceedings provided the adverse party or his/her counsel is given  
68 the opportunity of being present and cross-examining the witness when the deposition is taken.

69 Before giving testimony, any witnesses who are members of SMART shall be required to  
70 make the following affirmation:

71 “Do you solemnly affirm upon your honor as a member of SMART that the  
72 evidence to be given by you in this case shall be the truth and nothing but the  
73 truth?”

74 All persons shall be excluded from trial sessions except the members of the Trial Board,  
75 parties to the trial and their counsel or representative, the witness who is testifying, and the reporter  
76 or person transcribing the testimony.

77 After all evidence has been presented and arguments made by all parties or their counsel, the  
78 Trial Board shall conclude the trial and, as soon as practicable, assemble in executive session for  
79 consideration of its decision.

80 The Trial Board shall render its decision in writing within fifteen (15) days following the date  
81 upon which the trial was concluded. If the accused is found guilty, the Trial Board shall fix the penalty  
82 to be assessed which shall be reprimand, removal from office, suspension, or expulsion from  
83 membership. Such decision shall contain a statement of the pertinent facts involved, the violations  
84 charged, and the penalty to be imposed if the verdict is one of guilt. Such decision and penalty shall  
85 be final and binding unless reversed or modified upon appeal as provided in Section 75 of Article  
86 21B.

ARTICLE TWENTY-ONE B (21B) – SECTION 74

87 The Trial Board shall forward copies of its decision by certified mail to the accused and the  
88 party preferring the charges. Copies shall also be mailed to the President Transportation Division,  
89 General Secretary-Treasurer, and the Secretary of the Local.

90 If suspension is the penalty prescribed by the Trial Board, such suspension will be for not  
91 more than two (2) months beginning with the first day of the month following the month in which  
92 the Trial Board renders its decision.

93 If removal from office and/or expulsion from membership is the penalty, such removal and/or  
94 expulsion shall become effective on the date the Trial Board's decision is delivered to the accused by  
95 certified mail.

96 If reprimand is the penalty, the accused shall be summoned to attend a regular meeting of  
97 the Local to be reprimanded by the President. If he/she fails to attend, the accused shall be suspended  
98 from membership until he/she does attend a meeting to receive the reprimand. If the failure to attend  
99 continues until the close of the month following the month in which the accused was summoned,  
100 he/she shall be expelled.

101 (b) Charges may be preferred against officers and members of General Committees of  
102 Adjustment or Legislative Boards for failure to fulfill the obligations and responsibilities imposed  
103 upon them by this Constitution and by their General Committee of Adjustment or Legislative Board.

104 Charges must be made in writing and shall clearly specify the alleged offense(s) together with  
105 the section(s) of Article 21B and/or those obligations and responsibilities which it is alleged have  
106 been violated.

107 Charges must be signed by the party preferring them. Said party shall forward copies by  
108 certified mail to the accused, the President Transportation Division, and the Secretary of the General  
109 Committee of Adjustment or Secretary of the Legislative Board as the case may be. The President  
110 Transportation Division shall promptly furnish copies of the charges to all members of the General  
111 Committee of Adjustment or Legislative Board involved.

112 If in the opinion of the majority of the members of the General Committee of Adjustment  
113 or Legislative Board the charges warrant trying the accused, the President Transportation Division  
114 shall give the accused and the party preferring the charges fifteen (15) days' notice prior to the  
115 convening of a Trial Board to try the accused. The Trial Board shall consist of not more than five  
116 (5) members appointed by the President Transportation Division from among those members of the  
117 General Committee of Adjustment or Legislative Board, as the case may be, who are not involved  
118 in the charges. The first named shall be chairperson. A majority of the Trial Board shall constitute  
119 a quorum. The Trial Board shall meet at the time and place chosen by the President Transportation  
120 Division, elect a Secretary, and proceed to try the case.

121 Each party to a trial shall have the privilege of designating any party, except a party involved  
122 in the charges or proceedings, to act as his/her counsel or representative in the trial proceedings.

123 The party preferring the charges shall deliver in writing to the Chairperson of the Trial Board  
124 a list of names of witnesses which he/she intends to call in support of the charges and shall furnish  
125 a copy to the accused. The accuser shall also act as prosecutor in the case either in person or through  
126 his/her counsel or representative.

127 Should the accused fail to appear for trial after notice as prescribed in the foregoing, should  
128 he/she appear but refuse to comply with the rules for the conduct of the trial prescribed by Article  
129 21B or the Trial Board, or should he/she engage in conduct designed to obstruct his/her trial, the  
130 Trial Board shall proceed to conduct the trial in his/her absence. The accused, the party preferring  
131 charges, counsel or other representative for either party, or any witnesses who are guilty of

ARTICLE TWENTY-ONE B (21B) – SECTION 74

132 misconduct before the Trial Board shall be excluded thereafter from the trial proceedings and the  
133 trial shall continue in their absence.

134 The Trial Board shall arrange for a transcript of the trial proceedings. A copy of the transcript  
135 shall be furnished to each party without cost.

136 Both parties to the trial shall be given full opportunity to present any witnesses and all  
137 relevant evidence and exhibits which they deem necessary to a proper presentation of their case and  
138 shall be entitled to cross-examine witnesses of the other party. Should a witness be unable to attend  
139 any trial session of the Trial Board, the evidence of such witnesses may be taken in deposition form  
140 before a notary public or other civil officer authorized to administer oaths. Said deposition shall be  
141 admissible evidence at the trial proceedings provided the adverse party or his/her counsel is given  
142 the opportunity of being present and cross-examining the witness when the deposition is taken.

143 Before giving testimony, any witnesses who are members of SMART shall be required to  
144 make the following affirmations:

145 “Do you solemnly affirm upon your honor as a member of SMART that the  
146 evidence to be given by you in this case shall be the truth and nothing but the  
147 truth?”

148 All persons shall be excluded from trial sessions except members of the Trial Board, parties  
149 to the trial and their counsel or representative, the witness who is testifying, and the reporter or  
150 person transcribing the testimony.

151 After all evidence has been presented and arguments made by all parties or their counsel,  
152 the Trial Board shall conclude the trial and, as soon as practicable, assemble in executive session  
153 for consideration of its decision.

154 The Trial Board shall render its decision in writing within fifteen (15) days following the  
155 date upon which the trial was concluded. If the accused is found guilty, the Trial Board shall fix the  
156 penalty to be assessed which shall be reprimand or removal from office. Such decision shall contain  
157 a statement of the pertinent facts involved, the violations charged, and the penalty to be imposed if  
158 the verdict is one of guilt. Such decision and penalty shall be final and binding unless reversed or  
159 modified upon appeal as provided in Section 75 of Article 21B.

160 The Trial Board shall forward copies of its decision by certified mail to the accused and the  
161 party preferring the charges. Copies shall also be mailed to the President Transportation Division,  
162 General Secretary-Treasurer, and members of the General Committee of Adjustment or Legislative  
163 Board.

164 If reprimand is the penalty, the President Transportation Division shall issue the reprimand  
165 in writing to the accused and furnish all members of the General Committee of Adjustment or  
166 Legislative Board a copy of the reprimand.

167 If removal from office is the penalty, such removal shall become effective of the date the  
168 Trial Board’s decision is delivered to the accused by certified mail. An officer or member thus  
169 removed may not again serve in any office of the Transportation Division except upon  
170 recommendation by the President Transportation Division and final approval of the General  
171 President.

ARTICLE TWENTY-ONE B (21B) – SECTION 75

**SECTION 75 - APPEALS**

**I – TO THE BOARD OF APPEALS**

1  
2 (a) An officer or member of a Local may appeal from an action or decision of a Local to the  
3 Board of Appeals, except as provided in paragraph (c) below. Such appeal shall be filed with the  
4 General Secretary-Treasurer within ninety (90) days from the date the action or decision occurred.

5 (b) A subordinate body may appeal an action or decision against it to the Board of Appeals,  
6 provided such appeal is filed with the General Secretary-Treasurer within ninety (90) days from the  
7 date the action or decision occurred.

8 (c) An officer or member of a Local may appeal from an action or decision of a Local  
9 Committee of Adjustment to the appropriate General Chairperson, provided such appeal is filed  
10 with the General Chairperson within ninety (90) days from the date the action or decision occurred.

11 (d) A Local or member of a Local may appeal from an action or decision of a General  
12 Chairperson to the General Committee of Adjustment, provided the appeal is filed within ninety  
13 (90) days from the date the action or decision occurred. Appeals to the General Committee of  
14 Adjustment must be filed with the Secretary of the General Committee and shall be acted upon not  
15 later than the next session of the General Committee of Adjustment.

16 (e) An appeal pending before a General Committee of Adjustment which has not been acted  
17 upon within ninety (90) days shall be referred by the Secretary of the General Committee of  
18 Adjustment to the Board of Appeals for a decision, provided the appellant makes a request to do so  
19 to the Secretary of the General Committee at least thirty (30) days prior to the date the Board of  
20 Appeals is scheduled to convene.

21 (f) An appeal from the decision of the General Committee of Adjustment may be made to  
22 the Board of Appeals provided the appeal is filed with the General Secretary-Treasurer within ninety  
23 (90) days from the date of the decision of the General Committee of Adjustment.

24 **II – TO THE BOARD OF DIRECTORS**

25 (a) A member or subordinate body may appeal to the Board of Directors from an  
26 interpretation of Article 21B made by the President Transportation Division, provided such appeal  
27 is filed with the General Secretary-Treasurer within ninety (90) days from the date the decision by  
28 the President Transportation Division was made. Decisions of the Board of Directors under this  
29 paragraph are subject to appeal to the General President under Article 19.

30 (b) Actions or decisions of Trial Boards may be appealed to the President Transportation  
31 Division, provided such appeal is filed with the General Secretary-Treasurer within ninety (90) days  
32 from the date on which the action or decision occurred. The General Secretary-Treasurer shall  
33 docket the appeal and present all papers relating to the appeal to the President Transportation  
34 Division.

35 The President Transportation Division will promptly render a decision on the appeal which  
36 shall be final and binding on all parties unless appealed to and reversed or modified by the General  
37 Executive Council. Appeals to the General Executive Council must be filed with the General  
38 Secretary-Treasurer within sixty (60) days from the date of the decision by the President  
39 Transportation Division. Decisions rendered by the General Executive Council on appeals referable

ARTICLE TWENTY-ONE B (21B) – SECTIONS 75-76-77

40 to the Council shall be final unless changed upon appeal to the SMART General Convention in  
41 accordance with Article 19.

42 **III – PROCEDURES**

43 In all appeals as provided herein the party whose action or decision is being appealed shall  
44 be allowed sixty (60) days from the date the appeal is filed to reply to the appeal.

45 All appeals must be in writing, contain the pertinent facts involved, and set forth the basis  
46 of the appeal. The parties involved in an appeal shall exchange copies of the appeal and the reply  
47 thereto, and all related correspondence. Copies of decisions involving appeals, will be in writing,  
48 contain the pertinent facts involved, provide the rationale leading to the decision and be furnished  
49 all interested parties.

**SECTION 76 - LOCAL RULES OF ORDER**

1 The Rules of Order of the International shall be used by the Local insofar as they can be  
2 made applicable. Parliamentary matters not specifically covered by said Rules of Order will be  
3 decided in accordance with the parliamentary principles contained in Robert’s Rules of Order,  
4 Revised.

**SECTION 77 - CONDUCT OF LOCAL MEETINGS**

1 Meetings of the Local shall be opened by the President, Vice President, Past President, or in  
2 their absence by any other officer or member with the following statement:

3 “I now declare this meeting of SMART Local No. \_\_\_\_\_ open for the transaction of  
4 such business as may properly come before it.”

5 The following order of business is suggested but Locals may alter the suggested order of  
6 business as necessary to suit their requirements:

- 7 1. Roll call of officers
- 8 2. Reading minutes of the previous meeting
- 9 3. Admission of new members
- 10 4. Treasurer’s report
- 11 5. Reports of officers and committees
- 12 6. Communications
- 13 7. Unfinished business
- 14 8. New business
- 15 9. Bills of allowance
- 16 10. Nomination of officers and committee persons
- 17 11. Election and installation of officers
- 18 12. Safety first
- 19 13. Way and means of improving SMART
- 20 14. Closing

ARTICLE TWENTY-ONE B (21B) – SECTIONS 78-79-80

**SECTION 78**

1 NOTE: The provisions of Section 78 were declared void by the Federal Court ruling in Civil Action  
2 No. 97-5732 (NHP) and the Section has been deleted from Article 21B per Section 38.

**SECTION 79 - CONSIDERATION OF GRIEVANCES**

1 Grievances must be reduced to writing, contain complete information on the subject matter  
2 and be submitted to the Local Committee of Adjustment holding jurisdiction. Grievances involving  
3 violations of the agreement, reinstatement, safety, or health and welfare shall be given prompt  
4 handling with local officials of the employer. A report by the committee will be made at the next  
5 meeting.

6 When grievances are being considered by a Local, only those members employed in the  
7 craft on the territory involved shall be permitted to vote, provided that at least five (5) such members  
8 must be present before any action can be taken. If more than one (1) craft is involved, the grievance  
9 shall be considered and determined separately by each craft.

10 In the absence of a collective bargaining agreement to the contrary, no grievance involving  
11 requests for reinstatement shall be accepted after the expiration of two (2) years from the date of  
12 dismissal. Any grievance involving positions and rank on seniority rosters shall be reviewed and  
13 corrected when and if factual evidence is presented to show an error in record keeping.

**SECTION 80 - PRESERVATION OF CRAFT AUTONOMY**

1 (a) Local working conditions of a craft over which a Local has jurisdiction may not be  
2 revised or changed unless authorized to do so by a majority of the votes cast by the members affected  
3 and working in the craft. Such a proposal will not be voted upon prior to the first meeting following  
4 that in which the proposition was presented. If more than one craft is affected, the issue shall be  
5 determined by a majority vote of each and every affected craft, i.e., in the event any one of the crafts  
6 affected rejects the issue, the matter shall remain unchanged.

7 (b) In the event twenty-five percent (25%) of the members working in a craft in the territory  
8 involved petition the Local to circulate a referendum ballot, on any issue to be voted upon involving  
9 a change in local working conditions in the territory over which the Local has jurisdiction, a  
10 referendum ballot will be circulated. Only those members assigned in the craft and working in the  
11 territory affected shall be permitted to vote. A majority of the votes cast shall determine the issue.  
12 An issue decided by referendum vote can be changed only by another referendum vote.

13 (c) General Committees of Adjustment, by a majority vote, may authorize the revision of  
14 general or system schedule rules, amend existing rules, or establish new rules, except, General  
15 Committees of Adjustment consolidated on or after date of unification with other General  
16 Committees of Adjustment and/or officers representing Transportation Division, assisting those  
17 General Committees of Adjustment, shall not revise or amend general or system schedule rules of  
18 any craft unless authorized to do so by a majority vote of the representatives of that craft on the  
19 General Committee.



ARTICLE TWENTY-ONE B (21B) – SECTIONS 80-81

20 (d) When voting on matters involving wages, rules, working conditions, or elections held  
21 in a Local meeting is by craft vote, the craft in which a member is entitled to vote shall be the craft  
22 in which he/she is assigned, irrespective of Local affiliation, at the time the vote is taken. In the  
23 event referendum procedures are used for such voting, the craft in which a member is entitled to  
24 vote shall be the craft in which he/she is assigned on the date prior to the date the ballots are mailed  
25 by the Secretary.

26 (e) The provisions of this Section may not be changed by the International Union, except  
27 upon the approval of a majority vote of the members of each of the crafts represented by the United  
28 Transportation Union, now SMART.

**SECTION 81 - LOCAL COMMITTEES OF ADJUSTMENT**

1 Each Local shall elect a Local Committee of Adjustment, consisting of a Chairperson, one  
2 or more Vice Chairpersons, and a Secretary. Additional Local Committees of Adjustment may be  
3 formed to represent members on a separate seniority district or when employed in a separate craft  
4 represented by the SMART Transportation Division. Such committeepersons must hold seniority  
5 rights in one of the crafts under the jurisdiction of the Local Committee.

6 The President Transportation Division may grant dispensation for the establishment of  
7 separate Local Committees of Adjustment for the members of a Local working in one of the various  
8 crafts represented by the SMART Transportation Division. Each Local Committee shall be  
9 maintained by dues and/or assessments levied upon the members under the jurisdiction of such  
10 committee.

11 When required, it shall be the duty of the Chairperson of the Local Committee of Adjustment  
12 to furnish the Treasurer of the Local and the interested General Chairpersons the names of non-  
13 members and members who have been taken out of service, or who have been returned to service.  
14 Additionally, the Chairperson of the Local Committee of Adjustment will assist in furnishing  
15 information to the Treasurer as to the names of employees working under the jurisdiction of his/her  
16 committee.

17 It shall be the duty of the Chairperson of the Local Committee of Adjustment to promptly  
18 handle claims and grievances when presented in accordance with Section 79. He/she shall be  
19 authorized to file claims and grievances including those where time has not been claimed, or where  
20 claims were incorrectly and/or improperly filed. He/she shall report on the handling of all claims  
21 and grievances at the next Local meeting.

22 Should the Local Chairperson fail to satisfactorily adjust any case presented, he/she may  
23 refer same to the General Chairperson with the complete facts and history of the case, including  
24 copies of correspondence exchanged with local officials.

25 It shall be the duty of the Vice Chairperson to handle matters referred to the Local  
26 Committee when so directed by the Chairperson. The Vice Chairperson of the Local Committee  
27 shall act as Chairperson when the Chairperson is unable to perform his/her duties, and in case of a  
28 permanent vacancy in the office, he/she shall act as Chairperson until the office is filled as provided  
29 in Section 57. When more than one Vice Chairperson is elected to a Local Committee of  
30 Adjustment, the Local Committee shall designate the Vice Chairperson who shall act as required  
31 by this paragraph.

32 Local Committees shall not take grievances to the general officers of an employer, except  
33 through the General Chairperson, and will not be permitted to enter into any agreement or

ARTICLE TWENTY-ONE B (21B) – SECTIONS 81-82

34 understanding or change an agreement or understanding unless approved and signed by the General  
35 Chairperson and the designated carrier representative.

36 Local Committees of Adjustment may consolidate their Committees under such terms and  
37 conditions as they deem appropriate, subject to approval by a majority of the members under the  
38 jurisdiction of each Committee involved.

39 In the event twenty-five percent (25%) of the members, working in a craft under the  
40 jurisdiction of a Local Committee of Adjustment, petition the Secretary of their Local to circulate a  
41 referendum ballot on a proposition of discontinuing their Local Committee of Adjustment and to be  
42 placed under the jurisdiction of another Committee in the same Local, the Secretary will circulate a  
43 ballot on the proposition among the members represented by each respective Local Committee of  
44 Adjustment. Upon approval by a majority vote of the members represented by each respective  
45 Committee, the Committee to be discontinued will, within fifteen (15) days from the date of such  
46 approval, make an orderly transfer of the property, funds, and files to the Committee assuming  
47 jurisdiction.

48 Compensation and expenses for members of the Local Committee shall be determined by  
49 the members of the Local under the jurisdiction of the Committee. The Local Committeeperson  
50 when authorized by the General Chairperson to perform service in connection with General  
51 Committee matters shall be compensated from the General Committee Fund.

**SECTION 82 - GENERAL COMMITTEE OF ADJUSTMENT**

1 The Chairperson of each Local Committee of Adjustment under the jurisdiction of a General  
2 Committee of Adjustment shall be a member of such General Committee of Adjustment. Local  
3 Chairpersons representing yardmasters only, may be members of the General Committee  
4 representing yard/switchpersons subject to approval of such General Committee.

5 The officers of a General Committee of Adjustment shall be a General Chairperson, one or  
6 more Vice Chairpersons, and a Secretary. The officers of a General Committee must hold seniority  
7 rights in one of the crafts under the jurisdiction of such General Committee.

8 Each General Committee of Adjustment shall hold a quadrennial meeting in January of  
9 1971. Beginning with this meeting, not more than two (2) officers of a General Committee shall be  
10 elected from any one craft when such Committee represents only two crafts, and not more than one  
11 officer from any one craft when such Committee represents more than two crafts, except by  
12 unanimous consent of the full General Committee.

13 If a Chairperson of a Local Committee of Adjustment is unable to attend a meeting of the  
14 General Committee, the Vice Chairperson of such Committee shall attend the meeting and represent  
15 his/her Local, but as such, he/she shall not be eligible for election as an officer of the General  
16 Committee, except that of General Chairperson. The absent Local Chairperson shall be eligible for  
17 election as an officer of the General Committee of Adjustment.

18 Incumbent officers and members of the General Committee, and any member holding  
19 seniority in the craft represented by the General Committee shall be eligible for election to the office  
20 of General Chairperson. Only members of the General Committee shall be eligible for election to  
21 the office of Vice General Chairperson, or Secretary of the Committee. A General Committee  
22 requiring the full-time services of a Vice General Chairperson or Secretary may re-elect such  
23 officers, without their being re-elected as Local Chairpersons. In such cases these officers may speak  
24 but shall have no vote in the General Committee.

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25 The officers of a General Committee shall be elected by secret vote of the members of the  
26 General Committee during the quadrennial meeting except as otherwise provided herein.

27 The Chairperson of a General Committee of Adjustment may be elected by referendum vote  
28 instead of by General Committee vote as provided for in the preceding paragraph if two-thirds (2/3)  
29 of the Local Chairpersons under the jurisdiction of a General Committee, prior to August 1  
30 preceding the year of the regular quadrennial meeting of the General Committee, vote for and advise  
31 the President Transportation Division in writing of their desire to have the Chairperson of that  
32 Committee elected by referendum vote.

33 Upon receipt of such request the President Transportation Division will notify the Locals  
34 under the jurisdiction of that General Committee that a referendum election for General Chairperson  
35 will be held.

36 Each Local under the jurisdiction of the General Committee, after giving at least fifteen (15)  
37 days prior notice thereof, shall schedule a meeting to be held prior to October 1, at which  
38 nominations for General Chairperson will be accepted.

39 Nominations for General Chairperson will only be accepted when a petition is signed by at  
40 least five (5) members holding seniority rights in one of the crafts and holding membership in one  
41 of the Locals under the jurisdiction of the General Committee. The petition shall be presented to the  
42 Secretary of the Local no later than the meeting scheduled to accept such nominating petitions.

43 The Local Secretary shall certify the names of candidates and forward same to the President  
44 Transportation Division by certified mail no later than ten (10) days after the nominating meeting.  
45 The President Transportation Division shall have prepared ballots placing the name of the  
46 incumbent candidate on the ballot first and the names of the other candidates in alphabetical order.  
47 The President Transportation Division shall send a ballot as described in Section 57 to each member  
48 entitled to vote no later than October 25. Only members employed under the jurisdiction of a  
49 General Committee of Adjustment shall be eligible to vote in the referendum election for the  
50 Chairperson of such Committee. The President Transportation Division shall request a list of  
51 eligible voters to be prepared by the General Secretary-Treasurer showing the names and addresses  
52 of the members paying General Committee assessments to that General Committee for the month  
53 of August preceding the election.

54 The President Transportation Division shall arrange for the General Secretary-Treasurer to  
55 receive and tabulate the ballots. The envelope addressed for the return of such ballot shall be  
56 addressed to the General Secretary-Treasurer and shall not be opened prior to the date set for  
57 tabulation.

58 The General Secretary-Treasurer will tabulate the ballots between November 10 and  
59 November 15 and shall notify the General Chairperson and candidates the date set for tabulating  
60 the ballots. Each candidate, or his/her representative, may witness the tabulation at his/her own  
61 expense.

62 The General Secretary-Treasurer shall immediately notify the President Transportation  
63 Division, General Chairperson, candidates, and each Local the results of the election.

64 The candidate receiving a majority of the votes cast shall be declared elected. If no candidate  
65 receives a majority on the first ballot, all but the two candidates receiving the largest vote will be  
66 dropped from the ballot, and a second election will be held in the same manner. In case of a tie, the  
67 General Secretary-Treasurer shall be governed by the applicable provisions of Section 57.

68 A defeated General Chairperson shall not sign system agreements unless they are also signed  
69 by the Vice Chairperson and Secretary of the General Committee. A defeated General Chairperson

ARTICLE TWENTY-ONE B (21B) – SECTON 82

70 shall not close out pending cases unless concurred in by the Vice Chairperson and Secretary of the  
71 General Committee.

72 The cost of referendum election for General Chairperson shall be considered as General  
73 Committee expense under Section 84, and such expense shall be paid by the General Secretary-  
74 Treasurer from the appropriate General Committee fund.

75 The Chairperson of each General Committee of Adjustment shall convene the full  
76 Committee between January 1 and May 31 following the completion of the quadrennial elections  
77 for Local Committees of Adjustment, or as soon thereafter as elections are completed, and  
78 quadrennially thereafter. Actions of the General Committee shall be retroactive to January 1 of that  
79 year. In the event the Chairperson fails to convene the Committee, the Committee shall be convened  
80 by the President Transportation Division on request of one or more Locals.

81 When the Chairperson has been elected by referendum vote, the results of the vote shall be  
82 announced on the first day of the quadrennial meeting and the Chairperson elected shall  
83 immediately assume the office.

84 A General Chairperson shall have no vote in General Committee meetings except in case of  
85 a tie vote on matters other than elections, the Chairperson will cast the deciding vote. In case of a  
86 tie vote in a Committee election after five (5) secret ballots the Chairperson shall then be permitted  
87 to vote.

88 Each General Committee of Adjustment may adopt its bylaws and procedures, establish  
89 salaries, set the amount of General Committee of Adjustment dues, and such other matters necessary  
90 for its operation, subject to the provisions of this Constitution.

91 The President Transportation Division may grant dispensation for the establishment of  
92 separate General Committees of Adjustment, for the various crafts represented by the SMART  
93 Transportation Division. Each such Committee shall be maintained by dues and/or assessments  
94 levied upon the members under the jurisdiction of such Committee.

95 If less than three (3) Locals are located on a property, the General Chairperson shall be  
96 elected by referendum vote.

97 A General Chairperson may not serve as Local Chairperson, except when there is only one  
98 Local Committee of Adjustment on a property, the Local Committee of Adjustment shall constitute  
99 the General Committee of Adjustment.

100 In Bus Department Locals where there is one Local on a property, General Committees of  
101 Adjustment and/or officers representing the SMART Transportation Division, shall not revise or  
102 amend general or system schedule rules unless authorized to do so by a majority of votes cast by  
103 the members of the craft under the jurisdiction of the General Committee.

104 The Secretary of the General Committee shall promptly notify the President Transportation  
105 Division in the event of a vacancy in the office of Chairperson. The President Transportation  
106 Division shall order an election, to be held in accordance with the provisions of this Section, to fill  
107 the vacancy. In the interim the Vice General Chairperson designated by the Committee at the time  
108 of his/her election will fill the vacancy.

109 Vacancies in the office of Vice General Chairperson or Secretary of the General Committee  
110 shall be filled by a majority vote of the General Committee, while in session, or by secret ballot  
111 conducted by mail as follows: The Chairperson will set a period in which any member of the  
112 Committee may nominate another member of the Committee to fill the office. When the period for  
113 making nominations has expired, the Chairperson shall prepare ballots, placing the names of the  
114 candidates in alphabetical order. He/she shall furnish ballots to each member of the Committee,  
115 with notification as to the date on which the ballots must be returned for tabulation. On the date

ARTICLE TWENTY-ONE B (21B) – SECTIONS 82-83

116 specified, the Chairperson, with the assistance of at least two members of the Committee or two  
117 officers of the nearest local, shall tabulate the ballots and certify the results to the Secretary of the  
118 General Committee. The Secretary of the General Committee shall report the results of the election  
119 to the Locals and members of the General Committee.

120 General Chairpersons or Executive Committee of General Committees of Adjustment may  
121 voluntarily consolidate their committees under such terms and conditions as they deem appropriate  
122 subject to approval by two-thirds (2/3) vote of the members of each General Committee of  
123 Adjustment involved and the President Transportation Division.

124 In the event twenty-five percent (25%) of the members working under the jurisdiction of a  
125 General Committee of Adjustment petition the Secretary of the General Committee to circulate a  
126 referendum ballot on a proposition of discontinuing the officers of their committee and  
127 consolidating their committee under the officers of another committee holding similar jurisdiction  
128 on the same property, the Secretary will prepare a ballot on the proposition to be circulated among  
129 the members involved by the Secretaries of the Locals involved. Upon approval by a majority vote  
130 of the membership represented by such committee, a referendum ballot will be circulated among  
131 the membership represented by the other General Committee of Adjustment involved in the  
132 consolidation. Upon approval by a majority vote of the membership under the jurisdiction of such  
133 other committee, the officers of the committee being dissolved will, within sixty (60) days, make  
134 an orderly transfer of the property, funds, and files to the committee having jurisdiction.

135 If as a result of the referendum vote it is the desire of the membership represented by the  
136 two General Committees to consolidate, a referendum election will be held as provided in this  
137 Section to elect a General Chairperson from the two incumbent General Chairpersons. The defeated  
138 General Chairperson will become an Assistant General Chairperson of the consolidated General  
139 Committee and shall be placed in no worse position with respect to salary or compensation. His/her  
140 term of office as Assistant will expire at the same time as the General Chairperson at the next  
141 quadrennial meeting.

142 Nothing in this Section shall prevent a General Committee from providing a residence  
143 property settlement, moving expense, and transfer allowance for a General Chairperson who is  
144 required by the consolidated General Committee to relocate his/her residence as a result of a  
145 consolidation of General Committees.

**SECTION 83 - RETIRED MEMBERS**

1 Members of the United Transportation Union, now SMART, retired from service on account  
2 of age shall be assessed International dues of Seventy-Five Cents (\$0.75) per month, to be collected  
3 in a manner prescribed by the President Transportation Division. Retired members paying the  
4 Seventy-Five Cents (\$0.75) per month assessment shall be members of the United Transportation  
5 Union Alumni Association so long as such dues are paid. Provided, however, that the President  
6 Transportation Division with the concurrence of the Board of Directors may increase the dues from  
7 Seventy-Five Cents (\$0.75) per month to One Dollar (\$1.00) per month.

8 Payment of the aforementioned dues by retired members shall in no way affect the voting  
9 rights of such retired members of SMART Transportation Division.

ARTICLE TWENTY-ONE B (21B) – SECTIONS 84-85

**SECTION 84 - GENERAL COMMITTEE FINANCING**

1 Each General Committee of Adjustment must maintain a fund sufficient to pay all salaries  
2 and expenses necessary for the maintenance of the Committee through assessments upon the  
3 members under their jurisdiction.

4 The General Committee fund shall be deposited with the President Transportation Division.  
5 The General-Secretary Treasurer shall use such funds to pay the salaries, expenses, and other  
6 allowances necessary for the maintenance of the General Committee. The General Secretary-  
7 Treasurer shall furnish each interested Local Treasurer and the General Chairperson a monthly  
8 report showing the division of dues and assessments.

9 Dues, assessments, salaries, expenses, and other allowances established for the maintenance  
10 of General Committees, and in effect as of the effective date of unification, shall remain in effect,  
11 subject to change as provided herein.

12 Any proposition to establish or abolish full-time salaried officers of a General Committee  
13 or to increase or decrease dues, assessments, personal expense, or other allowances established for  
14 the maintenance of General Committees shall be submitted to the members of the General  
15 Committee while in session or by mail by the appropriate officer of the General Committee setting  
16 forth the specific change and effective date of such change. Such proposition must be approved by  
17 a majority vote of the members of the General Committee before being made effective.

18 All reasonable and proper expenses of a General Committee, officers, or member thereof  
19 when in the service of a General Committee shall be allowed as expense of the General Committee.  
20 An itemized statement of expenses incurred, with receipts for all items in excess of \$24.00, and any  
21 amount due for services rendered shall be submitted to the Chairperson of the General Committee.  
22 When such statements are approved they shall be submitted to the General Secretary-Treasurer for  
23 prompt payment. A copy of all such statements shall be furnished to the Secretary of the General  
24 Committee.

25 Where not otherwise provided for, the General Chairperson may rent office space, purchase  
26 office equipment, and employ such clerical assistance as necessary, when authorized to do so by a  
27 majority vote of the General Committee in session or by mail vote between sessions.

**SECTION 85 - DUTIES OF GENERAL COMMITTEES OF ADJUSTMENT**

1 General Committees of Adjustment shall have authority to make and interpret agreements  
2 with representatives of transportation companies covering rates of pay, rules, or working conditions  
3 – subject to membership ratification in accordance with the provisions of this Article.

4 General Committees shall investigate all matters properly submitted to them and shall have  
5 the authority to alter, amend, add to, or strike out any part, or all, of any matter submitted to them.

6 In the event a matter cannot be satisfactorily adjusted, the General Chairperson may request  
7 the assistance of the President Transportation Division. Upon receipt of such request, the President  
8 Transportation Division or his/her representative shall meet with the General Chairperson, renew  
9 efforts to obtain a satisfactory adjustment of the matter and shall be vested with the same authority  
10 held by the General Committee to progress the matter to a conclusion. Any system or local  
11 adjustments agreed to by the President Transportation Division or his/her representatives, shall be  
12 subject to a majority vote of Local Chairpersons affected.

ARTICLE TWENTY-ONE B (21B) – SECTIONS 85-86

13 In the event the President Transportation Division or his/her representative and the  
14 Committee are unable to reach a satisfactory adjustment of the matter, the President Transportation  
15 Division may recommend to the General President who may order a strike on all or any portion of  
16 the company involved. Such strike action under this Section must be authorized by a two-thirds  
17 vote of the members of the General Committee. Such vote may be taken by wire, mail, or personal  
18 contact with written confirmation as the General Chairperson may direct.

19 Between sessions of the General Committee of Adjustment, the Chairperson of such  
20 Committee shall exercise all rights, privileges, and authority vested in the General Committee,  
21 except as otherwise directed by the General Committee while in session, subject to the membership  
22 ratification provisions of this Section.

23 The General Chairperson must poll the entire membership holding seniority and working in  
24 the craft involved on the property by mail referendum ballot prior to signing any system agreements  
25 and be governed by the majority of the votes cast.

26 Upon completion of the balloting for a system agreement, the General Chairperson will  
27 prepare a report designating the result of the vote. A copy of the report shall be submitted to each  
28 affected Local within 30 days after the close of balloting.

29 The General Chairperson must poll the affected Local Chairpersons prior to signing any  
30 local agreement and be governed by the majority of the votes cast.

31 Upon completion of the balloting for a local agreement, the General Chairperson will  
32 prepare a report designating the result of the vote of each Local Committee. A copy of the report  
33 shall be submitted to each affected Local within thirty (30) days after the close of the balloting.

34 A General Committee may elect from its members a sub-committee and vest such committee  
35 with authority of the General Committee of Adjustment to adjust such matters as may be assigned  
36 to it by the General Committee. The Chairperson of the General Committee shall be Chairperson of  
37 all such subcommittees.

38 General Committees or subcommittees of General Committees of Adjustment making  
39 settlement of matters referred to them shall, within thirty (30) days of such settlement, notify the  
40 interested Local Chairpersons and Secretary of the Locals in which the matters originated of the  
41 action taken.

42 Actions or decisions of a General Committee shall be binding upon the members and Locals  
43 under the jurisdiction of such General Committee unless reversed or modified upon appeal as  
44 provided in Section 75 of Article 21B.

(NOTE: Delegates moved that this Section 85 would be interpreted that a SMART  
Engineer working under another union's agreement would vote as a fireman  
on any agreement involving firemen.)

**SECTION 86 - VACATIONS – GENERAL COMMITTEEPERSONS**

1 Full-time officers and employees of General Committees of Adjustment shall be granted  
2 vacation with pay, consistent with the terms of the National Vacation Agreement, based upon  
3 earnings from their Committee. Such vacations may be split if desired but will not be carried over  
4 from one year to the next.

1 Officers and members of General Committees employed on a part-time basis shall be paid  
2 the difference between the amount of vacation pay allowed by their carrier and the amount of

ARTICLE TWENTY-ONE B (21B) – SECTIONS 86-87-88-89

3 vacation pay they would have received had their wages with the General Committee been earned  
4 with the carrier, except, if they do not work a sufficient amount of time with their carrier to qualify  
5 for a vacation, they shall be allowed a vacation with pay, consistent with the terms of the National  
6 Vacation Agreement, based upon their total earnings with the carrier and the General Committee.

7 Vacation allowances provided herein shall be paid by the General Secretary-Treasurer from  
8 the General Committee fund upon approval of the vacation claim by the General Chairperson and  
9 Secretary of the General Committee. This Section is intended to prevent any loss in vacation time  
10 and pay as a result of serving the General Committee.

**SECTION 87 - CHAIRPERSON OF GENERAL COMMITTEE**

1 The Chairperson of a General Committee of Adjustment shall be its executive head, preside  
2 over all meetings, and exercise general supervision over its affairs and interests.

3 The Chairperson shall furnish a quarterly report of his/her activities to all Local  
4 Chairpersons and Locals under his/her jurisdiction and use such other means  
5 as necessary to keep the membership well informed. He/she shall attach to the report an itemized  
6 statement of receipts and disbursements of his/her Committee which shall be furnished by the  
7 General Secretary-Treasurer in sufficient number for distribution with this report.

8 The Chairperson shall convene the General Committee upon request of a member of said  
9 Committee provided, (1) a two-thirds (2/3) majority of the Committee concurs in such request and  
10 (2) sufficient funds are available.

11 The Chairperson shall perform such other duties as may be required by the General  
12 Committee and this Constitution.

**SECTION 88 - VICE CHAIRPERSONS OF GENERAL COMMITTEE**

1 The Vice Chairpersons of a General Committee of Adjustment shall act for or on behalf of  
2 the Chairperson when so directed by the Chairperson. They shall perform such other duties as may  
3 be delegated to them by the General Committee of Adjustment.

**SECTION 89 - SECRETARY OF GENERAL COMMITTEE**

1 The Secretary of a General Committee of Adjustment shall keep a record of the proceedings  
2 of each meeting. He/she shall issue notices of meetings when so directed by the General  
3 Chairperson. He/she shall have charge of the books and papers of the Committee pertaining to this  
4 office. The Secretary shall prepare and furnish the President Transportation Division, General  
5 Secretary-Treasurer, each Local Chairperson and each Local Secretary a copy of the proceedings of  
6 the Committee within twenty (20) days of the close of each session. He/she shall notify the President  
7 Transportation Division and General Secretary-Treasurer the names and addresses of the General  
8 Committee immediately following their election.

9 The Secretary shall perform such other duties as might be required by the General  
10 Committee and this Constitution.



ARTICLE TWENTY-ONE B (21B) – SECTIONS 90-91

**SECTION 90 - MERGERS, LEASES, COORDINATIONS, ETC.**

1           When, through lease, purchase, merger, consolidation or other cause, a line or lines of a  
2 carrier or a portion thereof is taken over by another carrier or where, because of establishment of a  
3 new line by an existing carrier or for other reasons, traffic is permanently diverted from one carrier  
4 to another or from one road and/or yard seniority district to another on the same carrier and such  
5 affects the seniority rights of employees on such carriers, General Committees of Adjustment shall  
6 arrange for a fair and equitable division of the work. Prior seniority rights of employees to service  
7 on their former seniority district or territory shall be preserved to the extent possible. Permanently,  
8 as used herein, is intended to mean some reasonable degree of regularity in excess of thirty (30)  
9 days.

10           General Committees shall give consideration to all factors involved, including but not  
11 limited to hours worked, cars and tonnage handled where applicable, and mileage of operations on  
12 each seniority district or territory involved prior to the change in operation, consolidation, or the  
13 diversion or re-routing of traffic.

14           In circumstances in which a new line is established by a carrier and no seniority rights exist,  
15 employees from the line from which traffic is diverted, will be transferred to the new line in equal  
16 percentage to the mileage of the traffic diverted from the old line. If the portion of line transferred  
17 is insufficient in extent to constitute a separate seniority district, the employees taken over therewith  
18 in the merger may be placed on the roster of the proper seniority district to which the merged line  
19 is attached with seniority on such roster in their respective classes from a date not later than the date  
20 of merger.

21           In applying this Section to bus lines, the Transportation Division and its Legislative  
22 Department will intervene with the STB for a reservation of jurisdiction, for the imposition of  
23 conditions, for at least three (3) years for employees who may be adversely affected.

24           Disputes arising under this Section which cannot be resolved by the General Committee or  
25 General Committees shall be referred to the President Transportation Division. The President  
26 Transportation Division shall promptly assign an officer to assist the General Committee or General  
27 Committees involved in resolving the dispute. Failing to resolve the dispute the officer shall make  
28 a complete report and recommendation to the President Transportation Division who, in turn, shall  
29 decide the dispute.

30           Any Local or member of a Local affected by action or decision of a Chairperson, or General  
31 Committee, or by the decision of the President Transportation Division with respect to this Section  
32 may appeal such action or decision to the Board of Appeals, provided such appeal is filed with the  
33 General Secretary-Treasurer within ninety (90) days from the date of the action or decision. The  
34 Chairperson, General Committee, or President Transportation Division, as the case may be, shall be  
35 allowed thirty (30) days from the date the appeal is filed in which to reply to the appeal. The parties  
36 involved in an appeal shall exchange copies of the appeal and reply to the appeal.

**SECTION 91 - ASSOCIATION OF GENERAL CHAIRPERSONS**

1           The Chairpersons of the General Committees in each district, as hereinafter set forth, shall  
2 form an Association of General Chairpersons, each to function independently of the other, for the  
3 purpose of formulating concerted movements relating to wages, rules, and working conditions of  
4 transportation service employees in their district.

ARTICLE TWENTY-ONE B (21B) – SECTION 91

5 District No. 1 shall include all rail lines in the United States.

6 District No. 3 shall include all bus lines in the United States.

7 All General Chairpersons on properties where the combined membership represented by  
8 SMART Transportation Division is one hundred (100) or more, shall be members of the Association  
9 of General Chairpersons in their respective districts as outlined above. Where the combined  
10 membership represented by SMART Transportation Division on a property is less than one hundred  
11 (100) the General Chairpersons on that property shall elect one of their group to be a member of the  
12 Association in their respective districts. Members of the Association of General Chairpersons shall  
13 attend all meetings of their Association and represent their committees with pay and proper  
14 expenses to be paid from the General Fund of the International.

15 The President Transportation Division shall convene the General Chairpersons during the  
16 year 1969 for the purpose of organizing the Association in each district. Each district shall elect, by  
17 secret ballot, a Chairperson, a Vice Chairperson, and a Secretary to serve as officer of their  
18 Association.

19 Following the reorganization of General Committees in 1971, and quadrennially thereafter,  
20 the President Transportation Division shall convene the Association of General Chairpersons for  
21 the purpose of reorganizing and electing officers.

22 Each Association shall adopt bylaws for its special government consistent with the  
23 provisions of this Constitution.

24 The Association will be convened by the President Transportation Division whenever  
25 necessary and will be convened by him when a majority of the General Chairpersons within a district  
26 of the Association request a special meeting, provided the requests are uniform in object and purpose  
27 and the meeting is limited to subjects over which the Association has jurisdiction.

28 In any general or concerted wage-rules movement, members in an Association cannot  
29 withdraw support of a movement which has been approved by a two-thirds (2/3) vote of the  
30 members of an Association, unless sanction thereto is given by a majority vote of eligible members  
31 of the Association and approved by the President Transportation Division. In the event any  
32 transportation company refuses to be represented by the conference committee representing the  
33 companies in a general or concerted movement, the President Transportation Division may exclude  
34 the General Committee on such company from participation in the movement.

35 A strike may be authorized by the President Transportation Division, with approval of the  
36 General President, in support of a general or concerted wage-rules movement, provided such action  
37 under this Section is approved by a two-thirds (2/3) vote of the members in any Association. Such  
38 vote may be taken as the President Transportation Division may direct by mail, wire, or while in  
39 session.

40 In any general or concerted wage-rules movement the President Transportation Division  
41 shall appoint a negotiating committee representative of each of the former organizations and crafts  
42 represented by them, which are involved in the movement. The negotiating committee shall assist  
43 in the prosecution of the wage-rules movement as directed by the President Transportation Division.

44 When in the judgment of the President Transportation Division and the negotiating  
45 committee a final offer of settlement has been received, the offer with the committee's  
46 recommendation shall be submitted by referendum to the Membership of the crafts involved in the  
47 movement for their acceptance or rejection. Following receipt of the offer of settlement, each  
48 General Chairperson shall have fifteen (15) days to submit questions pertaining to the offer. The  
49 negotiating committee will, consolidate the submitted questions into a single, uniform list. When  
50 the answers to these questions are determined by the negotiating committee and the carriers'

ARTICLE TWENTY-ONE B (21B) – SECTION 91-92

51 representatives, the agreed-upon questions and answers will be distributed to the General  
52 Chairpersons and made a part of the offer of settlement.

53 A majority of the members voting of each of the crafts to be covered or affected by the terms  
54 of the proposed agreement shall be required to ratify the offer of settlement.

55 The terms of the settlement shall be submitted, by the President Transportation Division, to  
56 each Local involved in the movement, in sufficient quantity to permit circulation to the membership,  
57 and/or the terms may be mailed to each member in a special edition of the SMART Transportation  
58 Division News. Recommendations of the President Transportation Division and/or Negotiating  
59 Committee may be included along with a digest or summary of the provisions of the settlement.

60 The Board of Directors shall establish and publish procedures for the conduct of referendum  
61 elections which shall thereafter be contained as an appendix to this Section; guaranteeing each  
62 affected member the right-to-vote on wages, rules and working conditions.

63 Voting and tabulation of the results must be completed within twenty-one (21) days from  
64 the date the proposal is dispatched or presented by the President Transportation Division. The final  
65 result and tabulation of voting shall be furnished to each Local involved in the movement and shall  
66 be printed in the SMART Transportation Division News.

APPENDIX: Agreements shall be sent via first-class mail in an envelope marked  
“Important – Agreement and Ballot Enclosed”. The ballot will be a  
self-addressed, postage paid postcard that will contain space for the  
member to print name, Local number and railroad employer, or a  
telephone electronic voting system supervised by the American  
Arbitration Association or similar neutral organization.

**SECTION 92 - STRIKES**

1 When a strike has been inaugurated by SMART Transportation Division, the President  
2 Transportation Division, shall be the recognized leader and shall have authority, in conjunction  
3 with the General President, to appropriate from the Strike Fund such money for legal assistance  
4 and incidental expenses as may be required for a successful prosecution of the strike.

5 Every member of SMART shall be duty bound to comply with strike orders of SMART. A  
6 member who neglects or refuses to do so and who takes the place or performs the duties of a striking  
7 member shall be expelled from SMART upon conviction thereof under the trial procedures of  
8 Article 21B.

9 Any member of SMART who crosses the picket lines of SMART, or the picket lines of any  
10 other railroad union(s) that SMART has recognized as on legal authorized strike, for the purpose of  
11 assisting the struck carrier(s) by rendering service, consultation, or advice for wages, profit, gain,  
12 or gratis, will pay a fine of One Thousand Dollars (\$1,000.00), and in addition to such fine if such  
13 member received any wages, compensation, or remuneration for time, expense, consultation, for  
14 service rendered to such carrier(s) during the strike that member will also be fined Two Dollars  
15 (\$2.00) for every One Dollar (\$1.00) received upon conviction thereof under the trial procedures of  
16 Article 21B.

17 The cost and expense of such trials will be borne solely by the Locals conducting the trials  
18 and all fines collected by such Locals will be retained by the Local conducting the trial.

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19 Any member of SMART who participates in a strike which has not been legally authorized  
20 shall be expelled from SMART upon conviction thereof under the trial procedures of Article 21B.

21 The President Transportation Division and the General Committee of Adjustment, may  
22 terminate a strike. In the event of a difference of opinion between the President Transportation  
23 Division and the General Committee of Adjustment as to the advisability of terminating a strike,  
24 the matter shall be referred to the General President for a final decision. The discontinuance of strike  
25 benefits shall be decided by the General President.

26 Strikes inaugurated by the SMART Transportation Division – Members of crafts represented  
27 by the SMART Transportation Division who engage in a strike inaugurated by the SMART  
28 Transportation Division, including striking members who were employed under the jurisdiction of  
29 another organization, will be paid strike benefits as provided herein beginning with the third day  
30 following the day on which the strike was inaugurated.

31 Strikes inaugurated by other recognized Unions – Members of the SMART Transportation  
32 Division, who are unemployed due to any recognized union representing employees of the railroads  
33 or other transportation companies being on legal strike, shall be allowed strike benefits for a period  
34 not to exceed sixty (60) days, as though they were active participants in the strike. However, the  
35 sixty (60) days limit may be extended in unusual circumstances determined by the President  
36 Transportation Division and General President.

37 Qualifications – In order to qualify for strike benefits, members must withdraw from service  
38 at the outset of the strike, register each day, and perform or be available to perform picket duty as  
39 required by the Local having jurisdiction. A member must verify his/her correct address and Social  
40 Security number with the Local Treasurer for the purpose of mailing benefit checks.

41 Strike benefits will be One Hundred Dollars (\$100.00) per day and will not exceed a  
42 maximum of Twelve Hundred Dollars (\$1,200.00) per month.

43 Strike benefits shall be allowed for a period not to exceed one hundred twenty (120) days  
44 as the result of one strike. However, the one hundred twenty (120) days' limit may be extended in  
45 unusual circumstances determined by the President Transportation Division and General  
46 President, except as specified in lines 23-29.

47 Procedures for claiming benefits – Upon inauguration of a strike by the SMART  
48 Transportation Division or upon authorization of strike benefits to SMART Transportation Division  
49 members in the case of a recognized Union representing employees of railroads or other  
50 transportation companies being on legal strike, the President Transportation Division shall notify  
51 the General Secretary-Treasurer accordingly.

52 Upon receipt of such notification, the General Secretary-Treasurer shall prepare a list, in  
53 duplicate, and furnish each local involved, showing all members of each local having jurisdiction  
54 over striking members or members who may be affected by a legal strike or a recognized union as  
55 they appear on monthly billing including home addresses, space for Social Security number or social  
56 insurance number, occupation and length of service which shall be identified as Form #1. The  
57 President and Treasurer of each Local shall complete Form #1 by filling in the required blanks and  
58 return one (1) copy to the General Secretary-Treasurer within ten (10) days. Form #1 must be signed  
59 by the President and Treasurer of the Local and notarized by a notary public.

60 Upon receipt of Form #1, the General Secretary-Treasurer shall prepare a strike payroll, in  
61 duplicate, from the information contained therein. The strike payroll shall be known as Form #2 and  
62 shall list striking members in alphabetical order with space provided for number of days for which  
63 payment is claimed and amount of payment due. The Local Treasurer will fill in the spaces as  
64 indicated noting any changes from Form #1, i.e., additions, deletions, E-49, sick or injured, vacation,

ARTICLE TWENTY-ONE B (21B) – SECTIONS 92-93

65 death, retired, or members who, for any reason, are not available for picketing or other duties  
66 required by the Local. The Treasurer shall return the completed Form #2 on the 15<sup>th</sup> and the last day  
67 of the month. The Local seal must be affixed to all payrolls.

68 Upon receipt of strike payrolls, the General Secretary-Treasurer, when directed by the  
69 President Transportation Division, shall issue checks drawn upon the strike fund for payment. The  
70 General Secretary-Treasurer will mail strike benefits to all members entitled to receive benefits,  
71 whose proper address appears on Form #1. A copy of Form #2 will be mailed to the Local Treasurer.

72 In the event of a general strike by the SMART Transportation Division or by other unions  
73 representing employees of railroads or other transportation companies, the General President may  
74 suspend all strike benefits if such action becomes necessary for the protection of SMART funds.

75 When a strike of any other nationally recognized labor organization is in effect and danger  
76 to the safety of our members exists in or about the area affected by the strike, and/or if there exists  
77 any substantial present or potential threat of danger to the members enroute to or from their work,  
78 and/or to the members' families, it is the policy of SMART to support its members in declining to  
79 enter the territory directly affected.

**SECTION 93 - LEGISLATIVE DEPARTMENT**

1 The Legislative Representatives of each Local in each State and in the District of Columbia,  
2 shall form a State or District Legislative Board for the purpose of protecting the legislative interests  
3 of the members under its jurisdiction.

4 Officers of a State or District Legislative Board shall be a Chairperson, one or more Vice  
5 Chairpersons, Secretary and/or Treasurer where required by state law, and a State or District  
6 Legislative Director, an Assistant State Legislative Director where desired by the State Legislative  
7 Board. Such officers shall also be the Executive Committee of such State or District Legislative  
8 Board.

9 In states where prior to the first reorganization meeting in 1972 there were salaried State  
10 Legislative Directors on a full-time basis, the Board shall retain such positions; provided, any  
11 proposition to establish or abolish a salaried State Legislative Director, or Assistant Legislative  
12 Director on a full-time basis must be approved by a two-thirds (2/3) majority vote of the members  
13 of the Legislative Board.

14 Beginning in 1972 the Chairperson of each State and District Legislative Board shall  
15 convene the full Legislative Board not later than May 31<sup>st</sup> following the completion of the  
16 quadrennial elections for Local Legislative Representatives for reorganizational purposes which  
17 shall include the election of officers and adoption of bylaws and procedures.

18 Beginning with the first reorganization meeting not more than two (2) officers of the  
19 Executive Committee shall be elected from any one craft except by a two-thirds (2/3) vote of the  
20 Legislative Board.

21 Incumbent officers and members of the Legislative Board shall be eligible for election to  
22 the offices of the Executive Committee.

23 Nothing in this Section shall prevent a Legislative Board from providing a residence  
24 property settlement, moving expenses and transfer allowance for a Legislative Director who is  
25 required by the consolidated Legislative Board to relocate his/her residence as a result of a  
26 consolidation of State Legislative Boards.

ARTICLE TWENTY-ONE B (21B) – SECTIONS 93-94

27 If the Local Legislative Representative is unable to attend a meeting of the full State or  
28 District Legislative Board, the Alternate Legislative Representative of such Local shall attend the  
29 meeting and represent his/her Local.

30 The officers of the Executive Committee and Alternate State Legislative Director shall be  
31 elected by secret ballot of the members of the Legislative Board during the quadrennial meeting  
32 except as otherwise provided herein.

33 The candidate receiving a majority of the votes cast shall be declared elected. If no candidate  
34 receives a majority on the first ballot, all but the two candidates receiving the largest vote will be  
35 dropped from the ballot, and a second election will be held in the same manner.

36 Officers of the Executive Committee shall assume the duties of their offices upon election  
37 and their term of office shall extend for four (4) years.

38 The Director will cast the deciding vote in case of a tie vote on matters other than elections.  
39 In case of a tie vote in elections, after the fifth secret ballot, the Director shall then be permitted to  
40 vote.

41 In states where there is a Legislative Director and an Assistant Legislative Director, a  
42 vacancy in the office of Legislative Director shall be filled by the Assistant Legislative Director. A  
43 vacancy in the office of Assistant Legislative Director shall be filled by the Alternate Legislative  
44 Director. Any other vacancy shall be filled by a majority vote of the Legislative Board in accordance  
45 with the Board's bylaws and procedures.

46 The Chairperson of each State or District Legislative Board shall preside over all meetings.  
47 He/she shall, through the Secretary of the Legislative Board, issue the necessary summons to  
48 convene the Executive Committee and/or Legislative Board as required by Article 21B and at such  
49 other times as may be necessary.

50 Each State or District Legislative Board may adopt its bylaws and procedures, including the  
51 filling of vacancies, establishing salaries, setting the amount of Legislative Board dues, and such  
52 other matters necessary for its operation, subject to the provisions of Article 21B.

**SECTION 94 - STATE OR DISTRICT LEGISLATIVE BOARD FINANCING**

1 Each State or District Legislative Board must maintain a fund sufficient to pay all salaries  
2 and expenses necessary for the maintenance of such State or District Legislative Board through  
3 assessments levied upon the members under their jurisdiction.

4 The State or District Legislative Board funds shall be deposited with the President  
5 Transportation Division. The General Secretary-Treasurer shall use such funds to pay the salaries,  
6 expenses, and other allowances necessary for the maintenance of the State or District Legislative  
7 Board.

8 Dues, assessments, salaries, expenses, and other allowances established for the maintenance  
9 of State or District Legislative Boards and in effect as of January 1, 1969, shall remain in effect  
10 subject to change as provided herein.

11 Any proposition to increase or decrease dues, assessments, personal expense, or other  
12 allowances established for the maintenance of the State or District Legislative Boards shall be  
13 submitted to the members of the State or District Legislative Board while in session or by mail by  
14 the Secretary of the State or District Legislative Board setting forth the specific change and effective  
15 date of such change. Such proposition must be approved by a majority vote of the members of the  
16 State or District Legislative Board before being made effective.

ARTICLE TWENTY-ONE B (21B) – SECTIONS 94-95

17 All reasonable and proper expenses of a State or District Legislative Board, Executive  
18 Committee, or officer or member thereof when in the service of a Legislative Board shall be allowed  
19 as expense of the Legislative Board. An itemized statement of expenses incurred, with receipts for  
20 all items in excess of \$24.00, and any amount due for services rendered shall be submitted to the  
21 Director of the Legislative Board. When such statements are approved they shall be submitted to  
22 the General Secretary-Treasurer for prompt payment. A copy of all such statements shall be  
23 furnished to the Secretary of the Legislative Board.

24 The State or District Legislative Director may rent office space, purchase necessary office  
25 equipment, and employ such clerical assistance as necessary when authorized to do so by a majority  
26 vote of the Board in session or by mail vote between sessions.

**SECTION 95 - DUTIES OF STATE OR DISTRICT LEGISLATIVE BOARDS**

1 The Executive Committee of each State or District Legislative Board may be convened by  
2 the Director at least forty-five (45) days, where possible, prior to each primary and general election,  
3 for the purpose of endorsing candidates for State offices and to make recommendations for  
4 candidates for the U.S. Senate and the House of Representatives and to transact such other business  
5 as may be necessary.

6 State Legislative Directors will promptly advise the President Transportation Division and  
7 the National Legislative Director of all endorsements of State Candidates and recommendations for  
8 Members of Congress made by the Executive Committee.

9 The State or District Legislative Directors may remain in the capital during sessions of the  
10 Legislature, when so authorized by the Legislative Board, and shall devote all of his/her time to  
11 securing the enactment of such laws, or the repeal or modification of such other laws as directed by  
12 the Legislative Board. He/she shall organize opposition to and appear before appropriate agencies  
13 to oppose discontinuance of trains and buses. He/she shall urge compliance with all laws which  
14 protect the welfare of members of SMART and shall promptly report violations of State laws and  
15 regulations to the proper State enforcement agency. Violations of all Federal laws and regulations  
16 shall be reported to the National Legislative Director or proper Federal agency.

17 An additional Director or Directors may remain at the capital to assist in legislative matters,  
18 when recommended by the Executive Committee and approved by the President Transportation  
19 Division. He/she shall perform such duties as may be assigned by the President Transportation  
20 Division.

21 The Secretary of the State or District Legislative Board shall keep a record and make a report  
22 of the proceedings of all meetings of the Legislative Board and the Executive Committee and shall  
23 furnish the Legislative Representative and Secretary of each Local, under the jurisdiction of the  
24 Board, and the President Transportation Division with a copy of the report.

25 Full-time Legislative Directors shall make a quarterly report of their activities to Secretaries  
26 and Legislative Representatives of all Locals under their jurisdiction and use such other means as  
27 necessary to keep the membership well informed. They shall attach to the report an itemized  
28 statement of receipts and disbursements of the Board which shall be furnished to them by the  
29 General Secretary-Treasurer. A copy of this report shall be furnished to the President Transportation  
30 Division.

31 Part-time State or District Legislative Directors or Assistant Directors may, when  
32 recommended by the Executive Committee and approved by the President Transportation Division,

ARTICLE TWENTY-ONE B (21B) – SECTIONS 95-96-97

33 visit Locals and appear before commissions or other agencies in SMART’s behalf. They shall be  
34 authorized to cooperate with other organizations to this end. They shall perform such other duties  
35 as may be required by their Legislative Board by-laws and Article 21B.

36 All proposed legislation shall be submitted to the President Transportation Division for  
37 approval and copies of all bills introduced which may be detrimental to labor shall be forwarded by  
38 Legislative Directors to the President Transportation Division.

39 Questions of jurisdiction involving Legislative Boards and General Committees pertaining  
40 to laws, abandonments, and/or borderline matters shall be referred to the President Transportation  
41 Division for decision.

42 Any Transportation Division member using his/her influence in the name of SMART to  
43 defeat any action taken by the National Legislative Director or a State or District Legislative Board  
44 shall, upon conviction thereof, be expelled.

45 Officers and members of State and District Legislative Boards shall be under the direction  
46 of, and cooperate with, the National Legislative Director on all National Legislative policies and  
47 proposed Federal legislation established by the International. They shall cooperate with the  
48 Auxiliary and other groups on matters of mutual interest consistent with the legislative policies of  
49 SMART Transportation Division.

50 On any legislative issue which involves the discontinuance of engine-service positions on  
51 railroads, the Executive Committee will authorize an engine-service officer of the Legislative  
52 Boards to handle such issues under the supervision of the Executive Committee.

**SECTION 96 - VACATIONS – STATE OR DISTRICT LEGISLATIVE BOARDS**

1 Full-time officers and employees of State or District Legislative Boards shall be granted  
2 vacation with pay, consistent with the terms of the appropriate Vacation Agreement, based upon  
3 earnings from their Boards. Such vacations may be split but will not be carried over from one year  
4 to the next.

5 Officers and members of such Legislative Boards employed on a part-time basis shall be  
6 paid the difference between the amount of vacation pay allowed by their carrier and the amount of  
7 vacation pay they would have received had their wages with the Legislative Board been earned with  
8 the carrier. However, if they do not work a sufficient amount of time with their carrier to qualify  
9 for a vacation, they shall be allowed a vacation with pay consistent with the terms of the appropriate  
10 Vacation Agreement based upon their total earnings with the carrier and the Legislative Board.

11 Vacation allowances provided herein shall be paid by the General Secretary-Treasurer from  
12 the appropriate Legislative Board fund upon approval of the vacation claim by the Chairperson and  
13 Secretary of the Legislative Board. This Section is intended to prevent any loss in vacation time and  
14 pay as a result of serving the Legislative Board.

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**SECTION 97**

**Deleted in its entirety.**



**SECTION 98**

**Deleted in its entirety.**

**SECTION 99**

**Deleted in its entirety.**

**SECTION 100 - DECLARATION OF POLICY OF THE TRANSPORTATION DIVISION  
REGARDING INTERNATIONAL EMPLOYEES**

1 (1) No officer or other representative of SMART shall deny or in any way question the right  
2 of Transportation Division employees to:

3 (a) Join, organize or assist in organizing a labor organization or association of their  
4 choice.

5 (b) Determine their bargaining representatives in accordance with applicable Federal or  
6 State laws.

7 (c) Bargain collectively through their duty designated bargaining representatives.

8 (2) SMART will as a matter of policy maintain for its Transportation Division non-bargaining  
9 unit employees the same wages and working conditions presently enjoyed by such employees,  
10 including the practice of increasing or decreasing rates of pay based upon general increase or  
11 decreases in the pay of train and yard service employees represented by SMART Transportation  
12 Division, subject to final budget approval.

13 (3) Transportation Division employees will receive no preferential treatment based upon  
14 membership in SMART or the absence of such membership.

15 (4) For the information and guidance of Transportation Division officers and employees, the  
16 President Transportation Division will compile and publish a manual showing the wages and  
17 working conditions applicable to Transportation Division employees. If and when changes are made  
18 appropriate supplements will be issued for inclusion in said manual. All Transportation Division  
19 officers and employees will be furnished with copies of this manual and the supplements thereto.

20 (5) If and when Transportation Division employees establish a collective bargaining  
21 procedure in accordance with applicable State or Federal laws and through the exercise of that  
22 procedure an agreement covering wages and working conditions of Transportation Division  
23 employees is consummated, Item 2, hereof, becomes void and of no effect.

**ARTICLE TWENTY-TWO (22)**

**Production Worker Local Unions**

**SECTION 1—REPRESENTATION AND BARGAINING**

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2 **SEC. 1.** This Association or any local union chartered for such purpose acting through its  
3 or their duly authorized officers or representatives shall have authority to represent and bargain  
4 collectively for employees performing production work. Production local unions shall file with

ARTICLE TWENTY-TWO (22)

5 the General Office copies of all agreements between them and employers of employees represented  
6 by them.

7 **SECTION 2**

8 **SEC. 2.** The provisions of Sections 1(c), 2, 3(a), 6, 9(f), 9(j), 9(k), 9(r), 10(a), and 10(b),  
9 of Article Sixteen (16) and Article Twenty-Six (26) shall not apply to production worker members  
10 of production local unions.

11 The exemption of Article Sixteen (16), Section 10(a), contained in the first sentence of this  
12 Section does not apply to such employees of employers engaged in the production or  
13 manufacturing field in this industry who are not permitted to work or be sent outside of the shops  
14 or plants in which they are employed to perform work except to inspect warranty failure and to  
15 supervise the correction of faulty products.

16 **SECTION 3**

17 **SEC. 3.** The special rules specified in this Article shall apply only to production local  
18 unions and the members thereof and to production worker members of other local unions and shall  
19 not apply to any other local unions or members unless specifically so provided in this Constitution.  
20 All of the provisions and requirements of this Constitution governing the administration and  
21 operation of local unions and the duties and obligations of officers, representatives, and members  
22 thereof except to the extent that they are modified by the provisions of this Article, shall apply to  
23 production local unions, the officers, representatives, and members thereof.

24 **SECTION 4—GOVERNMENT**

25 **SEC. 4.** Production local unions shall not adopt any rules, regulations or policies which in  
26 any way conflict with the provisions or intent of this Constitution nor shall any additional rules or  
27 regulations not included in this Constitution be adopted until they have been first submitted to the  
28 General Secretary-Treasurer for consideration and official notice of approval received from the  
29 General Secretary-Treasurer.

30 **SECTION 5—REQUIREMENTS FOR LOCAL UNION CHARTER**

31 **SEC. 5.** Ten (10) or more persons who qualify for membership in accordance with the  
32 provisions of Article Sixteen (16) of this Constitution may make application for membership and  
33 for a production local union charter.

34 **SECTION 6—APPLICATION FOR MEMBERSHIP, INITIATION**  
35 **AND INITIATION FEE**

36 **SEC. 6(a). Initiation.** Production local unions may accept an applicant for membership  
37 into the local union if the applicant fulfills the following obligations of membership.

38 The applicant completes, signs and forwards to the financial secretary-treasurer of the local  
39 union the standard official form of application for membership into production local union. Said

ARTICLE TWENTY-TWO (22)

40 application form shall contain a statement to the effect that he or she agrees to be governed by the  
41 principles and policies of this Association and the Constitution thereof as they then exist or as they  
42 may be changed or amended thereafter and that he or she will further abide by the valid rules and  
43 decisions of the local union.

44 **SEC. 6(b). Initiation Fee.** Each applicant for membership shall be initiated upon receipt  
45 by the local union of his or her first month's dues which payment must be made within thirty-one  
46 (31) days after he or she files his or her application for membership.

47 All production local unions shall charge an initiation fee of not less than Twenty-Five  
48 Dollars (\$25.00). Not less than forty-five percent (45%) of each initiation payment plus the  
49 International monthly per capita dues shall be forwarded to the General Office. The International  
50 initiation fee shall be Eleven Dollars and Twenty-Five Cents (\$11.25) or forty-five percent (45%)  
51 of the local union initiation fee, whichever is greater, and shall be forwarded to the General Office  
52 within six (6) months after application for membership.

53 At least thirty-five percent (35%) of the fees collected pursuant to this Section shall be paid  
54 to the General Fund and up to five percent (5%) shall be apportioned on a basis determined by the  
55 General Executive Council between the SMART Local Unions and Councils Pension Fund  
56 (United States) and the SMART Local Unions and Councils Pension Fund (Canada) and/or the  
57 Canadian Dues Defense Fund, and up to five percent (5%) shall be paid to the Sheet Metal  
58 Workers' International Staff Pension Fund.

59 Dues shall be paid in advance beginning with the month in which the initiation is properly  
60 recorded, accepted, and acknowledged by the General Secretary-Treasurer. No local union is  
61 authorized or permitted to lower its initiation fee below the amount specified in this Section  
62 without permission from the General President.

63 **SEC. 6(c).** The financial secretary-treasurer shall read the names of the applicants for  
64 membership at the next regular meeting of the local union following receipt of the application.

65 **SEC. 6(d).** The financial secretary-treasurer shall read the names of all members initiated  
66 since the last regular meeting.

67 **SEC. 6(e).** After the applicant is initiated, the financial secretary-treasurer shall so certify  
68 over his or her signature on the standard form of application, affix the seal of the local union,  
69 endorse thereon the date of initiation and the amount of initiation fee paid and promptly forward  
70 the original official application and obligation to the General Secretary-Treasurer.

71 In the event an applicant is initiated and fails to pay his or her full initiation fee in  
72 accordance with the provisions of Section 6(b) herein, he or she shall be automatically suspended  
73 from membership.

74 Anyone who shall certify to any false statement or answer on the official form of  
75 application for membership or who shall otherwise by false pretense, misrepresentation, or fraud  
76 attempt to secure membership in this Association or any local or council thereof, shall immediately

## ARTICLE TWENTY-TWO (22)

77 forfeit all rights and further consideration of his or her application and forfeit all monies paid by  
78 him or her toward initiation fee.

### 79                   **SECTION 7—REINSTATEMENT AND REINITIATION FEE**

80           **SEC. 7(a).** Production local unions shall charge a reinstatement fee of Fifty Dollars  
81 (\$50.00), of which forty-five percent (45%) shall be sent to the General Secretary-Treasurer as an  
82 International reinstatement fee. At least thirty-five percent (35%) of the fees collected pursuant to  
83 this Section shall be paid to the General Fund and up to five percent (5%) shall be apportioned on  
84 a basis determined by the General Executive Council between the SMART Local Unions and  
85 Councils Pension Fund (United States) and the SMART Local Unions and Councils Pension Fund  
86 (Canada) and/or the Canadian Dues Defense Fund, and up to five percent (5%) shall be paid to  
87 the Sheet Metal Workers' International Staff Pension Fund. In any case such reinstated member  
88 shall additionally pay dues in advance beginning with the month in which reinstatement is properly  
89 recorded by the General Secretary-Treasurer.

90           **SEC. 7(b).** Production local unions shall charge not less than Fifty Dollars (\$50.00) for  
91 reinitiation fee, of which Twenty-Two Dollars and Fifty Cents (\$22.50) or forty-five percent  
92 (45%), whichever is greater, shall be sent to the General Secretary-Treasurer as an International  
93 reinitiation fee. At least thirty-five percent (35%) of the fees collected pursuant to this Section  
94 shall be paid to the General Fund and up to five percent (5%) shall be apportioned on a basis  
95 determined by the General Executive Council between the SMART Local Unions and Councils  
96 Pension Fund (United States) and the SMART Local Unions and Councils Pension Fund (Canada)  
97 and/or the Canadian Dues Defense Fund, and up to five percent (5%) shall be paid to the Sheet  
98 Metal Workers' International Staff Pension Fund. In any case such reinitiated member shall  
99 additionally pay dues in advance beginning with the month in which reinitiation is properly  
100 recorded by the General Secretary-Treasurer.

101           **SEC. 7(c).** The individual reinstating or reinitiating to membership may, at the option of  
102 the local union, have a time period of up to twelve (12) months to pay the reinstatement or  
103 reinitiation fee.

### 104                   **SECTION 8—COUNCILS**

105           **SEC. 8.** Production local unions shall be permitted but not required to join any state,  
106 provincial, or district council covering the territory in which such production local unions are  
107 located.

### 108                   **SECTION 9—DUES PAYMENT**

109           **SEC. 9.** All production local unions shall comply strictly with all provisions and  
110 requirements of this Constitution with regard to the payment of dues and other obligations and the  
111 proper acknowledgement and recording of same on official receipts in triplicate form prescribed  
112 by the General Secretary-Treasurer.

ARTICLE TWENTY-TWO (22)

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**SECTION 10—TRANSFER**

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**SEC. 10(a).** Production worker members shall have the right to transfer from one production worker local union to another or to a mixed local union as a production worker in accordance with the requirements of Section 9 of Article Sixteen (16), but shall not be permitted to transfer to a building and construction trades local union as a building tradesman, except as provided in Section 10(b) of this Article.

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**SEC. 10(b).** No production worker member shall be entitled to transfer to a building and construction trades local union as a building tradesman unless, after appearance before an examining board of the building and construction trades local union, he or she is able to demonstrate that he or she is capable of performing the type of sheet metal work coming within the jurisdiction of such local building and construction trades union in accordance with the standards of workmanship established by such local union. Any production worker member who is admitted by transfer card to any local union of this Association shall pay to said local union the difference, if any, between the amount of initiation fee actually paid prior to his or her transfer as recorded in the General Office and the established initiation fee of the local union in which his or her transfer card is deposited and accepted.

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**SECTION 11—UNION EMPLOYER**

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**SEC. 11.** No shop, plant, facility or subdivision thereof shall be considered “Union” unless the employer is a party to a collective bargaining agreement with this Association or one of its affiliated local unions which provides that all employees in the collective bargaining unit shall become and remain members of this Association except that, in those states in which a union shop agreement is contrary to law, the requirements of this Section will be satisfied if all employees within the unit have voluntarily become and remain members of this Association.

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**SECTION 12—STEWARDS**

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**SEC. 12.** Such stewards as may be necessary to properly perform the applicable function and duties as described in Section 2 of Article Fifteen (15) shall be appointed by the business manager, or by the president if there is no business manager. The requirement in Article Twelve (12), Section 3 of two (2) years continuous good standing in the local union shall not apply to this Section.

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**SECTION 13—WITHDRAWAL CARDS**

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**SEC. 13(a). Eligibility.** Except as otherwise provided in this Section, any member in good standing who leaves the trade as a sheet metal worker and accepts employment in other industries or in other trades shall, subject to the provisions of this Constitution, immediately make application for and be issued a withdrawal card. No member in good standing against whom charges are pending or not disposed of shall be entitled to a withdrawal card. The General Secretary-Treasurer shall invalidate a withdrawal card upon receiving notice that a member on withdrawal card is performing work covered by the claimed jurisdiction of this Association.

ARTICLE TWENTY-TWO (22)

150           **SEC. 13(b). Application.** Application for withdrawal cards shall be made to the financial  
151 secretary-treasurer of the local union of which the applicant is a member. Such application shall  
152 be accompanied by payment of all dues, fees, and other financial obligations due the local union  
153 and this Association to and for the month in which the withdrawal card is issued, plus Five Dollars  
154 (\$5.00) withdrawal card fee.

155           **SEC. 13(c). Employee Stock Ownership Plan (ESOP).** A member who acquires stock  
156 ownership with a signatory employer pursuant to an ESOP negotiated with the member's local  
157 union shall be prohibited from receiving a withdrawal card.

158           **SEC. 13(d). Rights and Duties.**

159       1. **Reinstatement.** A member on withdrawal card who is eligible for membership who wishes to  
160 return to employment as a sheet metal worker and become reinstated to membership, shall have  
161 the right to deposit his or her withdrawal card with the local union which issued the same and  
162 apply for reinstatement. The fee for reinstatement on a valid withdrawal card shall be a minimum  
163 of Fifteen Dollars (\$15.00), and a maximum of Fifty Dollars (\$50.00) of which forty-five percent  
164 (45%) shall be sent to the General Secretary-Treasurer as an International reinstatement fee. At  
165 least thirty-five percent (35%) of the fees collected pursuant to this Section shall be paid to the  
166 General Fund, and up to five percent (5%) shall be apportioned on a basis determined by the  
167 General Executive Council between the SMART Local Unions and Councils Pension Fund  
168 (United States) and the SMART Local Unions and Councils Pension Fund (Canada) and/or the  
169 Canadian Dues Defense Fund, and up to five percent (5%) shall be paid to the Sheet Metal  
170 Workers' International Staff Pension Fund. The General Secretary-Treasurer may reduce or waive  
171 the withdrawal reinstatement fee for a local union, upon request. Deposit of withdrawal card for  
172 purpose of reinstatement may be made by mail or by other method of delivery.

173           If the local union which issued a withdrawal card has ceased to exist, the holder of such  
174 card, if eligible for membership, who desires reinstatement shall deposit same with any local union  
175 and apply for reinstatement.

176       2. **Penalties.** Any member who fails or refuses to request and receive a withdrawal card  
177 in accordance with the provisions of this Constitution within thirty (30) days from the change of  
178 his or her employment status, as provided herein, shall not be permitted to pay any further dues or  
179 fees to his or her local union or this Association and at the expiration of two (2) months from the  
180 date of this change of employment status shall automatically forfeit his or her membership and all  
181 of the rights and privileges in connection therewith. In the event the holder of a withdrawal card  
182 fails or refuses to comply with the conditions provided in this Section, the local union issuing the  
183 withdrawal card or the General Secretary-Treasurer, shall cancel same and no privileges and  
184 benefits in connection therewith shall be reinstated except upon reinitiation in accordance with the  
185 provisions of this Constitution.

186           Notice of any cancellation of withdrawal card shall be sent to the individual involved and  
187 to the General Secretary-Treasurer.

ARTICLE TWENTY-THREE (23)

ARTICLE TWENTY-THREE (23)

**Pre-apprentices and Apprentice-Members**

1

**SECTION 1**

2       **SEC. 1.** Any person meeting all the requirements and qualifications of the local union  
3 apprenticeship standards shall make application of apprentice-membership and immediately be  
4 admitted to apprentice-membership in such local union, and begin payment of apprentice-  
5 membership dues and per capita dues unless prohibited by law.

6       If the apprenticeship committee cancels the apprenticeship agreement, the apprentice-  
7 member shall forfeit apprentice-member status.

8       Once an applicant becomes an apprentice-member he or she shall take the verbal oath of  
9 obligation of membership either at a local union membership meeting or apprenticeship meeting  
10 or class, and shall make the acknowledgements set forth in Article 16, Section 3(a). A designated  
11 local union officer shall administer the oath. The apprentice-member shall also sign a copy of the  
12 oath of obligation, which a union officer shall witness.

13       Upon completion of all requirements of the apprenticeship program, the apprentice shall  
14 then fulfill all remaining requirements, if any, of initiation as required by this Constitution and the  
15 local union.

16

**SECTION 2**

17       **SEC. 2(a).** All apprentice-members shall pay the initiation fee established by the local  
18 union for apprentice-members in which they are indentured, forty-five percent (45%) of which  
19 shall constitute the International initiation fee. At least thirty-five percent (35%) of the fees  
20 collected pursuant to this Section shall be paid to the General Fund and up to five percent (5%)  
21 shall be apportioned on a basis determined by the General Executive Council between the SMART  
22 Local Unions and Councils Pension Fund (United States) and the SMART Local Unions and  
23 Councils Pension Fund (Canada) and/or the Canadian Dues Defense Fund, and up to five percent  
24 (5%) shall be paid to the Sheet Metal Workers' International Association Staff Pension Fund. Such  
25 initiation fee shall be paid over the term of and prior to completion of his or her apprenticeship  
26 except that the local union may extend the period during which said initiation fee must be paid for  
27 a period not to exceed ninety (90) days after completion of his or her apprenticeship.

28       **SEC. 2(b).** Local unions shall establish the dues of apprentice-members but in no case  
29 shall the rate be less than minimum dues, payment of which will commence with the beginning of  
30 the first year of apprenticeship.

31       **SEC. 2(c).** All monies paid by apprentice-members except for a monthly International per  
32 capita dues and a local working assessment, if any, shall be credited to his or her initiation fee,  
33 unless that fee is paid in full before the apprentice-member completes his or her apprenticeship in  
34 which case such monies shall be treated as union dues.





ARTICLE TWENTY-THREE (23) - ARTICLE TWENTY-FOUR (24)

72 Constitution and local union by-laws.

73 **SECTION 7**

74 **SEC. 7.** The local union financial secretary-treasurer shall immediately notify the General  
75 Secretary-Treasurer when an indentured apprentice-member enters military service. Apprentice-  
76 members who are called to military service may make application for special limited membership  
77 or for a special withdrawal card in accordance with the provisions of Article Sixteen (16), Section  
78 8. Apprentice-members who are called to military service must be given the opportunity to  
79 complete their apprenticeship, provided that they resume their apprenticeship not later than ninety  
80 (90) days after their discharge from military service. Apprentice-members who reapply to resume  
81 their apprenticeship more than ninety (90) days after their discharge may be subject to discipline  
82 for unauthorized absences, unless they are convalescing from an illness or injury that occurred  
83 while in the military.

84 **SECTION 8**

85 **SEC. 8.** An apprentice-member, having been certified by the Local Joint Apprentice  
86 Committee as having successfully completed the prescribed apprenticeship program, shall be  
87 obligated as a journeyman without further membership application or examination by the local  
88 union.

89 **SECTION 9**

90 **SEC. 9.** The special rules in this Article shall apply only to apprentice-members and shall  
91 not apply to any other members unless specifically so provided in this Constitution.

92 **SECTION 10**

93 **SEC. 10.** This Article shall not apply to the Railroad apprentices covered under Article  
94 Twenty-One A (21A) of this Constitution.

**ARTICLE TWENTY-FOUR (24)**

**Organizing, Apprentice/Organizing, and Education**

1 **SECTION 1**

2 **SEC. 1.** All local unions shall establish and maintain a legitimate organizing and  
3 educational program and/or continue any ongoing or previously established organizing and  
4 educational programs including apprentice organizing. If a local union does not have an organizing  
5 program, the International will bill the local union for the cost of assigning an International  
6 Organizer. These programs shall be funded by the local union and may be further funded by an  
7 approved organizing grant by the General President. The grant is subject to re-approval annually  
8 by the General President and may be rescinded if the subsidized organizer or the local union is not  
9 following the organizing policy issued by the International. The grant will pay up to one half (1/2)

ARTICLE TWENTY-FOUR (24) - ARTICLE TWENTY-FIVE (25)

10 of at least one (1) full time local union organizer's annual salary. The grant may be expanded by  
11 the General President for local unions with members in both building trades and production to pay  
12 up to one half (1/2) of the annual salary of a second full-time organizer, from the ranks of the  
13 production workers, dedicated to organizing production workers, if warranted by the financial  
14 situation of the local union. This salary shall be defined as follows:

15 (1) Wage Rate:

16 (A) As determined by the local union

17 (2) Health and Welfare:

18 (A) Contributions to the local union Health & Welfare Trust Fund, or

19 (B) Contributions to the National Health Fund (whichever is applicable)

20 (3) Pensions - Annuities:

21 (A) Contributions to local union pension trust fund and/or

22 (B) Contributions to local union annuity funds (where applicable)

23 (C) Contributions to the Sheet Metal Workers' National Pension Fund.

24 (D) Contributions to the Local Unions and Councils Pension Fund.

25 **SECTION 2**

26 **SEC. 2.** All new members and apprentice-members shall receive training in organizing and  
27 industry promotional skills which shall cover labor union history, theory and methodology  
28 including practical experience in organizing techniques.

**ARTICLE TWENTY-FIVE (25)**

**Union Label**

1 **SECTION 1**

2 **SEC. 1.** The General Secretary-Treasurer shall have prepared and registered a trademark  
3 label to be known as the Official Union Label, and said union labels shall be for the use of local  
4 unions and good standing members thereof, in the manner and within the limitation specified in  
5 this Constitution. Union labels shall be of such design, material, or method of application as may  
6 be determined by the General Secretary-Treasurer with the approval of the General Executive  
7 Council, provided that each union label identify, by serial number or otherwise, the local union to  
8 which it was issued.

**SECTION 2**

9 **SEC. 2.** Upon the written request of local unions all union labels shall be issued and  
10 distributed by the General Secretary-Treasurer to local unions at list price.

11 **SECTION 3**

12 **SEC. 3.** Local unions shall require a strict accounting of all union labels issued by them to  
13 signatory contractors for use by good standing members thereof and shall require the return of all  
14 unused union labels.

ARTICLE TWENTY-FIVE (25) - ARTICLE TWENTY-SIX (26)

15

**SECTION 4**

16       **SEC. 4.** The use of union labels of this Association is limited to strictly union-made  
17 products, manufactured, assembled and fabricated by none but good standing members of a local  
18 union affiliated with this International Association and all members must recognize such union  
19 label. No union label of this Association shall be applied to any sheet metal work that has not been  
20 so manufactured, assembled and fabricated.

21

**SECTION 5**

22       **SEC. 5.** The union label may be applied by members of a local union to all sheet metal  
23 products actually manufactured, assembled and fabricated within the jurisdiction of and by  
24 members of said local union, but no local union or member thereof shall apply the union label to  
25 any sheet metal products manufactured, assembled and fabricated outside of their own jurisdiction.  
26 The SMART union member stamp shall be applied manually to all drawings, sketching, drafting,  
27 detailing, design, redesign and coordination by computer (CAD), manual or otherwise. The stamp  
28 shall remain the property of the local union or the International Association.

29

**SECTION 6**

30       **SEC. 6.** Union labels shall remain at all times the property of the International Association  
31 and in the custody of the local union, and shall at no time be issued or distributed in any manner  
32 that will permit or afford opportunity for them to be used by any other than a member in good  
33 standing, provided that if the union label is permitted to be used, or if there is a risk that the union  
34 label may be used as a means or for the purpose, intentionally or unintentionally, of deceiving  
35 purchasers of fabricated products, sheet metal workers on job sites, or members of the public at  
36 large as to whether an item has been made by employees of a signatory employer, or in any other  
37 manner not authorized by this Constitution, it may be withdrawn at the sole discretion and direction  
38 of the General President or by the local union with the approval of the General President.

39

**SECTION 7**

40       **SEC. 7.** Each local union should urge and encourage the use of union labels on all strictly  
41 union-made sheet metal products, and should urge and educate the public to demand the union  
42 label as a guarantee of first class workmanship performed by union labor.

43

**SECTION 8**

44       **SEC. 8.** Union labels shall be furnished by local unions in sufficient numbers to meet the  
45 requirements and conditions specified in this Constitution.

**ARTICLE TWENTY-SIX (26)**

**Union Shop**

1       A sheet metal shop, manufacturing facility or other business who employs members of this  
2 Association shall not be considered a union facility or business unless the owners sign and remain

ARTICLE TWENTY-SEVEN (27) – ARTICLE TWENTY-EIGHT (28)

3 parties to an agreement with the local union of this Association in whose jurisdiction such shop or  
4 business is located. No shop, facility or business shall be recognized as union or entitled to the  
5 privileges or use of the shop card or label unless it employs workers in good standing and with full  
6 membership in this Association on all work covered by Article One (1), Section 5, of this  
7 Constitution, and permits not more than one (1) owner, partner or other person directly or indirectly  
8 financially interested in the management of such shop or business to work with the tools of the  
9 trade and then in the shop only.

**ARTICLE TWENTY-SEVEN (27)**  
**Union Shop Card**

1 **SECTION 1**

2 **SEC. 1.** The General Secretary-Treasurer shall have prepared, printed and registered a  
3 union shop card which shall be issued to local unions at list price.

4 **SECTION 2**

5 **SEC. 2.** The use of said union shop cards shall be limited to this Association and to local  
6 unions in good standing, and through them only to employers who sign and comply with all of the  
7 provisions and requirements of a union agreement with this Association or with a local union  
8 affiliated therewith.

9 **SECTION 3**

10 **SEC. 3.** When authority is given to any employer to use and display the union shop card,  
11 said union shop card shall bear the signature of the General President and General Secretary-  
12 Treasurer of this Association or the president and financial secretary-treasurer and seal of the local  
13 union authorizing its use. Union shop cards shall always remain the property of this Association  
14 and authority to use and display same shall be immediately revoked, and said shop card taken up  
15 from any shop for failure to conform to any of the conditions specified herein under which the use  
16 and display of the union shop card was authorized.

**ARTICLE TWENTY-EIGHT (28)**  
**Standard Form of Union Agreements**

1 **SECTION 1**

2 **SEC. 1.** The desirability of uniformity in local union agreements, particularly with regard  
3 to certain basic conditions of employment being recognized, this Association shall prepare and  
4 furnish to each local union a uniform Standard Form of Union Agreement for construction to be  
5 used in all negotiations with employers.

ARTICLES TWENTY-EIGHT (28)

6

**SECTION 2**

7       **SEC. 2.** The General Executive Council shall select the most essential terms of the  
8 Standard Form of Union Agreement (SFUA), including any amendments, which shall be the basic  
9 minimum provisions required of all subordinate bodies negotiating local versions of the SFUA.  
10 The General President shall send the basic minimum provisions to each local union and council as  
11 well as shall promptly notify each subordinate body of any SFUA changes. No local union shall  
12 put into effect any contract provision in conflict with the basic minimum provisions of the SFUA.  
13 Each subordinate body shall supply this Association a list of all collective bargaining agreements,  
14 including their durations and expiration dates, and shall update the list promptly after any change  
15 occurs. Violation of this Section shall be grounds for disciplinary action under Article Seventeen  
16 (17).

17

**SECTION 3**

18       **SEC. 3(a).** No local union or officer, representative or member thereof, shall waive or  
19 relinquish claim to any work specified in the jurisdictional claims of this Association as set forth  
20 in Section 5 of Article One (1) of this Constitution, or submit same to arbitration except with the  
21 approval and written consent of the General President.

22       **SEC. 3(b).** No local union officer or representative shall enter into any agreement with  
23 employers which impairs the valid contractual rights of members of other local unions affiliated  
24 with this Association or employers with whom such local unions have valid union agreements so  
25 long as such contractual rights contained in such local union agreements are not inconsistent with  
26 this Constitution and policies of this Association. In the event such agreement is consummated, in  
27 addition to other penalties provided in this Constitution, its application shall be confined to the  
28 territorial jurisdiction of the signatory local union; and any other local union in whose territorial  
29 jurisdiction the signatory employer performs work may, with the approval of the General  
30 President, refuse to recognize said employer as a union employer unless he or she signs the  
31 collective bargaining agreement of such local union. The General President shall also have the  
32 authority to take such action as he deems necessary, after proper notice according to this  
33 Constitution.

34       **SEC. 3(c).** It is the policy of this Association to require participation by all United States  
35 Building Trades Local Unions in (1) the International Training Institute, a trust fund established  
36 in part by this Association for the purpose of assisting in establishing and maintaining programs  
37 of apprentice and journeyman training and related purposes, (2) the National Energy Management  
38 Institute Committee, a trust fund established in part by this Association for the purpose of  
39 stimulating projects that will provide work for our members by applying up-to-date technological  
40 methods by making buildings more energy efficient, and (3) the Sheet Metal Occupational Health  
41 Institute Trust, a trust fund established in part by this Association for the purpose of promoting  
42 medical screening and medical and scientific research related to asbestos and other occupational  
43 health hazards in the Sheet Metal Industry. Building trades local unions shall adopt and maintain  
44 participation in the designated trust funds at the appropriate contribution rates for building trades  
45 journeymen and apprentices at a minimum and additional classifications in the discretion of the  
46 General President as a condition of affiliation with this International Association. Any such local

ARTICLES TWENTY-EIGHT (28)-ARTICLE TWENTY-NINE (29)-ARTICLE THIRTY (30)

47 union that fails to negotiate into collective bargaining agreements with its employers participation  
48 in any of these mandatory trust funds shall become liable individually for the contributions thereto  
49 that would have been made by its signatory employers if they were participants therein, and the  
50 General Secretary-Treasurer shall be entitled to refuse to accept and record per capita dues  
51 forwarded by any such local union unless it also forwards to the trust fund involved a sum of  
52 money equivalent to the contributions on behalf of its members which would have been payable  
53 by its signatory employers if they were participating therein.

54 **SECTION 4**

55 **SEC. 4.** Local unions shall file with the General Office in an electronic format approved  
56 by the General Secretary-Treasurer signed copies of union agreements with a record of all  
57 employers party thereto, in all cases where contractual relations have been established under said  
58 agreement.

59 **SECTION 5**

60 **SEC. 5.** Should the local union and the employers fail to agree upon terms and conditions  
61 of employment, the local union shall immediately notify the General Secretary-Treasurer in  
62 writing, with a detailed report of negotiations and developments to date. If circumstances warrant,  
63 an International Representative may be assigned by the General President to render assistance and  
64 help negotiate an agreement

**ARTICLE TWENTY-NINE (29)**

**Delegates to AFL-CIO and Members on Special Assignment from  
International Association**

1 Delegates to conventions of the American Federation of Labor and Congress of Industrial  
2 Organizations and its Departments and all members on special assignment for the International  
3 Association, except full-time General Officers under salary, shall receive Two Hundred and Fifty  
4 Dollars (\$250.00) per day as compensation and One-Hundred Dollars (\$100.00) per day for  
5 expenses and in addition thereto, reasonable cost of lodging and air transportation.

**ARTICLE THIRTY (30)**

**Strikes**

1 **SECTION 1--STRIKE/DEFENSE FUND**

2 **SEC. 1.** The General Secretary-Treasurer shall set aside from each monthly individual  
3 International per capita dues, the sum of Seventy-Five Cents (\$0.75), in a fund designated and  
4 known as the Strike/Defense Fund and to pay from such fund the benefits provided in this Article.

5 In the event the amount of money in the Strike/Defense Fund exceeds an amount which

ARTICLE THIRTY (30)

6 the General Executive Council deems sufficient to maintain the integrity of the Fund, the General  
7 Secretary-Treasurer with the approval of the General Executive Council is authorized to transfer  
8 any part of said excess amount to the General Fund.

9 **SECTION 2--NATIONAL JOINT ADJUSTMENT BOARD EXPENSES**

10 **SEC. 2.** The expenses of the International Association directly related to the meetings of  
11 the National Joint Adjustment Board shall be funded by the Strike/Defense Fund. In addition, this  
12 Fund shall reimburse the normal expenses incurred for travel, hotel and meals by one (1)  
13 representative from the local union to travel to the appropriate meeting of the National Joint  
14 Adjustment Board to present a case brought under Article Ten (10), Section 8, of the Standard  
15 Form of Union Agreement.

16 **SECTION 3--STRIKES**

17 **SEC. 3(a).** The authority or consent of the International Association shall not be required  
18 for a local union to call a strike following the termination or expiration of a collective bargaining  
19 agreement.

20 No cessation of work through strike or otherwise shall be permitted or ordered by a local  
21 union or any officer or officers thereof unless it is authorized and approved at a special meeting  
22 called for the purpose of voting on the question of whether such strike is advisable or desirable.  
23 Notice of the time and place and purpose of such meeting shall be given to each member and it  
24 shall require two-thirds (2/3) vote by secret ballot of all members present at such meeting to legally  
25 declare a strike.

26 In any dispute which does not arise out of a notice to terminate or to reopen an existing  
27 collective bargaining agreement, the General President may order and direct the local union and  
28 the members thereof to refrain from cessation of work, or in the event of a strike, to direct the  
29 members to return to work if, in his judgment, such strike or threatened strike is a violation of an  
30 existing collective bargaining agreement or this Constitution or the policies of this International  
31 Association.

32 **SEC. 3(b).** When a local union strike has been approved in the manner provided in Section  
33 3(a) of this Article, official notice thereof shall be filed with the General Secretary-Treasurer with  
34 the record of the effective date of said strike, and the purpose thereof.

35 **SECTION 4--STRIKE BENEFITS**

36 **SEC. 4(a).** In the event a local union desires to seek strike benefits, application therefore  
37 shall be filed with the General President in sufficient time prior to the strike date to permit him to  
38 approve or disapprove such application before the strike occurs. No strike benefits shall be paid if  
39 a strike occurs prior to the approval of the payments of such benefits by the General President.

40 **SEC. 4(b).** This Association shall not be under obligation to pay strike benefits in any  
41 particular strike. Such benefits shall be paid solely in the discretion of the General President and

ARTICLES THIRTY (30)

42 then only subject to the terms and conditions provided for in this Article, except that the General  
43 President may in extenuating circumstances waive the requirements of Section 4(c) of this Article  
44 concerning when strike benefits begin and payment of benefits for fractional weeks.

45 **SEC. 4(c).** If the strike is approved by the General President for the purpose of strike  
46 benefits, such benefits shall be paid through the local union involved on the basis of not more than  
47 One Hundred Fifty Dollars (\$150.00) per week for each good standing member actually  
48 participating in the strike. Benefits shall begin at the end of the second full week of the strike and  
49 continue thereafter for such period as may be determined by the General President or General  
50 Executive Council. No strike benefits shall be paid for any fractional part of a week.

51 **SEC. 4(d).** No member shall be eligible for strike benefits unless prior to the strike his or  
52 her dues and other obligations have been actually paid and properly recorded on official receipt,  
53 in accordance with the provisions of this Constitution, at least for the current month in which the  
54 strike occurs, and in advance, for at least each current month thereafter during the period of strike,  
55 unless the member is on dues check off. If dues have been withheld from the employee's wages  
56 by his or her employer pursuant to a valid check off authorization, and the company has failed to  
57 remit the dues prior to the strike, the member will be eligible for strike benefits if his or her dues  
58 are paid for the month prior to the strike. A member on dues check off must then continue to pay  
59 his or her dues for each month thereafter during the period of the strike but is not responsible for  
60 the month of dues withheld by his or her employer. Members who fail to comply with said  
61 requirements shall forfeit all rights to strike benefits.

62 **SEC. 4(e).** When payment of strike benefits has been approved, as provided in this Article,  
63 the local union shall file with the General Secretary-Treasurer a record of the effective date of the  
64 strike, the names and membership numbers of all members participating in the strike, and the date  
65 of his or her current receipt and the period covered by such receipt, together with the names of the  
66 employers involved. The local union shall also file with the General Secretary-Treasurer the names  
67 of all pre-apprentices who are not yet members and others of a similar status approved by the  
68 General President whose participation in support of the strike has been sanctioned by the local  
69 union. A like record and report shall be filed with the General Secretary-Treasurer each week  
70 thereafter with a record of any settlements reached subsequent to the date of strike. Such reports  
71 shall be signed by the president and recording secretary unless one of these officers is not available,  
72 then any other elected officer may sign the reports, so as to provide an accurate and complete  
73 record of the duration of the strike and the period for which each member was involved.

74 **SEC. 4(f).** Strike benefits and donations for strike purposes, when authorized and approved  
75 by the General President, shall be paid by the General Secretary-Treasurer through the financial  
76 secretary-treasurer of the affiliated local union involved, who shall, on receipt of said benefits or  
77 donations, immediately record receipt of same on official receipt either in electronic format or in  
78 triplicate form and immediately forward the original of said official receipt to the General  
79 Secretary-Treasurer in acknowledgment of monies thus received. The electronic or white duplicate  
80 (copy) of said official receipt shall also be included with the next monthly remittance and report  
81 sent to the General Secretary-Treasurer.

82 **SEC. 4(g).** The General President shall not approve or authorize payment of strike benefits



## ARTICLES THIRTY (30)

83 in more than one strike at any one time unless circumstances, in his opinion, warrant and justify  
84 such approval and authority, to protect the general welfare of members involved in controversies.

85 **SEC. 4(h).** Whenever it is deemed necessary by the General President, he may authorize  
86 payments to assist in defraying expenses of a strike committee, whether or not in addition to the  
87 strike benefits provided above, in such amounts and by such methods of payment as he may, in his  
88 discretion, decide, provided that no such payment shall exceed the sum of Fifteen Thousand  
89 Dollars (\$15,000.00) in any one strike without the approval of the General Executive Council.

90 **SEC. 4(i).** An itemized statement of all monies received and disbursed by local unions, in  
91 connection with strikes shall be submitted to the General Secretary-Treasurer each week, and any  
92 local union which shall fail or neglect to submit such weekly statements shall forfeit all rights to  
93 strike benefits or donations and allowances for strike purposes from this Association.

94 **SEC. 4(j).** The General President shall have authority to discontinue payments of any or all strike  
95 benefits or donations and allowances for strike purposes whenever he deems such action advisable.

96 **SEC. 4(k).** Notwithstanding any other provisions of this Article, the General Executive  
97 Council shall have the authority to make such adjustments as to the amount of benefits to be paid  
98 in specific situations as it may deem necessary, having in mind the need to accumulate a substantial  
99 sum in said Fund and the financial conditions of said Fund at the time of such adjustment.

### 100 **SECTION 5--LOCKOUTS**

101 **SEC. 5.** In the event of a lockout by the employers the provisions of Section 4 of this  
102 Article shall govern insofar as they may be applicable.

### 103 **SECTION 6--FINANCIAL ASSISTANCE**

104 **SEC. 6.** When local unions through assessments, voluntary contributions from members,  
105 or other appropriate means have established special funds, sometimes known as Defense Funds,  
106 to defray the costs of engaging in legally permissible concerted activities including picketing  
107 directed at non-union or unorganized employers in the sheet metal industry, they may apply for  
108 financial assistance from the Strike/Defense Fund when same is necessary to enable such local  
109 unions to engage in effective campaigns against such non-union or unorganized employers. Local  
110 union requests for such financial assistance for such purpose or for other appropriate purposes for  
111 which assistance is warranted shall be directed to the General President who shall be authorized to  
112 grant benefits for such purposes in the same manner and under the same procedures as Strike  
113 Benefits under this Article.

114 Payment from the Strike/Defense Fund may also be made to members of local unions or  
115 other workers engaging in various types of concerted or individual activities supportive of policies  
116 endorsed by this International Association provided that a request therefore is submitted by the  
117 business manager of the local union to the General President and approved by him.

**ARTICLE THIRTY-ONE (31)**

**Political Action League (PAL)**

1

2 Local unions are urged to establish Local PAL Funds which should be created in  
3 accordance with provisions of applicable state and local laws, and administered by a Local PAL  
4 Committee appointed by the local union business manager. Local PAL funds shall be used solely  
5 to make contributions and expenditures in support of or opposition to candidates for state, county,  
6 municipal and other non-federal offices in either primary or general elections and in support of or  
7 opposition to state, county, municipal and other non-federal issues and membership education and  
8 information purposes.

9 No Local PAL funds shall be used directly or indirectly to make contributions or expenditures in  
10 support of or opposition to any candidates for President, Vice President, Senate, House of  
11 Representatives or other federal office in either a primary or general election in the United States.  
12 All contributions and expenditures in support of or opposition to candidates for federal office shall  
13 be made by National PAL. Local unions in Canada are urged to contribute to the SMART Canadian  
14 Political Action Fund (PAF) as appropriate under Canadian federal and provincial law, which will  
15 make contributions to those candidates for public office who support the aims and goals of  
16 SMART locals in Canada. Furthermore, all Local Unions shall establish and maintain a legitimate  
17 political action and educational program, and/or continue any ongoing or previously established  
18 political action and educational programs consistent with the directives of this International  
19 Association.

**ARTICLE THIRTY-TWO (32)**

**General Convention and Business Managers' and  
Business Representatives' Conference Fund**

1 The General Secretary-Treasurer shall set aside the sum of One Dollar (\$1.00) from each  
2 monthly individual International per capita dues in a fund designated as the General Convention  
3 and Business Managers' and Business Representatives' Conference Fund and to pay from said fund  
4 such amount as may be necessary to defray the cost of such International Conventions and  
5 Conferences.

**ARTICLE THIRTY-THREE (33)**

**Amendments and New Laws**

1

**SECTION 1—AMENDMENTS**

2 **SEC. 1(a).** Amendments to this Constitution or Ritual may be submitted by any local union  
3 or council, the General President, General Secretary-Treasurer, the General Executive Council or  
4 by the Constitution Committee.

5 **SEC. 1(b).** Amendments submitted by local unions, councils, Transportation Division

### ARTICLE THIRTY-THREE (33)

6 State Legislative Boards and Transportation Division General Committees must be submitted  
7 separately by subject matter in resolution form and filed in triplicate with the General Secretary-  
8 Treasurer at the General Office at least sixty (60) days prior to the opening of the Convention at  
9 which such amendments are to be considered unless the requirements of this paragraph are waived  
10 by a two-thirds (2/3) vote of the delegates in the Convention.

11 All resolutions proposed by any member of the local union shall be submitted to the local  
12 union Executive Board at least thirty (30) days prior to the submission thereof to the local union  
13 for consideration.

14 All resolutions passed by local unions or councils must bear the signature of the president  
15 and recording secretary and the seal of the local union or council.

16 **SEC. 1(c).** Amendments submitted by the General President, General Secretary-Treasurer  
17 or the General Executive Council may be submitted in the form of resolutions or as  
18 recommendations contained in their respective reports.

19 **SEC. 1(d).** Amendments submitted by the Constitution Committee may be submitted in its  
20 report to the Convention.

21 **SEC. 1(e).** It shall require a two-thirds (2/3) vote of the delegates present at the Convention  
22 to enact such amendments.

23 **SEC. 1(f).** At any time the General Executive Council, by a majority vote, deems a new  
24 law necessary to govern this Association in any matter not provided for in this Constitution, it may  
25 recommend and submit same through the General Secretary-Treasurer to local unions for  
26 referendum vote, and it shall require a two-thirds (2/3) majority of all members voting in  
27 referendum to adopt such new law. The General Secretary-Treasurer shall report the result of  
28 referendum to local unions.

29 **SEC. 1(g).** Proposals for new laws when approved and endorsed by ten (10) or more local  
30 unions located in ten (10) or more different states, provinces or territories at regular or special  
31 called meetings may be submitted to the General Secretary-Treasurer for consideration by the  
32 General Executive Council, and if approved by a majority of the General Executive Council said  
33 proposed new laws shall be submitted to a referendum vote in accordance with Section 1(f) of this  
34 Article.

35 **SEC. 1(h).** New laws when approved and adopted by referendum vote in the manner  
36 specified in this Constitution become a part of this Constitution and effective immediately  
37 thereafter.

38 **SEC. 1(i).** The General Executive Council shall have authority between Conventions to  
39 amend this Constitution in any manner required to remove any conflict between its provisions and  
40 those of any federal law without the necessity of the referendum vote required in Section 1(f) of  
41 this Article. Notwithstanding any other provision of this Article, the General Executive Council  
42 shall have the authority between Conventions to amend Article Twenty-One A (21A) of this

ARTICLES THIRTY-THREE (33) - THIRTY-FOUR (34) - RITUAL

43 Constitution or to amend Article One (1), Section 5 of this Constitution to add (but not delete)  
44 work claimed by the Association without the necessity of the referendum vote required in Section  
45 1(f) of this Article.

**ARTICLE THIRTY-FOUR (34)**

**SECTION 1--INVALIDITY**

1 **SEC. 1.** In the event any Article or Section of this Constitution or any portion thereof shall  
2 be or become legally invalid or unenforceable, such invalidity or unenforceability shall not affect  
3 or invalidate any other Article or Section of this Constitution or any portion thereof.

4 **SECTION 2--GENDER**

5 **SEC. 2.** Whenever in this Constitution a masculine noun or pronoun is used it shall include  
6 the feminine case as well whenever such interpretation is consistent with sound construction.

**ARTICLE RITUAL**

**INITIATION CEREMONIES**

1 Except as provided in Section 6 of Article Twenty-Two (22), applicants for membership  
2 who have complied with all requirements of this Constitution and whose applications have been  
3 accepted may be obligated by the president or presiding officer of the local union either at a local  
4 union meeting or meeting of the local union Executive Board.

5 At the appointed time for initiation, the president or presiding officer shall then address the  
6 applicants for membership, as follows:

7 "Your official form of application for membership in this local union chartered by and  
8 affiliated with the International Association of Sheet Metal, Air, Rail and Transportation Workers  
9 has been accepted by this local union, with the understanding that the answers submitted by you  
10 over your signature to questions contained in official form of application are true and accurate in  
11 every respect. You have signified in your application that you are familiar with and willingly  
12 subscribe to all of the provisions and requirements of the Constitution of the International  
13 Association of Sheet Metal, Air, Rail and Transportation Workers. We will now administer the  
14 obligation of membership after which you will sign this same obligation as a matter of record.  
15 Repeat after me the following obligation of membership, mentioning your name where I mention  
16 mine.

17 "I, \_\_\_\_\_, hereby certify that I am familiar with and willingly agree to abide  
18 by all of the provisions and requirements of the SMART Constitution. In consideration of the  
19 acceptance of my application and being obligated as a member, I hereby agree to remain loyal to

## RITUAL – INSTALLATION

20 the principles and policies and to be governed by the SMART Constitution.

21 I further agree to respect elected officers, brother and sister members, and to honor all local  
22 union rules and regulations not in conflict with this Constitution, to uphold the authority of the  
23 president, business manager, business representatives, and other elected officials, and to always  
24 conduct myself in a manner which holds the Union in the highest regard. I agree to work faithfully  
25 to protect and defend the rights of all of our members, and to display a positive attitude when  
26 representing the Union in any way. Finally I agree to devote myself to the betterment of the Union  
27 and remain steadfast in my support and defense of the working rules, working conditions, wages  
28 and fringe benefits negotiated for me by my Local Union, and that by this oath taken I am duly  
29 obligated to all articles and sections of the SMART Constitution and Ritual.”

30 After the obligation of membership has been administered orally to the applicant, the  
31 president shall rap twice with his or her gavel and request all members to be seated. The applicant  
32 shall then affix his or her signature to the obligation of membership and the presiding officer and  
33 financial secretary-treasurer shall affix their signatures thereto as attesting witnesses, after which  
34 the president or presiding officer shall address the applicant as follows:

35 "I now declare you duly obligated. You are already familiar with your duties, obligations,  
36 rights and privileges specified in our Constitution. You will, therefore, be governed accordingly. I  
37 now desire to give you the following instructions:

38 "Your official dues receipt recording actual payment of dues in advance in accordance with  
39 our Constitution will establish your identity to the conductor prior to the opening of meetings.  
40 Should you desire to gain admission to the hall after the meeting has started, present your official  
41 dues receipt to the warden and if you are in good standing he or she will admit you. Should you be  
42 without official dues receipt, the warden will report your name and membership number to the  
43 financial secretary-treasurer and if you are in good standing and entitled to admission, he or she  
44 will so inform the president who shall instruct the warden to admit you. Should you desire to retire  
45 while the meeting is in session, rise and ask permission of the president. The usual voting sign is  
46 made by the elevation of the right hand, and is used in voting in favor of or against all questions."

47 The president or presiding officer may then introduce the newly obligated member and  
48 declare a short recess to provide opportunity for proper introduction and greeting, after which the  
49 president or presiding officer will rap once with his or her gavel for order, when the members will  
50 be seated, and the newly obligated member escorted to the financial secretary-treasurer's desk to  
51 receive instructions regarding his or her financial obligations.

52 In case there is more than one (1) applicant for initiation, the Ritual can be readily changed  
53 from singular to plural.

## INSTALLATION CEREMONIES

1 The installing officer shall appoint an assistant and direct the retiring officers to vacate  
2 their positions. He or she shall then direct the newly elected officers to be obligated and installed

INSTALLATION - OBLIGATION LU OFFICERS - OBLIGATION GENERAL OFFICERS -  
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3 to be seated together. He or she shall then proceed to administer the obligation, and he or she shall  
4 direct each newly elected officer to place his or her right hand on his or her left breast and repeat  
5 the following obligation.

**OBLIGATION OF LOCAL UNION OFFICERS, BUSINESS  
MANAGERS AND BUSINESS REPRESENTATIVES**

1 In the presence of the members of this \_\_\_\_\_ I, \_\_\_\_\_ certify that I am familiar  
2 with the provisions of the SMART Constitution and I do hereby pledge myself to perform the  
3 duties of the office to which I have been elected, in the manner specified by the SMART  
4 Constitution; that I will be faithful and regular in attendance at meetings unless prevented by cause  
5 beyond my control; that in the performance of all of my official duties I will require all members  
6 to comply fully with their duties and obligations and will give due consideration to the rights of  
7 all members without prejudice and without exception; that I will deliver to my successor all books,  
8 papers, monies, or other property of this local union which may be in my possession at the close  
9 of my official term, all in accordance with this pledge and obligation taken.

**OBLIGATION OF GENERAL OFFICERS**

1 In the presence of the delegates of the International Association of Sheet Metal, Air, Rail  
2 and Transportation Workers here assembled in Convention,  
3 I, \_\_\_\_\_, do hereby certify that I am familiar with the provisions of the SMART  
4 Constitution and I do hereby pledge myself to perform the duties of the office to which I have been  
5 elected, during my official term and in the manner specified by the SMART Constitution, and that  
6 in the performance of my official duties I will require all members to comply fully with their duties  
7 and obligations and will give due consideration to the rights of all members without prejudice and  
8 without exception, and that I will deliver to my successor all books, papers, monies, or other  
9 property of this Association which may be in my possession at the close of my official term, all in  
10 accordance with this pledge and obligation taken.

**PARLIAMENTARY RULES**

- 1 **1.** On motion, the regular order of business may be suspended by a two-thirds (2/3) vote of the  
2 meeting, and, at any time, to dispose of any urgent business.
- 3 **2.** All resolutions and regulations must be submitted in writing.
- 4 **3.** Any conversation by whispering or otherwise, which is calculated to disturb a member while  
5 speaking, or hinder the transaction of business, shall be deemed a violation of order.
- 6 **4.** Sectarian discussion shall not be permitted in the meeting under any circumstances.

## PARLIAMENTARY RULES

- 7 **5.** All questions of a parliamentary nature not provided for in these Rules shall be decided by the  
8 most current edition of Robert's Rules of Order, Newly Revised, 10<sup>th</sup> Edition, currently published  
9 by DaCapo Press, Perseus Books Group.
- 10 **6.** A motion to be entertained by the presiding officer must be seconded, and the mover as well as  
11 the seconder must arise and be recognized by the Chair. The presiding officer shall entertain all  
12 motions properly made and seconded which are not dilatory, frivolous or absurd.
- 13 **7.** Any member having made a motion can withdraw it by the consent of his or her second, but a  
14 motion once debated cannot be withdrawn except by a two-thirds (2/3) vote.
- 15 **8.** A motion to amend an amendment shall be in order, but no motion to amend an amendment to  
16 an amendment shall be permitted.
- 17 **9.** Any member may call for a division of a question when the sense will admit thereof.
- 18 **10.** A motion shall not be subject to debate until it has been stated by the Chair.
- 19 **11.** When a member wishes the floor he or she shall rise and respectfully address the Chair and, if  
20 recognized by the Chair, he or she shall be entitled to the floor.
- 21 **12.** If two or more members rise to speak at the same time, the Chair shall decide which is entitled  
22 to the floor.
- 23 **13.** Each member, when speaking, shall confine himself or herself to the question under debate  
24 and avoid all personal, indecorous and sarcastic language.
- 25 **14.** No member shall interrupt another while speaking except to make a point of order, and he or  
26 she shall definitely state the point, and the Chair shall decide the same without debate.
- 27 **15.** If a member has been granted the privilege of the floor and while speaking is called to order,  
28 he or she shall take his or her seat until the point of order is decided, when, if decided in order, he  
29 or she may proceed.
- 30 **16.** If a member shall feel himself personally aggrieved by the decision of the Chair, he or she may  
31 appeal to the Local Union from the decision.
- 32 **17.** When an appeal is made from the decision of the Chair, the said appeal shall then be stated by  
33 the Chair to the meeting in these words: "Shall the decision of the Chair be sustained as the decision  
34 of the meeting?" The member will then have the right to state the grounds for his or her appeal,  
35 and the Chair will give the reasons for his or her decision; the Union will proceed to vote on the  
36 appeal without further debate, and it shall require a majority vote to sustain the appeal.
- 37 **18.** No member shall speak more than once on a subject until all members desiring the floor shall  
38 have spoken, nor more than twice without unanimous consent, nor more than five minutes at any  
39 one time.

## PARLIAMENTARY RULES

- 40 **19.** The presiding officer shall not speak on any subject unless he or she retires from the Chair,  
41 except on point of order and appeals from the decision of the Chair, and in case of a tie he or she  
42 shall cast the deciding vote.
- 43 **20.** When a question is before the meeting, no motion shall be in order except (1) To adjourn.  
44 (2) To lay on the table. (3) For the previous question. (4) To postpone to a given time. (5) To refer  
45 or recommit. (6) To amend. And these motions shall have precedence in the order herein arranged.  
46 The first three of these motions are not debatable.
- 47 **21.** When the previous question is moved and seconded, it shall be put in this form: Shall the main  
48 question now be put? If this is carried, all further motions, amendments and debate shall be  
49 excluded and the main question put without delay.
- 50 **22.** If a question has been amended, the question on the amendment shall be put first. If more than  
51 one amendment has been offered, the question shall then be put as follows: (1) Amendment to the  
52 amendment. (2) Amendment. (3) Original proposition.
- 53 **23.** When a question is postponed indefinitely, it shall not come up again except by a two-thirds  
54 (2/3) vote.
- 55 **24.** A motion to adjourn shall always be in order, except: (1) When a member has the floor.  
56 (2) When members are voting. (3) When it has been decided to take the previous question.
- 57 **25.** Before putting the question to vote the presiding officer shall ask: "Is the Union ready for the  
58 question?" Then it shall be open for debate. If no member rises to speak, the presiding officer shall  
59 then put the question in this form: All in favor of the motion will give the voting sign, and after  
60 the affirmative vote is expressed, Those opposed, the same sign. After the vote is taken he or she  
61 shall immediately announce the result.
- 62 **26.** When the presiding officer has commenced taking a vote, no further debate or remarks shall  
63 be allowed unless a mistake has been made; in which case the mistake shall be rectified and the  
64 presiding officer shall again take the vote.
- 65 **27.** Before the presiding officer declares the vote on a question, any member may ask a division  
66 of the house, then the Chair is duty bound to comply with the request, and a standing vote shall be  
67 taken and the Conductor shall count the same.
- 68 **28.** Every member present shall vote on all questions before the Union, unless personally interested  
69 or excused by the Union.
- 70 **29.** When a blank is to be filled, the question shall be taken, first upon the largest sum or number,  
71 or the longest or latest time.
- 72 **30.** When a question has been decided, it can be reconsidered only at the same meeting or on the  
73 next regular meeting night.



## PARLIAMENTARY RULES

- 74 **31.** A motion to reconsider must be made and seconded by two (2) members who voted with the  
75 majority.
- 76 **32.** All questions, unless otherwise provided, shall be decided by a majority of all votes cast.

RESOLUTION 78

APPENDIX

PASSED AT THE 36TH GENERAL CONVENTION IN  
NEW YORK CITY ON FRIDAY, SEPTEMBER 3, 1982

RESOLUTION #78

1     **WHEREAS**, Many thousand members of this International Association and millions upon  
2 millions of working men and women in the United States and Canada are unemployed today  
3 and too many have suffered a discouraging, prolonged period of unemployment brought about  
4 by high interest rates and other economic problems besetting both countries; and

5     **WHEREAS**, In addition to high interest rates, the growing encroachment of non-union  
6 competition and non-union element created by the popular expansion of double-breasted  
7 operations. has pushed the unemployment in the construction industry to a shocking percentage  
8 above the national average of any other industry; and

9     **WHEREAS**, Viable programs must be established to meet this non-union com- petition and insure  
10 survival of union contractors; and

11     **WHEREAS**, This International Association and its affiliated local unions must, once again,  
12 take the initiative in meeting these challenges on behalf of our members and to set an example  
13 for all building and construction trades unions; therefore,

14     **BE IT RESOLVED**, That the delegates to this Convention go on record to urge all local unions  
15 that wherever it is necessary to combat the non-union element that they adopt the various  
16 addendums and Specialty Agreements designed by this International Association to combat the  
17 non-union element and to recoup work for our members by making union contractors more  
18 competitive; and

19     **BE IT FURTHER RESOLVED**, That Local Union Business Managers be empowered to expand on  
20 said addendums and Specialty Agreements or to take what- ever steps necessary, including  
21 additional flexible conditions on particular jobs sometimes known as "pin-pointing," to ensure  
22 that such work will be captured for our members; and

23     **BE IT FURTHER RESOLVED**, That local unions encourage their signatory con- tractors to  
24 cooperate fully on a local national level to achieve our goal for full employment for all members.

*International Association of*  
SHEET METAL, AIR, RAIL AND  
TRANSPORTATION WORKERS, AFL-CIO & CLC

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